

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Maloney, Paul L.	2. Court or Organization District Court, WD MI	3. Date of Report 05/01/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 410 W. Michigan Ave Kalamazoo, MI 49007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1995-2007	State Judicial Pension calculated based on years of service-Commenced Drawing Pension January 2010
2. 1995-2007	Berrien County Pension calculated based on years of service-Commenced Drawing Pension January 2010
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	State of Michigan Judicial Pension	\$48,624.00
2. 2013	Berrien County, MI Pension	\$15,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Teacher, River Valley School District
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account # 1 (H)									
2. --Allianz NFJ Dividend Value A	A	Dividend			Sold	02/06/13	J	A	
3. --Amer Cent Growth ADV	A	Dividend	J	T					
4. --Blackrock Inflat Prot Bond A	A	Dividend			Sold	02/06/13	J	A	
5. --Blackrock Equity Dividend A	A	Dividend	J	T	Sold (part)	02/06/13	J	A	
6. --American Euro Pacific Growth F	A	Dividend			Sold	02/06/13	J	A	
7. --American Gr FD of America F	A	Dividend	J	T					
8. --Jennison Mid-Cap Growth A	A	Dividend	J	T	Sold (part)	02/06/13	J	A	
9. --Pimco Low Duration FD A	A	Dividend			Sold	02/06/13	J	A	
10. --Pimco Total Return A	A	Dividend	J	T	Sold (part)	02/06/13	J	A	
11. --Thornburg Intl Value A	A	Dividend	J	T	Buy (add'l)	02/06/13	J		
12. --TCW Total Return BD N	A	Dividend			Sold	02/06/13	J	A	
13. --UBS Bank USA Dep Acct	A	Interest	J	T					
14. --UBS Pace Money Market INV Fund Class P	A	Dividend	J	T					
15. --Ivy Large Cap Growth A	A	Dividend			Sold	02/06/13	J	A	
16. --JP Morgan Mid Cap Value Fund Class A	A	Dividend			Sold	02/06/13	J	A	
17. --Amer Century Short Duration Inflation Prot Bond A	A	Dividend	J	T	Buy	02/06/13	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q ~Appraisal	R ~Cost (Real Estate Only)	S ~Assessment	T ~Cash Market	
(See Column C2)	U ~Book Value	V ~Other	W ~Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Lazard Developing Mkts Equity pft	A	Dividend	J	T	Buy	02/06/13	J		
19. --Invesco Small Cap Value Class A	A	Dividend	J	T	Buy	02/06/13	J		
20. --Goldman Sachs Strategic Income Class A	A	Dividend	J	T	Buy	02/06/13	J		
21. --Transparent Value Dividend Fund Class A	A	Dividend	J	T	Buy	02/06/13	J		
22. --Transamerica Tactical Income Fund Class A	A	Dividend	J	T	Buy	02/06/13	J		
23. --Sun America Focused Dividend Strategy Fund A	A	Dividend	J	T	Buy	02/06/13	J		
24. --Alliance Bernstein Small Cap Growth Class A	A	Dividend	J	T	Buy	02/06/13	J		
25. --MFS Internatl Value Fund Class A	A	Dividend	J	T	Buy	02/06/13	J		
26. --John Hancock Income Fund Class A	A	Dividend	J	T	Buy	02/06/13	J		
27. --Lord Abbett Short Duration Income Fund	A	Dividend	J	T	Buy	02/06/13	J		
28. --Franklin Templeton Global Fund Class A	A	Dividend	J	T	Buy	02/06/13	J		
29. Brokerage Account #2 (H)									
30. --Apple Computer common stock	A	Dividend	L	T					
31. --UBS Deposit Acct	A	Dividend	K	T					
32. --Illinois Tool Works common stock	A	Dividend	J	T					
33. --McKesson common stock	A	Dividend	J	T					
34. --Schlumberger common stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --Norfolk Southern RR common stock	A	Dividend	J	T					
36. --Starbucks common stock	A	Dividend	J	T	Buy	01/10/13	J		
37. --Transparent Value Directiona Fund Class A	A	Dividend	J	T	Buy	01/10/13	J		
38.					Buy (add'l)	12/11/13	J		
39. Brokerage Account #3 (H)									
40. --Pace Govt Sec Fixed Income Investment Fund Class P	A	Dividend	J	T					
41. --Pace Large Company Growth Equity Investment Fund Class	A	Dividend	J	T					
42. --Pace Intl Emerg Mkts Equity Class P	A	Dividend	J	T					
43. --Lrg Comp Value Equity Fund Class P	A	Dividend	J	T					
44. --Pace Intl Equity Fund Class P	A	Dividend	J	T					
45. --Pace Sm/Medium Co Growth Equity Class P	A	Dividend	J	T					
46. --Pace Strategic Fixed Income Fund Class P	A	Dividend	J	T					
47. --Pace Money Market Investment Fund Class P	A	Dividend	J	T					
48. --Pace Global Real Estate Securities Fund Class P	A	Dividend	J	T					
49. State of Michigan 401k (H)									
50. --SSgA Bond Market Index	A	Dividend	J	T					
51. --Dodge and Cox Stock Fund	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. --Rainer Lg Cap Growth Equity Fund	A	Dividend	K	T					
53. --American Funds Euro Pacific	A	Dividend	K	T					
54. --Pimco Total Return Fund I	A	Dividend	K	T					
55. --ING Small Cap Growth	A	Dividend	K	T					
56. --Oakmark Equity and Income	A	Dividend	K	T					
57. 457 Retirement Acct (H)									
58. --Nationwide Fixed Acct	B	Int./Div.	L	T					
59. --Newberger Genesis Fund TC	A	Dividend	J	T					
60. 403b Account (H)									
61. --New Perspective Fund A	A	Dividend	J	T					
62. --Capital World Growth A	A	Dividend	J	T					
63. --US Govt Securities A	A	Dividend	J	T					
64. Fifth Third Bank Account	A	Interest	K	T					
65. DOJ Federal Credit Union	A	Interest	K	T					
66. 403b(7) Account (H)									
67. --New Perspective Fund A	A	Dividend	J	T					
68. --Capital World Growth A	A	Dividend	J	T					

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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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69. --US Govt Securities A	A	Dividend	J	T					
70. --Invesco US Growth Fund A	A	Dividend	J	T					
71. --Pimco Total Return Fund A	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul L. Maloney

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544