

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mann, Margaret M.	2. Court or Organization United States Bankruptcy Court Southern District of CA	3. Date of Report 5/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article I	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Bankruptcy Court Southern District of California 325 West F. Street San Diego CA 92106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____
2. _____
3. _____
4. _____
5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. April 2013	Teaching stipend Rutter Group approved by Ninth Circuit	\$1,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Income From Law Offices of Michael T. O'Halloran
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bankruptcy Institute	01/20/2013 to 01/21/2013 and 08/22/2013 to 08/23/2013	Las Vegas, NV and Lake Tahoe, NV	Speaking at the Conferences	meals, airfare and hotel
2. California Bankruptcy Forum	05/17/2013 to 05/19/2013	San Diego, CA	Speaking at the Conference	meals and hotel
3. Rutter Group	04/08/2013 to 04/10/2013	Los Angeles and San Francisco, CA	Speaking at the Conferences	meals, airfare and hotel
4. Arizona State Bar	04/08/2013 to 04/10/2013	Phoenix, Arizona	Speaking at the Conference	meals, airfare and hotel

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5.	Turnaround Management Association	04/08/2013 to 04/10/2013	Dana Point, CA	Speaking at the Conference	meals, airfare and hotel
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. AMERICAN FUNDS GROWTH FUND OF AMERICA CL C	A	Dividend	K	T					
2. AMERICAN FUNDS GROWTH FUND OF AMERICA CLASS F	A	Dividend	K	T					
3. AMERICAN FUNDS INVESTMENT COMPANY OF AMERICA CL A	A	Dividend	J	T	Sold (part)	02/20/13	K		
4. AMERICAN FUNDS INVESTMENT COMPANY OF AMERICA CL B	A	Dividend	K	T					
5. AMERICAN FUNDS INVESTMENT COMPANY OF AMERICA CL C	A	Dividend			Sold	02/20/13	K		
6. AMERICAN FUNDS INVESTMENT COMPANY OF AMERICA CL F	C	Dividend			Sold	02/20/13	M		
7. AMERICAN FUNDS EUROPACIFIC FUNDS CLASS C	A	Dividend	L	T					
8. AMERICAN FUND 2025 TARGET DATE FUND	A	Dividend			Sold	04/25/13	L	A	
9. Principal Small Mid Cap Dividend Fund Class A	A	Dividend	M	T	Buy	11/05/13	M		
10. GUGGENHEIM S&P GLOBAL WATER INDEX ETF	A	Dividend	L	T					
11. ST OF CA VAR RANS A-2 RV BE/R/ 3,000 062811 DTD 112310	C	Interest	K	T					
12. DELAWARE SMID CAP GROWTH CLASS C	A	Dividend	M	T					
13. DELAWARE SMID CAP GROWTH CLASS B	A	Dividend	K	T					
14. ST OF CA VAR GEN OBLI BN TAX BE/R/ 5,750 030117 DTD 040110	B	Interest	K	T					
15. Genworth Annuity Contract # T08013360	A	Int./Div.	K	T					
16. Wells Fargo Checking	A	Interest	J	T					
17. Wells Fargo Savings	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Wells Fargo IRA CD 2	A	Interest	L	T					
19. MAN_AHL Diversified I L.P., Class B-1	A	Interest			Sold	09/09/13	L	A	
20. RMA Money Market Portfolio	A	Interest	K	T					
21. UBS Bank Deposit Account	A	Interest	L	T					
22. ISHARES S&P NORTH AMERICAN NAT RESOURCES SECTOR INDEX FUND	A	Dividend	L	T					
23. CALIFORNIA EDL FACS AUTH SR A REV FSAOID494 BE/R/ 4,000 0901	C	Interest	L	T					
24. LA CA DEPT WTR SER A-2 FSA B/E/R/ 4.75	B	Int./Div.	K	T					
25. EAST BAY CAL MUD WTR SYS REV FSA B/E /R/ 5.000 060118 DTD 07	A	Interest			Redeemed	06/13/13	K	A	
26. RANCHO MIRAGE CAL REDEV NPFG OID@97.3583 BE/R/ 4.625 040124	B	Interest			Redeemed	10/08/13	K	A	
27. SAN JOSE CA UNI S/D FGIC RV BE/R/ 5.000 060121 DTD 081706	B	Interest	K	T					
28. COHEN & STEERS REALTY INCOME FUND INC CLASS C	A	Dividend	L	T					
29. DWS FLOATING RATE FUND	D	Interest	K	T					
30. DWS SHORT DURATION FUND CLASS A	B	Dividend	L	T					
31. UBS LIQUID ASSETS FUND	A	Dividend	K	T					
32. AFLAC INC	A	Dividend	J	T					
33. AIR PROD & CHEMICAL INC	A	Dividend			Sold	08/14/13	J		
34. ASTRAZENECA PLC SPON ADR	A	Dividend	J	T					

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35. BANK OF NOVA SCOTIA	A	Dividend			Sold	06/10/13	J		
36. BOEING	A	Dividend	K	T					
37. BRITISH AMERICAN TOBACCO PLC GB SPON ADR	A	Dividend	J	T					
38. CLOROX CO.	A	Dividend	J	T					
39. COCA COLA CO COM	A	Dividend	J	T					
40. COLGATE PALMOLIVE CO	A	Dividend	K	T					
41. CSX CORP	A	Dividend	J	T					
42. DIAGEO PLC NEW GB SPON ADR	A	Int./Div.	K	T					
43. EMERSON ELECTRIC	A	Dividend	J	T					
44. FAMCO MLP & ENERGY INCOME INSTITUTIONAL	B	Dividend	K	T					
45. GENL MILLS INC	A	Dividend	J	T					
46. ILLINOIS TOOL WORKS INC	A	Dividend	K	T					
47. INTEL CORP	A	Dividend	J	T					
48. JOHNSON & JOHNSON COM	A	Dividend	K	T					
49. MEDTRONIC	A	Dividend	J	T					
50. NESTLE S A SPONSORED ADR PEPSTG REG SHS SWITZ ADR	A	Dividend	J	T					
51. NEXTERA ENERGY INC COM	A	Dividend	K	T					

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52. NORTHEAST UTILITIES	A	Dividend	J	T					
53. OCCIDENTAL PETROLEUM CRP	A	Dividend	J	T					
54. PEARSON PLC SPON ADR	A	Dividend	J	T					
55. PROCTER & GAMBLE CO	A	Dividend	J	T					
56. RAYTHEON	A	Dividend			Sold	03/19/13	J	A	
57. SANOFI SPON ADR	A	Dividend	J	T					
58. TORONTO DOMINIUM BK NEW CANADA CAD	A	Dividend			Sold	06/10/13	J	A	
59. S.A. FRANCE SPON ADR	A	Dividend			Sold	12/11/13	J		
60. UNILEVER PLC AMER SHS NEW SPON ADR	A	Dividend	J	T					
61. UNITED PARCEL SERVICE	A	Dividend	J	T					
62. UNTD TECHNOLOGIES CORP	A	Dividend	J	T					
63. VF Corp	A	Dividend	J	T					
64. VODAFONE GROUP PLC NEW SPONS	A	Dividend			Sold	01/16/13	J	A	
65. AMERICAN FUNDS NEW WORLD FUND CLASS F	A	Interest	L	T					
66. CITIGROUP CAPITAL IX 6.0000% DUE 02/14/2033 CALLABLE 02/13/0	B	Interest	K	T					
67. NOVARTIS AG SPON ADR	A	Dividend	J	T					
68. PRIME PLUS INVESTMENTS TISHMAN SPEYER LLP	A	Dividend	J	U					

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69.	Fidelity Simple IRA for Offices of MTO/Halloran	A	Dividend	K	T				
70.	CALIFORNIA ST TAX BE/R/ MAND SINK FND	B	Interest	L	T				
71.	BLACKROCK EQUITY DIVIDEND FUND C	A	Dividend	M	T				
72.	PIONEER STRATEGIC INCOME FUND CLASS C	A	Dividend	L	T	Buy (add'l)	02/20/13	K	
73.	GE CAPITAL INTERNOTES NTS BE	B	Interest	K	T				
74.	Pimco Foreign Bond Fund Class A	C	Interest	L	T	Buy	02/20/13	L	
75.	Cal State Syng CRC	B	Interest	L	T	Buy	02/20/13	L	
76.	Cal St. Pub Works BR SRD	C	Interest	L	T	Buy	02/20/13	L	
77.	Coachella CA WTR Auth.	B	Interest	L	T	Buy	06/10/13	L	
78.	Microsoft Corp.	A	Dividend	J	T	Buy	12/13/13	J	
79.	Nordstrom Inc.	A	Dividend	J	T	Buy	08/14/13	J	
80.	Stanley Black & Decker	A	Dividend	J	T	Buy	08/16/13	J	
81.	Teva Pharmaceuticals Int'l	A	Dividend	J	T	Buy	02/12/13	J	
82.	Yum!Brands Inc.	A	Dividend	J	T	Buy	12/05/13	J	
83.									
84.									
85.									

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86.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Due to inadvertent error, certain investments were subject to transactions in previous periods that were not reported. These transactions are listed below.

1. Dow Chemical – Call Redemption on 03/15/11, Value code K
2. AMBAC FINL Group – Sold 1,000 shares 02/02/11, Value code J
3. Abbott Labs – sold 220 shares 02/08/11, Value code J
4. National Grid – sold 215 shares 10/10/11, Value code J
5. Praxair – sold 105 shares 07/12/11, Value code J
6. Roche – sold 255 shares 03/07/11, Value code J
7. Veolia – sold 310 shares on 08/12/11, Value code J
8. Los Angeles CA DEPT WTR SER A-2 FSA B/E/R/ 4.75 was purchased on 04/23/10 and was not sold on June 29, 2012.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Margaret M. Mann

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544