

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MCAULIFFE, STEVEN J.	2. Court or Organization U.S. DISTRICT COURT - N.H.	3. Date of Report 05/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (SENIOR)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address WARREN B. RUDMAN COURTHOUSE 55 PLEASANT STREET, ROOM 416 CONCORD, N.H. 03301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Fellow	New Hampshire Bar Foundation
2.	Director	Challenger Center for Space Science Education
3.	Trustee	Trust #1
4.	Partner	Piglet (Neighborhood Investment Club)
5.	Member	Shumac, LLC

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Accts Merrimack County Savings Bank	A	Interest	J	T					
2. IRA American Funds: Investment Company of America	B	Dividend	L	T					
3. IRA American Funds: Washington Mutual Inv Fund	A	Dividend	J	T					
4. Exxon Mobil Corp	A	Dividend	J	T					
5. UBS Retirement Money Fund (UBS Bank USA Dep Acct)	A	Interest	J	T					
6. IRA Franklin Templeton For. Fund	B	Dividend	L	T					
7. IRA E.I. du Pont de Nemours		None			Sold	01/10/13	J		
8. Pinchers' Investment Group Partnership Share (See Part VIII)					Closed				
9. -Diageo (DEO)		None			Sold	01/10/13	J	A	
10. Piglet Investment Club Partnership Shares (See Part VIII)	A	Interest	J	T					
11. -UBS RMA Cash Account	A	Interest	J	T					
12. -Guided Therapeutics		None	J	T					
13. -Earthlink Inc	A	Dividend			Sold	03/06/13	J	A	
14. -3-D Systems	A	Dividend			Sold	03/14/13	J	A	
15. -Bolt Tech Corp	A	Dividend	J	T					
16. -Facebook Inc	A	Dividend	J	T					
17. -Autodesk Inc	A	Dividend	J	T	Buy	02/06/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Ambarella Inc	A	Dividend	J	T	Buy	10/21/13	J		
19. -InvenSense Inc	A	Dividend	J	T	Buy	09/11/13	J		
20. CVS Caremark Corp	A	Dividend	J	T					
21. IRA iShares Russell Fund	A	Dividend	K	T					
22. IRA Midcap SPDR TR	A	Dividend	K	T					
23. Fed. Prime MM Fund (UBS Bank USA Dep Acct)	A	Dividend	M	T					
24. Merrimack County Savings Bank NOW Acct (2)	A	Interest	J	T					
25. Enterprise Products Partners	A	Dividend	K	T					
26. Kinder Morgan Energy LP	A	Dividend	J	T					
27. iShares TR Russell 2000 Index Fund	B	Dividend	L	T					
28. Norfolk South. Corp	A	Dividend	J	T					
29. IRA iShares Russell Mid-cap	A	Dividend	J	T					
30. iShares Trust Russell Midcap Growth Index Fund	A	Dividend	J	T					
31. IRA Grainger WW Inc	A	Dividend	J	T	Buy	01/07/13	J		
32. Apple Inc	A	Dividend	K	T					
33. Johnson & Johnson Inc	A	Dividend	J	T	Sold (part)	02/28/13	L	A	
34. Colgate-Palmolive Co	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other	W = Estimated		
	U = Book Value				

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. IBM Corp	A	Dividend			Sold	10/24/13	K	E	
36. Northern Trust Prime Obligation Fund 9/1	A	Dividend	K	T					
37. Simon Property Group Inc	B	Dividend	K	T					
38. Florida St. Brd Ed. Pub. Ed. Cap.	B	Interest	K	T					
39. iShares IBoxx Invst Grade DE Corp Bond Fund	B	Dividend	K	T					
40. Tennessee St. Ser. A	A	Interest	K	T					
41. Fairfax Cty. VA Pub. Ser. A	B	Interest	K	T					
42. Autoliv Inc	A	Dividend	L	T					
43. Smucker JM Co New	A	Dividend	K	T					
44. First Colebrook Bank	A	Dividend	J	T					
45. AFLAC Inc	A	Dividend	J	T					
46. DanaHER Corp	A	Dividend	J	T					
47. Google Inc (MCSB)	A	Dividend	J	T					
48. JP Morgan Chase Co	A	Dividend	J	T					
49. Oracle Corp	A	Dividend	J	T					
50. Starbucks Corp	A	Dividend	J	T					
51. Sysco Corp	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. BHP Billiton Ltd	A	Dividend	J	T					
53. iShare MSCI EAFE Index Fund	A	Dividend	K	T					
54. iShare Trust MSCI Emerging Markets	A	Dividend	J	T					
55. NH St. Genl Ob Ser A	A	Interest	K	T					
56. NH Muni Bond Ser B	A	Interest	K	T					
57. TJX Companies	A	Dividend	J	T					
58. Baker Hughes Inc		None			Sold	01/23/13	J	A	
59. VISA Inc	A	Dividend	J	T					
60. Qualcomm Inc	A	Dividend	J	T					
61. Amazon Inc	A	Dividend			Sold	05/06/13	J	A	
62. Chevron Corp (MCSB)	A	Dividend	J	T					
63. Citrix Systems	A	Dividend	J	T					
64. Coca Cola Company	A	Dividend	J	T					
65. Emerson Electric Co	A	Dividend	J	T					
66. Intuitive Surgical	A	Dividend			Sold	12/09/13	J	A	
67. Prudential Financial Inc	A	Dividend	J	T					
68. S&P 400 Midcap Index	A	Dividend	J	T					

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69. Google Inc (UBS)	A	Dividend	K	T					
70. Home Depot Inc	A	Dividend	K	T					
71. General Electric Co	A	Dividend	K	T					
72. Henderson Global Equity	A	Dividend	K	T					
73. E.I. du Pont de Nemours		None			Sold	01/10/13	K	C	
74. Brown-Forman Corp	A	Dividend	K	T					
75. Chevron Corp (UBS)	A	Dividend	K	T					
76. iShares Trust Russell 1000	A	Dividend	K	T					
77. Abbott Labs	A	Dividend	J	T					
78. American Express Co	A	Dividend	J	T					
79. BB&T Corp	A	Dividend	J	T					
80. Celgene Corp	A	Dividend	K	T					
81. Coach Inc	A	Dividend			Sold	12/05/13	J	A	
82. Comcast Corp New	A	Dividend	J	T					
83. eBay Inc	A	Dividend	J	T					
84. Fluor Corp	A	Dividend	J	T					
85. US Bancorp	A	Dividend	J	T					

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86. AbbVie Inc (From Abbott Labs)	A	Dividend	J	T	Spinoff (from line 77)	01/08/13	J		
87. Neuberger Berman MLP Income Fund	A	Dividend	J	T	Buy	03/28/13	J		
88. Valero Energy Corp	A	Dividend	J	T	Buy	06/11/13	J		
89. Michael Kors	A	Dividend	J	T	Buy	08/27/13	J		
90. CH Robinson WW Inc		None	J	T	Buy	12/05/13	J		
91. Fluor Corp		None	J	T	Buy	12/06/13	J		
92. Cerner Corp		None	J	T	Buy	12/09/13	J		
93. Chubb Corp	A	Dividend	K	T	Buy	01/10/13	J		
94. Gilead Sciences Inc	A	Dividend	K	T	Buy	01/10/13	J		
95. Henderson Global FDS Euro	A	Dividend	K	T	Buy	01/13/13	J		
96. Shumac LLC 50% Int (Holds Lake Property in Merrimack Cty, NH)		None	N	W					
97. R.P. Jobin, Personal Loan		None	K	T					
98. Northern Trust Prime Obligation Fund 9/1 [C]	A	Dividend	K	T					
99. Johnson & Johnson [C]	A	Dividend	K	T					
100. Deere & Co [C]	A	Dividend	J	T					
101. AFLAC Inc [C]	A	Dividend	J	T					
102. Apple Inc [C]	A	Dividend	K	T					

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103. McDonald's Corp [C]		None			Sold	01/23/13	J	C	
104. Abbott Labs [C]	A	Dividend	J	T					
105. Chevron Corp [C]	A	Dividend	K	T					
106. Colgate-Palmolive Co [C]	A	Dividend	K	T					
107. Danaher Corp [C]	A	Dividend	K	T					
108. du Pont E.I. de Nemours Co [C]	A	Dividend	J	T					
109. JP Morgan Chase Co [C]	A	Dividend	K	T					
110. Nike Inc [C]	A	Dividend	J	T					
111. Oracle Corp [C]	A	Dividend	K	T					
112. Procter & Gamble Co [C]	A	Dividend	K	T					
113. Spectra Energy Corp [C]	A	Dividend	J	T					
114. Starbucks Corp [C]	A	Dividend	J	T					
115. Sysco Corp [C]	A	Dividend	J	T					
116. UnitedHealth Group Inc [C]	A	Dividend	K	T					
117. Wells Fargo & Co [C]	A	Dividend	K	T					
118. Buffalo Small Cap Fund [C]	A	Dividend	J	T					
119. iShares Trust MSCI EAFE Index Fund [C]	A	Dividend	J	T					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. iShares Trust MSCI Emerging Markets [C]	A	Interest	K	T					
121. UBS Pace Money Market Investments Fund Class P [K]	A	Dividend	J	T					
122. F. Templeton Global Bond A [K]	A	Dividend	J	T					
123. Arbitrage Fund[K]	A	Dividend	J	T					
124. BlackRock Equity Dividend Fund[K]	A	Dividend	J	T					
125. Calamos Market Neutral Income Fund[K]	A	Dividend	J	T					
126. Calamos International Growth Fund[K]	A	Dividend	J	T					
127. Dreyfus Small Co Value Fund[K]	A	Dividend	J	T					
128. Harbor International Fund Investor Class[K]	A	Dividend			Sold	05/06/13	J		
129. Natixis Loomis Sayles Core Plus Bond Fund[K]	A	Dividend	J	T					
130. Oppenheimer Developing Markets Fund Class A[K]	A	Dividend			Sold	05/06/13	J		
131. Pimco Total Return Fund[K]	A	Dividend			Sold	05/06/13	J		
132. Pace Money Market Investments Fund[K]	A	Dividend			Sold	05/06/13	J		
133. Principal High Yield Fund[K]	A	Dividend			Sold	05/06/13	J		
134. Royce Premier Fund[K]	A	Dividend	J	T					
135. Wilmington Intermediate Term Bond Fund[K]	A	Dividend			Sold	05/06/13	J		
136. Invesco Global Real Estate Fund[K]	A	Dividend			Sold	05/06/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Laudus Growth Inv US Large Cap Fund[K]	A	Dividend	J	T					
138. UBS Pimco College Fund 529(1)[K]	A	Interest	J	T					
139. UBS Pimco College Fund 529(2)[K]	A	Interest	K	T					
140. Fidelity Advisor Materials Fund Class A[K]	A	Dividend	J	T	Buy	05/13/13	J		
141. Invesco Developing Markets Fund Class A[K]	A	Dividend	J	T	Buy	05/13/13	J		
142. Oakmark International Fund[K]	A	Dividend	J	T	Buy	05/03/13	J		
143. Delaware Corporate Bond Fund A[K]	A	Dividend	J	T	Buy	05/03/13	J		
144. Ivy High Income Fund[K]	A	Dividend	J	T	Buy	05/03/13	J		
145. Metropolitan West Total Return Bond Fund[K]	A	Dividend	J	T	Buy	05/03/13	J		
146. Pimco Real Return Fund[K]	A	Dividend	J	T	Buy	05/03/13	J		
147. RidgeWorth High Grade Municipal Bond Fund[K]	A	Dividend	J	T	Buy	05/03/13	J		
148. T. Rowe Price Tax Free Short Intermediate Fund[K]	A	Dividend	J	T	Buy	05/03/13	J		
149. TCW Emerging Markets Income Fund[K]	A	Dividend	J	T	Buy	05/03/13	J		
150. MainStay Marketfield Fund[K]	A	Dividend	J	T	Buy	05/03/13	J		
151. First Eagle Global Fund[K]	A	Dividend	J	T	Buy	05/03/13	J		
152. Prudential Financial Inc [C]	A	Dividend	J	T					
153. S&P 400 Midcap Index [C]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Citrix Systems [C]	A	Dividend	J	T					
155. Coach Inc [C]	A	Dividend			Sold	12/05/13	K	A	
156. Cognizant Technology Solutions [C]	A	Dividend	K	T					
157. Cummins Inc [C]	A	Dividend	K	T					
158. BlackRock Equity Divident Fund A [C]	A	Dividend	K	T					
159. Harbor International Fund Investor Class [C]	A	Dividend	J	T					
160. Henderson Global Investors International Opportunities Fund A [C]	A	Dividend	J	T					
161. ING Global Real Estate Fund Class A [C]	A	Dividend	J	T					
162. John Hancock Large Cap Equity Fund Class A [C]	A	Dividend	K	T					
163. Neuberger Berman Genesis Fund Investor Class [C]	A	Dividend	J	T					
164. Oppenheimer Developing Markets Fund Class A [C]	A	Dividend	J	T					
165. Royce Premier Fund [C]	A	Dividend	J	T					
166. F. Templeton Global Bond A [C]	A	Interest	K	T					
167. Pimco Total Return Fund Class A [C]	B	Dividend	K	T					
168. Wilmington Short/ Intermediate-Term Bond Fund [C]	B	Dividend	K	T					
169. Aberdeen Equity Long- Short Fund Class A [C]	A	Dividend	J	T					
170. JP Morgan Multi-cap Market Neutral Fund [C]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Van Eck Global Hard Assets Fund Class A [C]	A	Dividend	J	T					
172. Baker Hughes Inc [C]		None			Sold	01/23/13	J	A	
173. VISA Inc [C]	A	Dividend	K	T					
174. Qualcomm Inc [C]	A	Dividend	J	T					
175. Amazon Inc [C]	A	Dividend			Sold	05/06/13	K	A	
176. Apache Corp [C]	A	Dividend			Sold	08/30/13	J	A	
177. Whole Foods Market Inc [C]	A	Dividend	K	T					
178. iShares FTSE/Xinhua China 25 Index Fund [C]	A	Dividend	J	T					
179. BB&T Corp[C]	A	Dividend	K	T					
180. Celgene Corp[C]	A	Dividend	J	T					
181. Comcast Corp New[C]	A	Dividend	J	T					
182. eBay Inc[C]	A	Dividend	J	T					
183. Fluor Corp[C]	A	Dividend	K	T					
184. US Bancorp[C]	A	Dividend	K	T					
185. AbbVie Inc[C] (From Abbott Labs)	A	Dividend	J	T	Spinoff (from line 104)	01/08/13	J		
186. JP Morgan Perpetual Preferred[C]	A	Dividend	J	T	Buy	02/05/13	J		
187. iShares MSCI Pacific[C]	A	Dividend	J	T	Buy	01/23/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Kinder Morgan Energy Partners LP[C]	A	Dividend	J	T	Buy	01/23/13	J		
189. Neuberger Berman Income Fund[C]	A	Dividend	J	T	Buy	03/28/13	J		
190. Valero Energy Corp[C]	A	Dividend	J	T	Buy	06/11/13	J		
191. Michael Kors[C]	A	Dividend	J	T	Buy	08/27/13	J		
192. Chesapeake Energy Corp[C]	A	Dividend	J	T	Buy	08/30/13	J		
193. CH Robinson Worldwide Inc[C]		None	K	T	Buy	12/05/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. INVESTMENTS AND TRUSTS.

Trust #1 assets are listed in Part VII, identified by the designation "[C]."

PART VII. INVESTMENTS AND TRUSTS.

Line 8. Pinchers' Investment Group Partnership Share - Aggregated (specific holdings noted by " - " below) (investment club - aggregated).

Line 10. Piglet Investment Club Partnership - Aggregated (specific holdings are listed below main entry and designated by " - ").

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Name of Person Reporting

MCAULIFFE, STEVEN J.

Date of Report

05/14/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ STEVEN J. MCAULIFFE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544