

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>MASON, MICHAEL T.                                                                                                                    | <b>2. Court or Organization</b><br><br>NORTHERN DIRICT OF ILLINOIS                                                                                                                                                                                                                       | <b>3. Date of Report</b><br><br>04/10/2014                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. MAGISTRATE JUDGE [FULL]                                                  | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2013<br>to<br>12/31/2013 |
| <b>7. Chambers or Office Address</b><br><br>219 S. DEARBORN STREET<br>ROOM 2270<br>CHICAGO, IL 60604                                                                                                      |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|-----------------|------------------------------------|
| 1. |                 |                                    |
| 2. | TRUSTEE         | TRUST #1, TRUST #2, TRUST #1       |
| 3. |                 |                                    |
| 4. |                 |                                    |
| 5. |                 |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

|    | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. |             |                          |
| 2. |             |                          |
| 3. |             |                          |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

MASON, MICHAEL T.

Date of Report

04/10/2014

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

COOK COUNTY COURT SYSTEM - SALARY

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1.                                                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 2. [REDACTED] TRUST #1                                                                                                      |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. [REDACTED] TRUST #2                                                                                                      |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. MUTUAL FUND:                                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 5. VAN KAMPEN EQUITY & INCOME                                                                                               |                                         | None                                          | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. IRA #1                                                                                                                   | C                                       | Int/Div.                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. COMMON STOCK:                                                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 8. IRA FIDELITY CASH ACCOUNT (X)                                                                                            |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. PIMCO DEVELOPING LOCAL MKT D                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. TCW TOTAL RETURN BOND FUND (X)                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 11. WESTERN ASSET COREPLUS (X)                                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 12. ALGER FD SM CAP PTFLO A (X)                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 13. ASTON/RIVER ROAD SMALL CAP<br>VALUE                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. DELAWARE VALUE A (X)                                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 15. FIDELITY SPARTAN US EQUITY<br>INDEX ADV CLASS (X)                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 16. T ROWE PRICE GROWTH STOCK                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. TCW DIVIDEND FOCUSED (X)                                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                 |   |          |   |   |                |          |   |   |  |
|-------------------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 18. TURNER MIDCAP GROWTH                        | A | Dividend | J | T |                |          |   |   |  |
| 19. VICTORY DIVERSIFIED STOCK                   | A | Dividend | J | T |                |          |   |   |  |
| 20.                                             |   |          |   |   | Sold<br>(part) | 11/8/12  | J | A |  |
| 21. DWS REEF GLOBAL REAL ESTATE<br>FUND (X)     |   |          |   |   |                |          |   |   |  |
| 22. LEUTHOLD ASSET ALLOCATION (X)               |   |          |   |   |                |          |   |   |  |
| 23. LISTED PRIVATE EQUITY FUND A (X)            |   |          |   |   |                |          |   |   |  |
| 24. MERGER FUND (X)                             |   |          |   |   |                |          |   |   |  |
| 25. GUGGENHEIM OCEAN TOMO PATENT<br>(X)         |   |          |   |   |                |          |   |   |  |
| 26. GUGGENHEIM RAYMOND JAMES SB-1<br>EQUITY (X) |   |          |   |   |                |          |   |   |  |
| 27. GUGGENHEIM TF INSIDER<br>SENTIMENT ETF (X)  |   |          |   |   |                |          |   |   |  |
| 28. TURNER QUANTITATIVE BROAD MRKT<br>EQ INSTL  | A | Dividend | J | T |                |          |   |   |  |
| 29. VANGUARD INDEX FDS VANGUARD<br>TOTAL STK MK | A | Dividend | J | T |                |          |   |   |  |
| 30. DOUBLELINE TOTAL RETURN                     | A | Dividend | J | T |                |          |   |   |  |
| 31.                                             |   |          |   |   | Sold<br>(part) | 06/12/13 | J | A |  |
| 32. DREYFUS BOND MARKET INDEX                   | A | Dividend | J | T |                |          |   |   |  |
| 33. COLUMBIA DIVIDEND OPPORTUNITY<br>(Y)        |   |          |   |   |                |          |   |   |  |
| 34. COLUMBIA SELECT LARGE CAP<br>GROWTH (X)     |   |          |   |   |                |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                      |   |           |   |   |             |          |   |   |  |
|--------------------------------------|---|-----------|---|---|-------------|----------|---|---|--|
| 35. WASATCH EMERGING MKTS SMALL CP   | A | Dividend  | J | T |             |          |   |   |  |
| 36. WASATCH INTL OPPORTUNITIES (X)   |   |           |   |   |             |          |   |   |  |
| 37. WESTCORE SELECT                  | A | Dividend  | J | T |             |          |   |   |  |
| 38.                                  |   |           |   |   | Sold (part) | 06/12/13 | J | A |  |
| 39.                                  |   |           |   |   |             |          |   |   |  |
| 40. IRA #2                           | D | Int./Div. | N | T |             |          |   |   |  |
| 41. IRA FIDELITY CASH ACCOUNT (X)    | A | Dividend  | J | T |             |          |   |   |  |
| 42. PIMCO DEVELOPING LOCAL MKT D (Y) |   |           |   |   |             |          |   |   |  |
| 43. WESTERN ASSET COREPLUS           | A | Dividend  | J | T |             |          |   |   |  |
| 44. ALGER FD SM CAP PTFLO A          | A | Dividend  | J | T | Sold        | 12/13/13 | J | A |  |
| 45. ASTON/RIVER ROAD SMALL CAP VALUE | A | Dividend  | J | T |             |          |   |   |  |
| 46. CRM MID CAP VALUE                | A | Dividend  | J | T |             |          |   |   |  |
| 47.                                  |   |           |   |   | Sold (part) | 06/12/13 | J | A |  |
| 48. DELAWARE VALUE A (X)             |   |           |   |   |             |          |   |   |  |
| 49. JANUS ADVISER FORTY (X)          |   |           |   |   |             |          |   |   |  |
| 50. RIVERNORTH CORE OPPORTUNITY FUND | A | Dividend  | J | T |             |          |   |   |  |
| 51. T ROWE PRICE GROWTH STOCK (X)    |   |           |   |   |             |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                           | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                      |   |          |   |   |                |          |   |  |  |
|--------------------------------------|---|----------|---|---|----------------|----------|---|--|--|
| 52. TURNER MIDCAP GROWTH (X)         |   |          |   |   |                |          |   |  |  |
| 53. VICTORY DIVERSIFIED STOCK        | A | Dividend | J | T |                |          |   |  |  |
| 54.                                  |   |          |   |   |                |          |   |  |  |
| 55. LEUTHOLD ASSET ALLOCATION (Y)    |   |          |   |   |                |          |   |  |  |
| 56. LISTED PRIVATE EQUITY FUND A (Y) |   |          |   |   |                |          |   |  |  |
| 57. TRUST #1                         |   |          |   |   |                |          |   |  |  |
| 58. COMMON STOCK:                    |   |          |   |   |                |          |   |  |  |
| 59. GOOGLE INC (Y)                   |   |          |   |   |                |          |   |  |  |
| 60. APPLE COMPUTER INC (Y)           |   |          |   |   |                |          |   |  |  |
| 61. UNITEDHEATH GROUP INC (Y)        |   |          |   |   |                |          |   |  |  |
| 62. BOEING CO (Y)                    |   |          |   |   |                |          |   |  |  |
| 63. HEWLETT PAC COMPANY (Y)          |   |          |   |   |                |          |   |  |  |
| 64. JP MORGAN CHASE & CO (Y)         |   |          |   |   |                |          |   |  |  |
| 65. AMERICAN INTL GROUP INC (Y)      |   |          |   |   |                |          |   |  |  |
| 66. GENERAL ELECTRIC COMPANY (Y)     |   |          |   |   |                |          |   |  |  |
| 67. MCDONALDS CORP                   | A | Dividend | J | T | Buy<br>(add'l) | 08/16/13 | J |  |  |
| 68. TIME WARNER INC (Y)              |   |          |   |   |                |          |   |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. CHEVRON TEXACO CORP (Y)                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 70. CONOCOPHILLIPS COM (Y)                                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 71. KOHLS (Y)                                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 72. SCHWAB CHARLES CORP (Y)                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 73. CISCO SYSTEM INC (Y)                                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 74. HYPO REAL ESATE HLDG AG (Y)                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 75. MEDCO HEALTH SOLUTIONS (Y)                                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 76. SPDR TR UNIT SER 1                                                                                                      |                                         | None                                          | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 77. PHILIP MORRIS INTL IN (X)                                                                                               |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 78. ISHARES RUSSELL 2000 (Y)                                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 79. T ROWE PRICE INTL FDS EMRG                                                                                              |                                         | None                                          | J                                               | T                                         | Buy<br>(add'l)                                  | 06/12/13                | J                               |                                |                                                                   |
| 80. ISHARES TR RUSSELL 1000 GROWTH<br>INDEX                                                                                 |                                         | None                                          | J                                               | T                                         | Buy<br>(add'l)                                  | 06/12/13                | J                               |                                |                                                                   |
| 81. DREYFUS GREATER CHINA CL A                                                                                              |                                         | None                                          | J                                               | T                                         | Sold<br>(part)                                  | 06/12/13                | J                               |                                |                                                                   |
| 82. ISHARES TR FTSE XINHUA HK CHINA<br>25 INDEX                                                                             |                                         | None                                          | J                                               | T                                         | Buy                                             | 06/12/13                | J                               |                                |                                                                   |
| 83.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/16/13                | J                               | A                              |                                                                   |
| 84. ISHARES TR MSCI EAFE INDEX FD (X)                                                                                       |                                         | None                                          | J                                               | T                                         | Sold                                            | 08/16/13                | J                               | C                              |                                                                   |
| 85. ISHARES TR RUSSELL 1000 VALUE<br>INDEX FD                                                                               |                                         | None                                          | J                                               | T                                         | Buy<br>(add'l)                                  | 06/12/13                | J                               | A                              |                                                                   |

|                                                  |                                                                                      |                                                      |                                                                                       |                                                            |                        |
|--------------------------------------------------|--------------------------------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                        | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H ~\$1,000,001 - \$5,000,000                                  | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$250,000,001 - \$500,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q ~Appraisal<br>U ~Book Value                                                        | R ~Cost (Real Estate Only)<br>V ~Other               | S ~Assessment<br>W ~Estimated                                                         | T ~Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

MASON, MICHAEL T.

Date of Report

04/10/2014

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                        |   |              |   |   |  |  |  |  |  |
|--------------------------------------------------------|---|--------------|---|---|--|--|--|--|--|
| 86. ISHARES GOLD TRUST ISHARES ISIN                    | A | Dividend     | J | T |  |  |  |  |  |
| 87. BONDS:                                             |   |              |   |   |  |  |  |  |  |
| 88. ILLINOIS STATE COLLEGE SAVINGS<br>G/O RFGD         |   | None         | J | T |  |  |  |  |  |
| 89. MINNEAPOLIS,MN COMMUNITY<br>DEVELOPMENT AGENCY (X) |   | None         | K | T |  |  |  |  |  |
| 90.                                                    |   |              |   |   |  |  |  |  |  |
| 91. MONEY MARKET FUNDS:                                |   |              |   |   |  |  |  |  |  |
| 92. CEF TAX EXEMPT MONEY MARKET<br>PORTFOLIO           | A | Dividend     | J | T |  |  |  |  |  |
| 93.                                                    |   |              |   |   |  |  |  |  |  |
| 94. ACCOUNTS:                                          |   |              |   |   |  |  |  |  |  |
| 95. CITI BANK                                          | A | Interest     | L | T |  |  |  |  |  |
| 96. NORTHERN TRUST BANK                                | A | Interest     | J | T |  |  |  |  |  |
| 97.                                                    |   |              |   |   |  |  |  |  |  |
| 98. TRUST #3                                           |   |              |   |   |  |  |  |  |  |
| 99. WELLS FARGO BANK                                   | A | Distribution | J | T |  |  |  |  |  |
| 100.                                                   |   |              |   |   |  |  |  |  |  |
| 101.                                                   |   |              |   |   |  |  |  |  |  |
| 102.                                                   |   |              |   |   |  |  |  |  |  |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes   | P3 = \$25,000,001 - \$50,000,000 | R = Cost (Real Estate Only) | P4 = More than \$50,000,000    | S = Assessment                  | T = Cash Market         |
| (See Column C2)         | Q = Appraisal                    | V = Other                   | W = Estimated                  |                                 |                         |
|                         | U = Book Value                   |                             |                                |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

MASON, MICHAEL T.

Date of Report

04/10/2014

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|      |  |  |  |  |  |  |  |  |  |
|------|--|--|--|--|--|--|--|--|--|
| 103. |  |  |  |  |  |  |  |  |  |
| 104. |  |  |  |  |  |  |  |  |  |
| 105. |  |  |  |  |  |  |  |  |  |
| 106. |  |  |  |  |  |  |  |  |  |
| 107. |  |  |  |  |  |  |  |  |  |
| 108. |  |  |  |  |  |  |  |  |  |
| 109. |  |  |  |  |  |  |  |  |  |
| 110. |  |  |  |  |  |  |  |  |  |
| 111. |  |  |  |  |  |  |  |  |  |
| 112. |  |  |  |  |  |  |  |  |  |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                  | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

MASON, MICHAEL T.

Date of Report

04/10/2014

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

MASON, MICHAEL T.

Date of Report

04/10/2014

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **MICHAEL T. MASON**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544