

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Donald, Bernice B.	2. Court or Organization Court of Appeals, Sixth	3. Date of Report 02/29/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Court of Appeals, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Court of Appeals 167 N. Main Street, #1132 Clifford Davis/ Odell Horton Federal Building Memphis, TN 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Vice Chair, Criminal Justice Section	American Bar Association
2. Director	Soulsville Museum
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. University of Virginia	January 3-5, 2014	Charlottesville, VA	Trial Advocacy program	Transportation, Lodging, & Food
2. American Bar Association	January 17-19, 2014	San Diego, CA	Meeting, Litigation Section	Transportation, Lodging, & Food
3. American Bar Association	February 5-9, 2014	Chicago, IL	Mid-year meeting	Transportation, Lodging, & Food
4. Federal Bar Association	February 19, 2014	Detroit, MI	Bar Speech, Black History	Transportation, Lodging, & Food
5. St. John's University	March 3-5, 2014	New York, NY	Bankruptcy Moot Court	Transportation, Lodging, & Food
6. University of Wisconsin	March 29-31, 2014	Madison, WI	Appellate Moot Court	Transportation, Lodging, & Food
7. University of Southern Illinois	April 7-9, 2014	Champaign, IL	Moot Court competition	Transportation, Lodging, & Food

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8.	American Bar Association	April 9-13, 2014	Los Angeles, CA	Standards Committee meeting	Transportation, Lodging, & Food
9.	University of California @ Berkley	May 17-18, 2014	Berkley, California	Commencement Speech	Transportation, Lodging, & Food
10.	American Law Institute	May 18-21, 2014	Washington, DC	Educational Conference and meeting	Transportation, Lodging, & Food
11.	American Bar Association	June 4-6, 2014	Kansas City, MO	Board of Govenors	Transportation, Lodging, & Food
12.	American Bar Association	June 19-22, 2014	Kohler, WI	Meeting	Transportation, Lodging, & Food
13.	Defense Research Institute	July 17-18, 2014	Chicago, IL	Ethics & Appellate Advocacy speech	Transportation, Lodging, & Food
14.	American Bar Association	August 7-12, 2014	Boston, MA	Annual meeting	Transportation, Lodging, & Food
15.	Department of Justice	August 13-15, 2014	Columbia, SC	Advocacy training	Transportation, Lodging, & Food
16.	Law & Economics Institute	October 5-8, 2014	Alexandria, VA	Pension fund seminar	Transportation, Lodging, & Food
17.	United States Patent & Trademark Office	October 17-25, 2014	Manila, Phillipines	Faculty, Judicial Training (ASEAN)	Transportation, Lodging, & Food
18.	American Bar Association	November 4-5, 2014	Los Angeles, CA	Faculty, Labor and Employment Educational program	Transportation, Lodging, & Food
19.					
20.					
21.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. First Horizon	B	Dividend	J	T					
2. Proctor & Gamble	D	Distribution	J	T					
3. Reynolds American Inc.	C	Dividend	J	T					
4. Ford Motor Co	B	Dividend	J	T					
5. Pioneer Cash Reserve	C	Dividend	J	T					
6. Facebook		None	K	T					
7. Waddell & Reed Investment account (H)									
8. IVY Balanced Fund A	A	Dividend	J	T		1/14/13	J		
9. IVY International CORE Fund A	A	Dividend	J	T		1/14/13	J		
10. IVY Global Nat Resources Fund A	A	Dividend	J	T		1/14/13	J		
11. IVY MidCap Growth Fund A	A	Dividend	J	T		1/14/13	J		
12. IVY Science & Tech Fund	A	Dividend	J	T		1/14/13	J		
13. Waddell & Reed Asset Strategy Fund A	A	Dividend	J	T		1/14/13	J		
14. Waddell & Reed Continental Income Fund A	A	Dividend	J	T		1/14/13	J		
15. Waddell & Reed Muni Bond Fund A	A	Dividend	K	T		1/14/13	K		
16. Waddell & Reed Tax Managed Equity Fund	A	Dividend	J	T		1/14/13	J		
17. Waddekk & Reed Value Fund A	B	Dividend	K	T		1/14/13	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Waddell & Reed Cash Management Fund A		None	J	T		1/14/13	J		
19.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VIII I opened and investment account with Waddell & Reed in February 2013, to which I contribute \$3500.00 monthly from my federal salary.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Bernice B. Donald**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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