

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) McConnell, Jr, John J.	2. Court or Organization District of Rhode Island	3. Date of Report 09/12/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2013 to 12/31/2013
5b. ☒ Amended Report		
7. Chambers or Office Address United States District Court District of Rhode Island One Exchange Terrace Providence, RI 02903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Officer and Board Member	Crossroads RI
2. Member	Marthas Vineyard Colonial Inn LLC
3. Board Member	Institute for the Study & Practice of NonViolence
4. Board Member	Trinity Repertory Company
5. Custodian	Alliance Capital 529 College Fund #2
6. Trustee	[REDACTED] Trust #1
7. Trustee	[REDACTED] Trust #2
8. Board Member	Downtown Providence Parks Conservancy
9. Chair, Selection Committee	Hassenfeld Family Foundation, RI Public Interest Service Fellowship

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/30/2003	Ness Motley, P.A. (n/k/a MRRM, P.A.) Employment and Compensation Agreement (see Section VIII for further details)
2. 5/13/2011	Assignment of Certain Rights in MRRM, P.A. (see Section VIII for further details)

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3. 5/13/2011 Assignment of Membership Interests in Motely Rice LLC and Mutual Release (see Section VIII for further details)

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/2013	Deferred Compensation, MRRM PA	\$2,468,690.00
2. 12/31/2013	Rental Income, Charlestown, RI	\$56,000.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	K
2.	Diners Club	Credit Carde	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Brokerage Account #1 (H)									
2. --Wells Fargo Money Fund	A	Interest	L	T					
3. --Altera Corp	A	Dividend	L	T					
4. --Apple Inc	A	Dividend	K	T	Buy	03/27/13	J		
5. --CME Group	A	Dividend	K	T					
6. --EMC Corp Mass	A	Dividend	J	T					
7. --Elements Rogers Agri Tot Ret		None			Sold	08/16/13	K		
8. --Express Scripts Hldg Co		None	K	T					
9. --Intel Corp		None	K	T	Buy	11/08/13	K		
10. --Ishares Tr Russell 2000 Index Fd	B	Dividend	M	T					
11. --Johnson & Johnson	A	Dividend	K	T	Buy	08/16/13	K		
12. --Potash Corp of Saskatchewan Inc	A	Dividend			Sold	11/05/13	K		
13. --Powershares DB Agriculture Fund	A	Dividend			Sold (part)	08/16/13	K		
14. --Powershares DB Agriculture Fund					Sold	11/04/13	K		
15. --Powershares DB Base Metals Funds	A	Dividend			Sold	11/04/13	K		
16. --Proshares Trust ETF Short 20+ Yr Treasury			K	T					
17. --Qualcomm Inc	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --SPDR Gold Trust			J	T					
19. --SPDR S&P Biotech ET	A	Dividend	K	T					
20. --SPDR S&P Midcap 400 Trust Series N	B	Dividend	L	T					
21. --SPDR S&P 500 Trust	C	Dividend	M	T					
22. --SPDR Ser Tr S&P 600 Small Cap	B	Dividend	L	T					
23. --Vanguard MSCI Emerging Markets	B	Dividend	K	T	Sold (part)	11/05/13	K		
24. --Vanguard S&P 500	B	Dividend	L	T					
25. --Vanguard Total Stock Market	A	Dividend	K	T					
26. --Vanguard Total World Stock Index Fund	B	Dividend	L	T					
27. --Xilinx Inc	B	Dividend	L	T					
28.									
29. Trust #1 (H)									
30. --Wells Fargo Money Fund	A	Interest	L	T					
31. --Altera Corp	A	Dividend	L	T					
32. --Apple Inc	A	Dividend	K	T	Buy	03/27/13	J		
33. --CME Group	A	Dividend	K	T					
34. --EMC Corp Mass	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35. --Elements Rogers Agri Tot Ret					Sold	08/16/13	K		
36. --Express Scripts Hldg Co			K	T					
37. --Intel Corp			K	T	Buy	11/08/13	K		
38. --ISHRS Russell 2000 Index	B	Dividend	M	T					
39. --Johnson & Johnson	A	Dividend	K	T	Buy	08/16/13	K		
40. --Potash Corp of Saskatchewan Inc	A	Dividend			Sold	11/05/13	J		
41. --Powershares DB Agriculture Fund	A	Dividend			Sold (part)	08/16/13	K		
42. --Powershares DB Agriculture Fund					Sold	11/04/13	K		
43. --Powershares DB Base Metals Funds	A	Dividend			Sold	11/04/13	K		
44. --Proshares Trust Short 20+ Yr Treasury			K	T					
45. --Qualcomm Inc	C	Dividend	M	T					
46. --SPDR Gold Trust			J	T					
47. --SPDR S&P 500 Trust	C	Dividend	M	T					
48. --SPDR S&P Biotech	A	Dividend	K	T					
49. --SPDR S&P Midcap 400	B	Dividend	L	T					
50. --SPDR Ser Tr S&P 600 Small Cap	B	Dividend	L	T					
51. --Vanguard MSCI Emerging Markets	A	Dividend	K	T	Sold (part)	11/05/13	K	A	

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52. --Vanguard S&P 500 ETF	B	Dividend	L	T	Sold (part)	10/24/13	J	A	
53. --Vanguard Total Stock Market ETF	A	Dividend	K	T					
54. --Vanguard Total World Stock Index Fund	B	Dividend	L	T					
55. --Xilinx Inc	B	Dividend	L	T					
56.									
57. Trust #2 (H)									
58. --Wells Fargo Money Fund	A	Interest	N	T					
59. --Altera Corp	C	Dividend	M	T					
60. --Apple Inc	B	Dividend	L	T	Buy	03/27/13	K		
61. --CME Group	C	Dividend	M	T	Buy	02/28/13	K		
62. --EMC Corp Mass	A	Dividend	L	T	Buy	02/28/13	K		
63. --Elements Rogers Agri Tot Ret ETN					Sold	08/16/13	L		
64. --Express Scripts Hldg Co			M	T	Buy	02/28/13	K		
65. --Intel Corp			L	T	Buy	11/08/13	L		
66. --ISHRS Russell 2000 Index	D	Dividend	N	T					
67. --Johnson & Johnson	B	Dividend	L	T	Buy	08/06/13	L		
68. --Potash Corp of Saskatchewan Inc	C	Dividend			Sold	11/15/13	L		

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69. --Powershares DB ETF Agriculture Fd					Sold (part)	08/06/13	K		
70. --Powershares DB ETF Agriculture Fd					Sold	11/04/13	K		
71. --Powershares DB Base Metals Funds					Sold	11/04/13	K		
72. --Proshares Trust Short 20+ Yr Treasury			L	T					
73. --Qualcomm Inc	D		N	T					
74. --SPDR Gold Trust			L	T					
75. --SPDR S&P Biotech	A	Dividend	L	T					
76. --SPDR S&P Midcap 400	C	Dividend	N	T					
77. --SPDR S&P 500 Trust ETF	D	Dividend	N	T					
78. --SPDR Ser Tr S&P 600 Small Cap ETF	D	Dividend	M	T					
79. --Vanguard Mid Cap ETF	A	Dividend	L	T	Buy	02/28/13	K		
80. --Vanguard MSCI Emerging Markets	C	Dividend	L	T	Sold (part)	11/05/13	L		
81. --Vanguard S&P 500 ETF	C	Dividend	M	T					
82. --Vanguard Total Stock Market ETF	C	Dividend	M	T					
83. --Vanguard Total World ETF Stock Index Fd	C	Dividend	M	T					
84. --Xilinx Inc.	D	Dividend	N	T					
85.									

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86. IRA #1 (H)									
87. --Wells Fargo Cash	A	Interest	K	T					
88. --Wells Fargo Money Fund					Sold	06/13/13	J		
89. --Apple Inc	A	Dividend	J	T	Buy	03/27/13	J		
90. --CME Group	A	Dividend	J	T					
91. --EMC Corp Mass	A	Dividend	J	T					
92. --ETFS Platinum Trust Shs Ben Int ETF			J	T					
93. --Express Scripts Hldg Co			J	T					
94. --Intel Corp			J	T	Buy	11/08/13	J		
95. --Ishares NYSE Composite Index	A	Dividend	J	T					
96. --Ishares Russell Microcap Index Fund	A	Dividend	J	T					
97. --Ishares TR MSCI Emerging Mkts Index Fd	A	Dividend	J	T					
98. --Johnson & Johnson	A	Dividend	J	T	Buy	08/16/13	J		
99. --Potash Corp of Saskatchewan Inc	A	Dividend	J	T					
100. --Powershares DB Agriculture Fund ETF					Sold	11/04/13	J		
101. --Powershares DB Base Metals Funds					Sold	11/04/13	J		
102. --Proshares Trust Short 20+ Treasury			J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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103. --Guggenheim S&P 500 Equal Wghted Index Fd fka Rydex	A	Dividend	J	T					
104. --SPDR Gold Trust			J	T					
105. --SPDR S&P Biotech	A	Dividend	J	T					
106. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	J	T					
107. --Vanguard Intl Eqty Index Gds GRSE All World Ex US	A	Dividend	J	T					
108. --Vanguard Mid Cap	A	Dividend	J	T					
109. --Vanguard MSCI ETF Emerging	A	Dividend	J	T	Buy	06/13/13	J		
110. --Vanguard Russell 2000 Growth	A	Dividend	J	T					
111. --Vanguard Russell 2000 Value	A	Dividend	J	T					
112. --Vanguard S&P 500	A	Dividend	J	T	Sold (part)	10/24/13	J	A	
113. --Vanguard Total Stock Market ETF	A	Dividend	J	T					
114.									
115. IRA #2 (H)									
116. --Wells Fargo Money Fund	A	Interest	K	T					
117. --Apple Inc	A	Dividend	J	T	Buy	03/26/13	J		
118. --CME Group	A	Dividend	J	T					
119. --EMC Corp Mass	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Express Scripts Hldg Co			J	T					
121. --ETFS Platinum Trust Shs Ben Int ETF			J	T					
122. --Intel Corp			J	T	Buy	11/08/13	J		
123. --Ishares NYSE Composite Index	A	Dividend	J	T					
124. --Ishares Russell Microcap Index Fund	A	Dividend	J	T					
125. --Ishares TR MSCI Emerging Mkts Index Fd	A	Dividend	J	T					
126. --Johnson & Johnson	A	Dividend	J	T	Buy	08/16/13	J		
127. --Potash Corp of Saskatchewan Inc	A	Dividend	J	T					
128. --Powershares DB Agriculture Fund ETF					Sold	11/04/13	J		
129. --Powershares DB Base Metals Funds					Sold	11/04/13	J		
130. --Proshares Trust Short 20+ Yr Treasury			J	T					
131. --Guggenheim S&P 500 Equal Wgtd Index Fd fka Rydex	A	Dividend	J	T					
132. --SPDR S&P Biotech	A	Dividend	J	T					
133. --SPDR Gold Trust			J	T					
134. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	J	T					
135. --Vanguard Intl Eqty Index Gds GRSE All World Ex US	A	Dividend	J	T					
136. --Vanguard Mid Cap	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --Vanguard MSCI EAFE	A	Dividend	J	T	Buy	06/13/13	J		
138. --Vanguard Russell 2000 Growth	A	Dividend	J	T					
139. --Vanguard Russell 2000 Value	A	Dividend	J	T					
140. --Vanguard S&P 500	A	Dividend	J	T	Sold (part)	10/24/13	J	A	
141. --Vanguard Total Stock Market ETF	A	Dividend	J	T					
142.									
143. IRA #3 (H)									
144. --Wells Fargo Money Fund	A	Interest	L	T					
145. --Altera Corp	A	Dividend	K	T	Buy	08/16/13	K		
146. --Apple Inc	B	Dividend	L	T	Buy	03/27/13	K		
147. --CME Group	A	Dividend	K	T	Buy	08/16/13	J		
148. --EMC Corp Mass	A	Dividend	K	T					
149. --ETFS Platinum Trust Shs Ben Int ETF			K	T					
150. --Express Scripts Hldg Co			K	T					
151. --Intel Corp			K	T	Buy	11/08/13	K		
152. --Ishares NYSE Composite Index	B	Dividend	L	T					
153. --Ishares Russell Microcap Index Fund	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --Ishares TR MSCI Emerging Mkts Index Fd	B	Dividend	L	T					
155. --Johnson & Johnson	A	Dividend	K	T	Buy	08/16/13	K		
156. --Potash Corp of Saskatchewan Inc	A	Dividend	K	T					
157. --Powershares DB Agriculture Fund ETF					Sold (part)	08/16/13	K		
158. --Powershares DB Agriculture Fund ETF					Sold	11/04/13	K		
159. --Powershares DB Base Metals Funds					Sold	11/04/13	K		
160. --Proshares Trust Short 20+ Yr Treasury			K	T					
161. --Guggenheim S&P 500 Equal Wghtd Index Fd fka Rydex	B	Dividend	L	T					
162. --Qualcomm Inc	B	Dividend	L	T					
163. --SPDR Gold Trust			K	T					
164. --SPDR S&P Biotech	A	Dividend	K	T					
165. --SPDR Ser Tr S&P 600 Small Cap	C	Dividend	M	T					
166. --Vanguard Intl Eqty Index Gds GRSE All World Ex US	B	Dividend	K	T					
167. --Vanguard Mid Cap	B	Dividend	M	T					
168. --Vanguard MSCI Emerging Markets	B	Dividend	K	T					
169. --Vanguard Russell 2000 Growth	A	Dividend	M	T					
170. --Vanguard Russell 2000 Value	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --Vanguard S&P 500	B	Dividend	L	T					
172. --Vanguard Total Stock Market ETF	B	Dividend	L	T					
173. --Xilinx Inc	A	Dividend	K	T	Buy	08/16/13	K		
174.									
175. Bank RI Accounts #1	A	Interest	J	T					
176. Bank RI Accounts #2	A	Interest	K	T					
177. Bank RI Accounts #3	A	Interest	J	T					
178. Navigant Credit Union	A	Interest	J	T					
179.									
180. Alliance Capital 529 College Fund #2 (H)									
181. --CBF Portfolio 1996-98 ALT RA	A	Int./Div.	K	T					
182.									
183. Catalist LLC		None	K	U					
184. DSF Capital Partners III LP	E	Distribution	N	U					
185. DSF Capital Partners IV LP		None	N	U					
186.									
187. MetLife Universal Life		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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McConnell, Jr, John J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Sun Life Universal Life #1		None	N	T					
189. Sun Life Universal Life #2		None	N	T					
190. Sun Life Universal Life #3		None	N	T					
191.									
192. U.S. Savings Bonds	A	Interest	J	T					
193.									
194. Martha's Vineyard Colonial Inn LLC	D	Interest	P1	U					
195.									
196. Charlestown, Rhode Island, Rental Property (X)	F	Rent	P1	W					
197.									

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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McConnell, Jr, John J.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II Agreements

A) Ness Motley, P.A. Employment and Compensation Agreement, dated January 30, 2003 by and between Ness Motley, P.A. (f/k/a Ness, Motley, Loadholt, Richardson & Poole, Professional Association) and its attorney signatories, setting forth the disbursement of net income received by the firm as compensation for services previously rendered.

B) Assignment of Certain Rights in MRRM, P.A., dated May 13, 2011 by and between MRRM, PA and John J. McConnell, Jr. setting forth the assignment of and payment for Other Rights not covered under the January 30, 2003 agreement above.

C) Assignment of Membership Interests in Motley Rice LLC and Mutual Release, dated May 13, 2011 by and between John J. McConnell, Jr and Motley Rice LLC setting forth the assignment of and payment for membership interests and mutual release of certain liabilities upon resignation of employment by John J. McConnell, Jr.

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McConnell, Jr, John J.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John J. McConnell, Jr**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544