

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Southwick, Leslie H.	2. Court or Organization United States Court of Appeals, Fifth Circuit	3. Date of Report 05/15/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit judge, active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 501 East Court Street Room 3.750 Jackson, MS 39201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Mississippi College School of Law adjunct teaching	\$8,000.00
2. 2014	Public Retirement System of Mississippi	\$7,100.00
3. 2014	University Press of Mississippi, book royalties	\$962.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The Center for American and International Law	February 12-14, 2014	New Orleans, Louis.	Bench-Bar Bankruptcy Conference	Food, lodging, transportation
2. Federalist Society, University of Houston Law School	February 18-19, 2014	Houston, Tex.	Spoke to student group	Food, lodging, transportation
3. Rotary International	April 24-25, 2014	Washington, D.C.	Orientation Program, Foreign judges	Food, lodging, transportation
4. Southwestern Chapter of the American Board of Trial Advocates	May 2-3, 2014	Nashville, Tenn.	spoke at seminar	Food, lodging, transportation
5. Mississippi Bar	June 26-27, 2014	Destin, Fla.	Spoke at annual Bar Convention	Food, Lodging, transportation.

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6.	Federalist Society. Berkeley Law School	Sept. 28-29, 2014	Berkeley, Cal.	Spoke to student group	Food, lodging, transportation
7.	SMU Dedman School of Law	Nov. 14-16, 2014	Dallas, Tex.	Spoke at AJEI seminar	Food, lodging, transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Parcel # 1, severed mineral interest, Hidalgo County, Tx		None	J	Q					
2. Parcel # 2, severed mineral interest, Hidalgo County, Tx		None	J	Q					
3. Parcel # 3, Hidalgo County, Tx 2001 appraisal		None	M	Q					
4. Citizens National Bank	D	Interest	M	T					
5. State Bank and Trust account	A	Interest	M	T					
6. Synchrony, formerly GE Capital Retail Bank	D	Interest	M	T					
7. Principal Funds -- Govt and Hq Bond		None	K	T					
8. Principal Funds -- Large Cap Growth		None	J	T					
9. Principal Funds -- Large Cap S&P 500		None	K	T					
10. Principal Funds -- MidCap Blend		None	K	T					
11. Principal Financial Variable Life -- SmallCap Blend		None	K	T					
12. Trustmark National Bank Account	A	Interest	J	T					
13. Metropolitan Bank	D	Interest	M	T					
14. Metropolitan Life Ins. Co. annuity		None	O	T					
15. Renasant Bank	C	Interest	M	T					
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 1, value is based on a 2001 appraisal

Part VII, Line 2, value is based on a 2001 appraisal

Part VII, Line 3, value is based on a 2001 appraisal

Part VII, Lines 7-11. The name "Principal Funds" appears to be the accurate one for the accounts, not Principal Variable Life

Part VII. In the previous report, there was a Principal Large Cap Blend fund. At some unknown date, the account manager moved the small investment I had there into one of the other accounts.

Part VII. In the 2013 report, Line 19, I showed my state retirement as an asset in Part VII. I did not receive any income from the retirement until November 2014.

I was advised by an AO staff member that the state retirement should be shown in Part III, and not in Part VII. I made that change.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Leslie H. Southwick**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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