

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hurwitz, Andrew	2. Court or Organization U.S. Court of Appeals, Ninth Circuit	3. Date of Report 05/13/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of Appeals Judge, Ninth Circuit	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 401 W. Washington Street Phoenix, AZ 85003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	Arizona Elected Officials Retirement System; no control
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Arizona Elected Officials Retirement System, pension	\$57,329.76
2. 2014	National Conference of Bar Examiners, stipends	\$7,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Arizona State University, salary
2. 2014	Arizona State Retirement System, pension
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bar Examiners	3/27/2014-3/30/2014	Santa Monica, CA	Review and drafting of bar examination questions	Transportation, lodging, meals
2. National Conference of Bar Examiners	10/30/2014-11/02/2014	San Juan, PR	Review and drafting of bar examination questions	Transportation, lodging, meals
3. University of Miami Law School	10/27/2014-10/30/2014	Miami, FL	Teaching and moot court	Transportation, lodging, meals
4. University of Chicago Law School	1/26/2014-1/28/2014	Chicago, IL	Speech and teaching	Transportation, lodging, meals
5. Yale Law School	4/21/2012-4/22/2012	New Haven, CT	Speech and teaching	Transportation, lodging, meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Morgan Stanley	portfolio loan	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U.S. Bank (Checking/Savings)	A	Interest	K	T					
2. Real Estate Limited Partnership (Avondale Acres) Avondale, AZ	A	Distribution	K	W					
3. (H) Trust #1 [REDACTED]	E	Int./Div.	O	T					
4. -MORGAN STANLEY BANK N.A.									
5. -ALGER SPECTRA A					Sold	02/28/14	K	D	
6.					Buy	12/19/14	K		
7. -ARTISAN GLOBAL VALUE INV					Sold	02/28/14	K	B	
8. -ARTISAN MIDCAP VALUE INV					Sold	02/28/14	K	A	
9. -BARON REAL ESTATE INST					Buy	02/28/14	J		
10.					Buy (add'l)	04/02/14	J		
11.					Sold (part)	02/28/14	J	A	
12. -CALVERT GLOBAL WATER A					Sold	02/28/14	J	A	
13. -CENTRAL GOLDTRUST UNITS					Buy (add'l)	02/28/14	J		
14.					Buy (add'l)	04/02/14	J		
15.					Sold	12/19/14	J	A	
16. -CHENIERE ENERGY INC					Buy	02/28/14	J		
17.					Sold	04/02/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -CREDIT SUISSE CUSHING 30 MLP					Buy	04/02/14	K		
19.					Sold	12/19/14	K	A	
20. -CUSHING MLP PREMIER I					Sold	02/28/14	K	A	
21. -DOUBLELINE TOTAL RETURN I					Sold (part)	02/28/14	J	A	
22.					Buy (add'l)	04/02/14	J		
23.					Buy (add'l)	12/19/14	K		
24. -FIRST EAGLE GLOBAL I					Sold (part)	02/28/14	J	A	
25.					Sold (part)	04/02/14	J	A	
26.					Buy (add'l)	12/19/14	J		
27. -FIRST TRUST NORTH AMERICAN					Buy	12/19/14	K		
28. -GATEWAY FUND Y					Sold	02/28/14	K	A	
29. -INVESCO SMALL CAP VALUE Y					Buy	12/19/14	K		
30. -LOOMIS SAYLES STRATEGIC INC Y					Buy (add'l)	02/28/14	J		
31.					Sold (part)	12/19/14	J	A	
32. -LORD ABBETT SHT DURATION INC F					Buy (add'l)	02/28/14	K		
33.					Sold	12/19/14	K	A	
34. -MAINSTAY MARKETFIELD I					Sold (part)	02/28/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	04/02/14	J		
36.					Sold	12/19/14	J	A	
37. -MATTHEWS ASIAN JAPAN					Buy	02/28/14	J		
38.					Buy (add'l)	04/02/14	J		
39.					Sold	12/19/14	J	A	
40. -METROPOLITAN WEST TOT RET BD I					Sold (part)	02/28/14	J	A	
41.					Buy (add'l)	04/02/14	J		
42.					Buy (add'l)	12/19/14	K		
43. -NATIXIS OAKMARK INTL A					Buy	02/28/14	K		
44.					Buy (add'l)	04/02/14	J		
45.					Sold	12/19/14	K	A	
46. -OAKMARK I					Buy (add'l)	02/28/14	K		
47.					Buy (add'l)	12/19/14	J		
48. -OAKMARK INTERNATL I					Sold	02/28/14	K	B	
49. -ONEOK INC					Buy	02/28/14	J		
50.					Sold	04/02/14	J	A	
51. -PIMCO FUNDAMENTALIDXPLS AR P					Buy	12/19/14	L		

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52. -PIMCO INCOME P					Buy	12/19/14	K		
53. -PIMCO INTL STOCKPLUS AR STR P					Buy	12/19/14	K		
54. -PUTNAM EQUITY SPECTRUM Y					Buy	02/28/14	K		
55.					Sold (part)	12/19/14	K	A	
56. -RS SELECT GROWTH Y					Buy	02/28/14	K		
57.					Buy (add'l)	04/02/14	J		
58.					Sold (part)	12/19/14	K	A	
59. -SEMGROUP CORP CLASS A					Buy	02/28/14	J		
60.					Sold	04/02/14	J	A	
61. -SUNAMERICA NEW FOC DIV STRA A					Buy (add'l)	02/28/14	J		
62.					Sold (part)	12/19/14	J	A	
63. -TARGA RESOURCES CP					Buy	02/28/14	J		
64.					Sold	04/02/14	J	A	
65. -TEMPLETON GLB TOTAL RETURN ADV					Sold (part)	02/28/14	J	A	
66.					Sold	12/19/14	J	A	
67. -THORNBURG DEVELOPING WORLD I					Sold (part)	02/28/14	K	B	
68.					Sold	12/19/14	J	A	

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69. -THORNBURG INTL GROWTH I					Buy	02/28/14	K		
70.					Buy (add'l)	02/04/14	J		
71.					Sold	12/19/14	K	A	
72. -TOUCHSTONE SANDS CAP SEL GR Y					Buy	02/28/14	L		
73.					Buy (add'l)	04/02/14	J		
74.					Sold	12/19/14	L	A	
75. -WASATCH SM CAP GRW FD					Sold	12/19/14	K	B	
76. -WILLIAMS CO INC					Buy	02/28/14	J		
77.					Sold	04/02/14	J	A	
78. -WISDOMTREE EUROPE HEDGED EQUITY					Buy	12/19/14	K		
79. -WISDOMTREE TRUST JAPN DEDGE EQ					Buy	12/19/14	K		
80. "(H) TRUST #2 [REDACTED]"	E	Int./Div.	N	T					
81. -MORGAN STANLEY BANK N.A.									
82. -ALGER SPECTRA A					Sold	02/28/14	K	C	
83.					Buy	12/19/14	K		
84. -ARTISAN GLOBAL VALUE INV					Sold	02/28/14	J	A	
85. -ARTISAN MIDCAP VALUE INV					Sold	02/28/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. -BARON REAL ESTATE INST					Buy (add'l)	02/28/14	J		
87.					Buy (add'l)	04/02/14	J		
88.					Sold (part)	12/19/14	J	A	
89. -CALVERT GLOBAL WATER A					Sold	02/28/14	J	A	
90. -CENTRAL GOLDTRUST UNITS					Buy (add'l)	02/28/14	J		
91.					Buy (add'l)	04/02/14	J		
92.					Sold	12/19/14	J	A	
93. -CHENIERE ENERGY INC					Buy	02/28/14	J		
94.					Sold	04/02/14	J	A	
95. -CREDIT SUISSE CUSHING 30 MLP					Buy	04/02/14	J		
96.					Sold	12/19/14	J	A	
97. -CUSHING MLP PREMIER I					Sold	02/28/14	J	A	
98. -DOUBLELINE TOTAL RETURN I					Sold (part)	02/28/14	J	A	
99.					Buy (add'l)	04/02/14	J		
100.					Buy (add'l)	12/19/14	J		
101. -FIRST EAGLE GLOBAL I					Sold (part)	02/28/14	J	A	
102.					Sold (part)	04/02/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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103.					Sold (part)	12/19/14	J	A	
104. -FIRST TRUST NORTH AMERICAN					Buy	12/19/14	K		
105. -GATEWAY FUND Y					Sold	02/28/14	J	A	
106. -INVESCO SMALL CAP VALUE Y					Buy	12/19/14	K		
107. -LOOMIS SAYLES STRATEGIC INC Y					Buy (add'l)	02/28/14	J		
108.					Sold (part)	12/19/14	J	A	
109. -LORD ABBETT SHT DURATION INC F					Buy (add'l)	02/28/14	J		
110.					Sold	12/19/14	K	A	
111. -MAINSTAY MARKETFIELD I					Sold (part)	02/28/14	J	A	
112.					Buy (add'l)	04/02/14	J		
113.					Sold	12/19/14	J	A	
114. -MATTHEW'S ASIAN JAPAN					Buy	02/28/14	J		
115.					Buy (add'l)	04/02/14	J		
116.					Sold	12/19/14	J	A	
117. -METROPOLITAN WEST TOT RET BD I					Sold (part)	02/28/14	J	A	
118.					Buy (add'l)	04/02/14	J		
119.					Buy (add'l)	12/19/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -NATIXIS OAKMARK INTL					Buy	02/28/14	K		
121.					Buy (add'l)	04/02/14	J		
122.					Sold	12/19/14	K	A	
123. -OAKMARK I					Buy (add'l)	02/28/14	J		
124.					Buy (add'l)	12/19/14	J		
125. -OAKMARK INTERNATL I					Sold	02/28/14	K	A	
126. -ONEOK INC					Buy	02/28/14	J		
127.					Sold	04/02/14	J	A	
128. -PIMCO FUNDAMENTAL IDXPLS AR P					Buy	12/19/14	K		
129. -PIMCO INCOME P					Buy	12/19/14	K		
130. -PIMCO INTL STOCKPLUS AR STR P					Buy	12/19/14	J		
131. -PUTNAM EQUITY SPECTRUM Y					Buy	02/28/14	K		
132.					Sold (part)	12/19/14	J	A	
133. -RS SELECT GROWTH					Buy	02/28/14	J		
134.					Buy (add'l)	04/02/14	J		
135.					Sold (part)	12/19/14	J	A	
136. -SEMGROUP CORP					Buy	02/28/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	04/02/14	J	A	
138. -SUNAMERICA NEW FOC DIV STRA A					Buy (add'l)	02/28/14	J		
139.					Sold (part)	12/19/14	J	A	
140. -TARGA RESOURCES					Buy	02/28/14	J		
141.					Sold	12/19/14	J	A	
142. -TEMPLETON GLB TOTAL RETURN ADV					Sold (part)	02/28/14	J	A	
143.					Sold	12/19/14	J	A	
144. -THORNBURG DEVELOPING WORLD I					Sold (part)	02/28/14	K	B	
145.					Sold	12/19/14	J	A	
146. -THORNBURG INTL GROWTH					Buy	02/28/14	K		
147.					Buy (add'l)	04/02/14	J		
148.					Sold	12/19/14	K	A	
149. -TOUCHSTONE SANDS CAP SEL GR					Buy	02/28/14	J		
150.					Buy (add'l)	04/02/14	J		
151.					Sold	12/19/14	K	A	
152. -VIRTUS INSIGHT EMERG MKTS I					Sold	02/28/14	J	A	
153. -WASATCH SM CAP GRW					Sold	02/28/14	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -WILLIAMS CO INC					Buy	02/28/14	J		
155.					Sold	04/02/14	J	A	
156. -WISDOMTREE EUROPE HEDGED EQUIT					Buy	12/19/14	J		
157. -WISDOMTREE TRUST JAPN HEDGE					Buy	12/19/14	J		
158. "(H) Trust #3 [REDACTED]"	E	Int./Div.	O	T					
159. -MORGAN STANLEY BANK N.A.									
160. -ALGER SPECTRA A					Sold	02/28/14	K	B	
161.					Buy	12/19/14	K		
162. -ARTISAN GLOBAL VALUE INV					Sold	02/28/14	K	B	
163. -ARTISAN MIDCAP VALUE INV					Sold	02/28/14	K	A	
164. -BARON REAL ESTATE INST					Buy (add'l)	02/28/14	J		
165.					Buy (add'l)	04/02/14	J		
166.					Sold (part)	12/19/14	J	A	
167. -CALVERT GLOBAL WATER A					Sold	02/28/14	J	A	
168. -CENTRAL GOLDTRUST UNITS					Buy (add'l)	02/28/14	J		
169.					Buy (add'l)	04/02/14	J		
170.					Sold	12/19/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -CHENIERE ENERGY INC					Buy	02/28/14	J		
172.					Sold	04/02/14	J	A	
173. -CREDIT SUISSE CUSHING 30 MLP					Buy	04/02/14	K		
174.					Sold	12/19/14	K		
175. -CUSHING MLP PREMIER I					Sold	02/28/14	K	A	
176. -DOUBLELINE TOTAL RETURN					Sold (part)	02/28/14	J		
177.					Buy (add'l)	04/02/14	J		
178.					Buy (add'l)	12/19/14	K		
179. -FIRST EAGLE GLOBAL I					Sold (part)	02/28/14	K	A	
180.					Sold (part)	04/02/14	J	A	
181. -FIRST TRUST NORTH AMERICAN					Buy	12/19/14	K		
182. -GATEWAY FUND Y					Sold	02/28/14	J	A	
183. -INVESCO SMALL CAP VALUE					Buy	12/19/14	K		
184. -LOOMIS SAYLES STRATEGIC INC Y					Buy (add'l)	02/28/14	J		
185.					Sold (part)	12/19/14	J	A	
186. -LORD ABBETT SHT DURATION INC F					Buy (add'l)	02/28/14	K		
187.					Sold	12/19/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A -\$1,000 or less F -\$50,001 - \$100,000	B -\$1,001 - \$2,500 G -\$100,001 - \$1,000,000	C -\$2,501 - \$5,000 H1 -\$1,000,001 - \$5,000,000	D -\$5,001 - \$15,000 H2 -More than \$5,000,000	E -\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J -\$15,000 or less N -\$250,001 - \$500,000 P3 -\$25,000,001 - \$50,000,000	K -\$15,001 - \$50,000 O -\$500,001 - \$1,000,000	L -\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 -More than \$5,000,000	M -\$100,001 - \$250,000 P2 -\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -MAINSTAY MARKETFIELD I					Sold (part)	02/28/14	J	A	
189.					Buy (add'l)	04/02/14	J		
190.					Sold	12/19/14	J		
191. -MATTHEWS ASIAN JAPAN					Buy	02/28/14	J		
192.					Buy (add'l)	04/02/14	J		
193.					Sold	12/19/14	J	A	
194. -METROPOLITAN WEST TOT RET BD I					Sold	02/28/14	J	A	
195.					Buy (add'l)	04/02/14	J		
196.					Buy (add'l)	12/19/14	K		
197. -NATIXIS OAKMARK INTL					Buy	02/28/14	K		
198.					Buy (add'l)	04/02/14	J		
199.					Sold	12/19/14	K		
200. -OAKMARK I					Sold (part)	02/28/14	K		
201. -OAKMARK INTERNATL I					Sold	02/28/14	K	A	
202. -ONEOK INC					Buy	02/28/14	J		
203.					Sold	04/02/14	J	A	
204. -PIMCO FUNDAMENTALIDXPLS AR					Buy	12/19/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -PIMCO INCOME					Buy	12/19/14	K		
206. -PIMCO INTL STOCKPLUS AR STR					Buy	12/19/14	K		
207. -PUTNAM EQUITY SPECTRUM					Buy	12/19/14	K		
208.					Sold (part)	12/19/14	K	A	
209. -RS SELECT GROWTH					Buy	02/28/14	K		
210.					Buy (add'l)	04/02/14	J		
211.					Sold (part)	12/19/14	K		
212. -SEMGROUP CORP					Buy	02/28/14	J		
213.					Sold	04/02/14	J		
214. -SUNAMERICA NEW FOC DIV STRA A					Buy (add'l)	02/28/14	J		
215. -TARGA RESOURCES					Buy	02/28/14	J		
216.					Sold	04/02/14	J	A	
217. -TEMPLETON GLB TOTAL RETURN ADV					Sold (part)	02/28/14	J	A	
218.					Sold	12/19/14	J		
219. -THORNBURG DEVELOPING WORLD I					Sold (part)	02/28/14	K	B	
220.					Sold	12/19/14	J	A	
221. -THORNBURG INTL GROWTH					Buy	02/28/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	04/02/14	J		
223.					Sold	12/19/14	J	B	
224. -TOUCHSTONE SANDS CAP SEL GR					Buy	02/28/14	K		
225.					Buy (add'l)	04/02/14	J		
226.					Sold	12/19/14	K		
227. -WASATCH SM CAP GRW FD					Sold	02/28/14	K	B	
228. -WILLIAMS CO					Buy	02/28/14	J		
229.					Sold	04/02/14	J		
230. -WISDOMTREE EUROPE HEDGED					Buy	12/19/14	K		
231. -WISDOMTREE TRUST JAPN HEDGE EQ					Buy	12/19/14	K		
232. (H) IRA #1 [REDACTED]	E	Int./Div.	O	T					
233. -MORGAN STANLEY BANK N.A.									
234. -ALGER SPECTRA A					Sold	02/28/14	K	D	
235. -ARTISAN GLOBAL VALUE INV					Sold	02/28/14	K	B	
236. -ARTISAN MIDCAP VALUE INV					Sold	02/28/14	K	A	
237. -BARON REAL ESTATE					Buy	02/28/14	K		
238.					Buy (add'l)	04/02/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold (part)	12/19/14	J	A	
240. -CALVERT GLOBAL WATER A					Sold	02/28/14	K	B	
241. -CENTRAL GOLDTRUST UNITS					Buy (add'l)	02/28/14	J		
242.					Buy (add'l)	04/02/14	J		
243.					Sold	12/19/14	K		
244. -CHENIERE ENERGY INC					Buy	02/28/14	J		
245.					Sold	04/02/14	J	A	
246. -CREDIT SUISSE CUSHING 30 MLP					Buy	04/02/14	K		
247.					Buy (add'l)	12/19/14	J		
248. -CUSHING MLP PREMIER I					Sold	02/28/14	K	B	
249. -DOUBLELINE TOTAL RETURN I					Sold (part)	02/28/14	J		
250.					Buy (add'l)	04/02/14	J		
251.					Buy (add'l)	12/19/14	K		
252. -FIRST EAGLE GLOBAL I					Sold (part)	02/28/14	K	B	
253.					Sold (part)	04/02/14	J	A	
254.					Sold	12/19/14	J	A	
255. -GATEWAY FUND Y					Sold	02/28/14	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -INVESCO SMALL CAP VALUE					Buy	12/19/14	K		
257. -LOOMIS SAYLES STRATEGIC INC Y					Buy (add'l)	02/28/14	K		
258.					Sold (part)	12/19/14	J	B	
259. -LORD ABBETT SHT DURATION INC F					Buy (add'l)	02/28/14	K		
260.					Sold	12/19/14	L		
261. -MAINSTAY MARKETFIELD I					Sold (part)	02/28/14	J	A	
262.					Buy (add'l)	04/02/14	J		
263.					Sold	12/19/14	K		
264. -MATTHEWS ASIAN JAPAN					Buy	02/28/14	K		
265.					Buy (add'l)	04/02/14	J		
266.					Sold	12/19/14	K	A	
267. -METROPOLITAN WEST TOT RET BD I					Sold (part)	02/28/14	J	A	
268.					Buy (add'l)	04/02/14	J		
269.					Buy (add'l)	12/19/14	K		
270. -NATIXIS OAKMARK INTL					Buy	02/28/14	K		
271.					Buy (add'l)	04/02/14	J		
272.					Sold (part)	12/19/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. -OAKMARK I					Buy (add'l)	02/28/14	K		
274.					Sold (part)	12/19/14	J	A	
275. -OAKMARK INTERNATL I					Sold	02/28/14	K	B	
276. -ONEOK INC					Buy	02/28/14	J		
277.					Sold	04/02/14	J	A	
278. -PIMCO FUNDAMENTAL IDXPLS AR					Buy	12/19/14	K		
279. -PIMCO INCOME					Buy	12/19/14	K		
280. -PIMCO INTL STOCKPLUS AR STR					Buy	12/19/14	K		
281. -PUTNAM EQUITY SPECTRUM					Buy	02/28/14	K		
282.					Sold (part)	12/19/14	K		
283. -RS SELECT GROWTH					Buy	02/28/14	K		
284.					Buy (add'l)	04/02/14	J		
285.					Sold (part)	12/19/14	K		
286. -SEMGROUP CORP					Buy	02/28/14	J		
287.					Sold	04/02/14	J		
288. -SUNAMERICA NEW FOC DIV STRA A					Buy (add'l)	02/28/14	J		
289.					Sold (part)	12/19/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. -T ROWE PRICE REAL ESTATE FD					Sold	02/28/14	J	B	
291. -TARGA RESOURCES					Buy	02/28/14	J		
292.					Sold	04/02/14	J	A	
293. -TEMPLETON GLB TOTAL RETURN ADV					Sold (part)	02/28/14	J	A	
294.					Sold	12/19/14	J		
295. -THORNBURG DEVELOPING WORLD I					Sold (part)	02/28/14	K	C	
296.					Sold	12/19/14	K	A	
297. -THORNBURG INTL GROWTH					Buy	02/28/14	J		
298.					Buy (add'l)	04/02/14	K		
299.					Sold	12/19/14	K		
300. -TOUCHSTONE SANDS CAP SEL GR					Buy	02/28/14	L		
301.					Buy (add'l)	04/02/14	J		
302.					Sold (part)	12/19/14	J		
303. -W P CAREY INC COM (X)					Sold	02/28/14	J	A	
304. -WASATCH SM CAP GRW FD					Sold	02/28/14	K	B	
305. -WILLIAMS CO INC					Buy	02/28/14	J		
306.					Sold	04/02/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. -WISDOMTREE EUROPE HEDGED EQUITY					Buy	12/19/14	K		
308. -WISDOMTREE TRUST JAPN HEDGE EQUITY					Buy	12/19/14	K		
309. (H) IRA #2 A Annty 451	A	Int./Div.	N	T					
310. -John Hancock Venture Annuity Lifestyle Moderate Fund									
311. (H) IRA #3	C	Int./Div.	M	T					
312. -MORGAN STANLEY BANK N.A.									
313. -ALGER SPECTRA A					Sold	02/28/14	J	B	
314. -ARTISAN GLOBAL VALUE INV					Sold	02/28/14	J	A	
315. -ARTISAN MIDCAP VALUE INV					Sold	02/28/14	J	A	
316. -BARON REAL ESTATE					Buy	02/28/14	J		
317.					Buy (add'l)	04/02/14	J		
318.					Sold (part)	12/19/14	J	A	
319. -CALVERT GLOBAL WATER A					Sold	02/28/14	J	A	
320. -CENTRAL GOLDTRUST UNITS					Buy (add'l)	04/02/14	J		
321.					Sold	12/19/14	J		
322. -CHENIERE ENERGY					Buy	02/28/14	J		
323.					Sold	04/02/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. -CREDIT SUISSE CUSHING 30 MLP					Buy	04/02/14	J		
325.					Buy (add'l)	12/19/14	J		
326. -CUSHING MLP PREMIER I					Sold	02/28/14	J	A	
327. -DOUBLELINE TOTAL RETURN I					Sold (part)	02/28/14	J		
328.					Buy (add'l)	04/02/14	J		
329.					Buy (add'l)	12/19/14	J		
330. -FIRST EAGLE GLOBAL I					Sold (part)	02/28/14	J	A	
331.					Sold (part)	04/02/14	J	A	
332.					Sold	12/19/14	J	A	
333. -GATEWAY FUND Y					Sold	02/28/14	J	A	
334. -INVESCO SMALL CAP VALUE					Buy	12/19/14	J		
335. -LOOMIS SAYLES STRATEGIC INC Y					Buy (add'l)	02/28/14	J		
336.					Sold (part)	12/19/14	J	A	
337. -LORD ABBETT SHT DURATION INC F					Buy (add'l)	02/28/14	J		
338.					Sold	12/19/14	J		
339. -MAINSTAY MARKETFIELD I					Sold (part)	02/28/14	J		
340.					Buy (add'l)	04/02/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341.					Sold	12/19/14	J		
342. -MATTHEW'S ASIAN JAPAN					Buy	02/28/14	J		
343.					Buy (add'l)	04/02/14	J		
344.					Sold	12/19/14	J	A	
345. -METROPOLITAN WEST TOT RET BD I					Sold (part)	02/28/14	J	A	
346.					Buy (add'l)	04/02/14	J		
347.					Buy (add'l)	12/19/14	J		
348. -NATIXIS OAKMARK INTL					Buy	02/28/14	J		
349.					Buy (add'l)	04/02/14	J		
350.					Sold (part)	12/19/14	J		
351. -OAKMARK I					Buy (add'l)	02/28/14	J		
352.					Sold (part)	12/19/14	J	A	
353. -OAKMARK INTERNATL I					Sold	02/28/14	J	A	
354. -ONEOK INC					Buy	02/28/14	J		
355.					Sold	04/02/14	J	A	
356. -PIMCO FUNDAMENTAL IDXPLS AR					Buy	12/19/14	J		
357. -PIMCO INCOME					Buy	12/19/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. -PIMCO INTL STOCKPLUS AR STR					Buy	12/19/14	J		
359. -PUTNAM EQUITY SPECTRUM					Buy	02/28/14	J		
360.					Sold (part)	12/19/14	J	A	
361. -RS SELECT GROWTH					Buy	02/28/14	J		
362.					Buy (add'l)	04/02/14	J		
363.					Sold (part)	12/19/14	J		
364. -SEMGROUP CORP					Buy	02/28/14	J		
365.					Sold	04/02/14	J		
366. -SUNAMERICA NEW FOC DIV STRA A					Buy (add'l)	02/28/14	J		
367.					Sold (part)	12/19/14	J		
368. -TARGA RESOURCES					Buy	02/28/14	J		
369.					Sold	04/02/14	J	A	
370. -TEMPLETON GLB TOTAL RETURN ADV					Sold (part)	02/28/14	J	A	
371.					Sold	12/19/14	J		
372. -THORNBURG DEVELOPING WORLD I					Sold (part)	02/28/14	J	A	
373.					Sold	12/19/14	J	A	
374. -THORNBURG INTL GROWTH					Buy	02/28/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	04/02/14	J		
376.					Sold	12/19/14	J		
377. -TOUCHSTONE SANDS CAP SEL GR					Buy	02/28/14	J		
378.					Buy (add'l)	04/02/14	J		
379.					Sold (part)	12/19/14	J		
380. -W P CAREY INC COM (X)					Sold	02/28/14	J	A	
381. -WASATCH SM CAP GRW FD					Sold	02/28/14	J	A	
382. -WILLIAMS CO INC					Buy	02/28/14	J		
383.					Sold	04/02/14	J		
384. -WISDOMTREE EUROPE HEDGED EQUITY					Buy	12/19/14	J		
385. -WISDOMTREE TRUST JAPN HEDGE EQ					Buy	12/19/14	J		
386. (H) IRA #4 [REDACTED]	B	Int./Div.	K	T					
387. -MORGAN STANLEY BANK N.A.									
388. -ALGER SPECTRA A					Sold	02/28/14	J	A	
389. -ARTISAN GLOBAL VALUE INV					Sold	02/28/14	J	A	
390. -ARTISAN MIDCAP VALUE INV					Sold	02/28/14	J	A	
391. -BARON REAL ESTATE					Buy	02/28/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	04/02/14	J		
393.					Sold (part)	12/19/14	J	A	
394. -CREDIT SUISSE CUSHING 30 MLP					Buy	04/02/14	J		
395.					Buy (add'l)	12/19/14	J		
396. -DOUBLELINE TOTAL RETURN I					Sold (part)	02/28/14	J		
397.					Buy (add'l)	04/02/14	J		
398.					Buy (add'l)	12/19/14	J		
399. -FIRST EAGLE GLOBAL I					Sold (part)	02/28/14	J	A	
400.					Buy (add'l)	04/02/14	J		
401.					Sold	12/19/14	J	A	
402. -INVESCO SMALL CAP VALUE					Buy	12/19/14	J		
403. -LOOMIS SAYLES STRATEGIC INC Y					Buy (add'l)	02/28/14	J		
404.					Buy (add'l)	04/02/14	J		
405.					Sold	12/19/14	J	A	
406. -LORD ABBETT SHT DURATION INC F					Buy (add'l)	02/28/14	J		
407.					Buy (add'l)	04/02/14	J		
408.					Sold	12/19/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Hurwitz, Andrew

Date of Report

05/13/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. -MAINSTAY MARKETFIELD I					Sold (part)	02/28/14	J	A	
410.					Buy (add'l)	04/02/14	J		
411.					Sold	12/19/14	J		
412. -METROPOLITAN WEST TOT RET BD I					Sold (part)	02/28/14	J		
413.					Buy (add'l)	04/02/14	J		
414.					Buy (add'l)	12/19/14	J		
415. -NATIXIS OAKMARK INTL					Buy	02/28/14	J		
416.					Buy (add'l)	04/02/14	J		
417.					Sold (part)	12/19/14	J		
418. -OAKMARK I					Buy (add'l)	02/28/14	J		
419.					Buy (add'l)	02/28/14	J		
420.					Sold (part)	12/19/14	J	A	
421. -OAKMARK INTERNATL I					Sold	02/28/14	J	A	
422. -PIMCO FUNDAMENTAL IDXPLS AR					Buy	12/19/14	J		
423. -PIMCO INCOME					Buy	12/19/14	J		
424. -PUTNAM EQUITY SPECTRUM					Buy	02/28/14	J		
425.					Buy (add'l)	04/02/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Sold	12/19/14	J	A	
427. -RS SELECT GROWTH					Buy	02/28/14	J		
428.					Buy (add'l)	04/02/14	J		
429.					Sold	12/19/14	J		
430. -SUNAMERICA NEW FOC DIV STRA A					Buy (add'l)	02/28/14	J		
431.					Buy (add'l)	04/02/14	J		
432.					Sold (part)	12/19/14	J	A	
433. -THORNBURG DEVELOPING WORLD I					Sold (part)	02/28/14	J	A	
434.					Buy (add'l)	04/02/14	J		
435.					Sold	12/19/14	J	A	
436. -THORNBURG INTL GROWTH					Buy	02/28/14	J		
437.					Buy (add'l)	04/02/14	J		
438.					Sold	12/19/14	J		
439. -TOUCHSTONE SANDS CAP SEL GR					Buy	02/28/14	J		
440.					Buy (add'l)	04/02/14	J		
441.					Sold (part)	12/19/14	J		
442. -WASATCH SM CAP GRW FD					Sold	02/28/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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VII. INVESTMENTS and TRUSTS -- *Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443. -WISDOMTREE TRUST JAPN HEDGE EQUIT					Buy	12/19/14	J		
444.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting

Hurwitz, Andrew

Date of Report

05/13/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Trust #3

Trust #3 Transferred in assets [REDACTED]. All sales, without a prior buy in 2013, are marked with an (X).

IRA #1

(WPC) W P Carey Comm Stock was transferred from IRA #2 to IRA #1.

IRA #2

Corporate Prop Assoc 15 REIT (Y) was exchanged for (WPC) W P Carey Comm Stock. Then the (WPC) W P Carey Comm Stock was transferred to IRA #1.

IRA #3

(WPC) W P Carey Comm Stock was transferred from IRA #6 to IRA #3.

IRA #6

Corporate Prop Assoc 15 REIT (Y) was exchanged for (WPC) W P Carey Comm Stock. Then the (WPC) W P Carey Comm Stock was transferred to IRA #3.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Andrew Hurwitz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544