

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKeague, David W.	2. Court or Organization U.S. Court of Appeals - Sixth	3. Date of Report 05/11/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Court of Appeals 315 W. Allegan Street Lansing, MI 48933		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor	Michigan State University College of Law
2. Board Member	Michigan State University College of Law
3. Adjunct Professor	University of Michigan Law School
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Michigan State University College of Law (approved teaching)	\$1,455.00
2. 2014	University of Michigan Law School (approved teaching)	\$25,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Michigan Health & Hospital Association - wages
2. 2014	Accident Fund Insurance Company - Director fees
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Sallie Mae Servcing Corporation	Student Loans	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Stonehedge LP	B	Dividend	K	W					
2. PNC	A	Interest	J	T					
3. Ohio National Univ. Life		None	K	T					
4. IRA 1	E	Dividend	O	T					
5. -Schwab US Treasury Money Fund									
6. -PIMCo Total Return					Sold (part)	02/18/14	J	A	
7.					Sold (part)	07/18/14	J	A	
8.					Sold	09/29/14	M	B	
9. -DFA U.S. Small Cap					Sold	07/18/14	J	C	
10. -T Rowe Price Mid Cap					Sold (part)	07/18/14	K	D	
11. -Vanguard Index 500 Signal Shares									
12. - Touchstone Sands Capital Inst. Growth					Sold (part)	07/18/14	K	D	
13. - ICAP Select Equity									
14. - CRM Small Cap Value Instl					Sold	07/18/14	J	C	
15. - Stratton Small Cap Value					Buy (add'l)	07/18/14	J		
16. - Harbor International Instl					Buy (add'l)	10/29/14	J		
17. - Credit Suisse Commodity Return Strategy									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - BlackRock Global Allocation C11									
19. - Loomis Sayles Strategic Income Y									
20. - SPDR Gold Trust Shares					Sold	02/18/14	K	D	
21. - Thornburg International Value					Buy (add'l)	03/24/14	J		
22.					Sold	07/18/14	L	D	
23. - JP Morgan Strategic Income Opps Sel					Sold (part)	02/18/14	J	A	
24. - PIMCO Unconstrained Bond Instl					Sold	01/28/14	L	A	
25. - Harding Loevner Emerging Markets					Buy (add'l)	02/18/14	J		
26. - Wasatch 1st Source Long/Short					Buy (add'l)	02/18/14	J		
27.					Sold (part)	07/18/14	J	B	
28. - JP Morgan High Yield Select					Sold (part)	02/18/14	K	B	
29.					Sold	03/24/14	J	A	
30. - Templeton Global Bond Adv					Sold	02/18/14	K	A	
31. - PIMCO Income Inst'l					Buy (add'l)	02/18/14	J		
32.					Sold (part)	07/18/14	K	B	
33. - MFS International Value I					Buy (add'l)	10/29/14	J		
34. - Sterling Capital Equity Income Instl					Buy (add'l)	07/18/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	10/29/14	J		
36. -Robeco Boston Partners Long/Short Rsrch Instl					Buy	02/18/14	K		
37.					Sold (part)	07/18/14	J	A	
38. -Federated Total Return Bond Instl					Buy	9/29/14	L		
39. -Goldman Sachs Strategic Income Instl					Buy	01/28/14	L		
40.					Sold (part)	07/18/14	J	A	
41. -Ivy Asset Strategy I					Buy	02/28/14	K		
42.					Sold (part)	07/18/14	K	A	
43.					Sold	10/29/14	K	A	
44. -Metropolitan West Total Return Bond I					Buy	09/29/14	L		
45. -Principal Diversified Real Asset Inst					Buy	07/18/14	L		
46. -Vanguard Developed Markets Index Admiral					Buy	07/18/14	L		
47.					Buy (add'l)	10/29/14	J		
48. -Vanguard Extended Markets Index Admiral					Buy	07/18/14	K		
49. MI Health & Hospital Association - (401K)	A	Dividend	M	T					
50. Ohio National Univ. Life Policy		None	K	T					
51. IRA 2	D	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Schwab US Treasury Money Fund									
53. -PIMCo Total Return					Sold	09/29/14	L	A	
54. -Vanguard Index 500 Signal Shares					Buy (add'l)	02/19/14	J		
55. - ICAP Select Equity									
56. - Touchstone Sands Capital Inst. Growth					Sold (part)	02/18/14	J	C	
57.					Sold (part)	07/18/14	J	D	
58. - Credit Suisse Commodity Return Strategy									
59. - CRM Small Cap Value Instl					Sold	07/18/14	J	B	
60. - SPDR Gold Trust Shares					Sold	02/18/14	J	B	
61. - T. Rowe Price Mid-Cap Growth					Sold (part)	07/18/14	J	B	
62. - JP Morgan Strategic Inc Opps Sel									
63. - PIMCO Unconstrained Bond Instl					Sold	01/28/14	K	A	
64. - Harding Loevner Emerging Markets									
65. - Wasatch 1st Source Long/Short									
66. - Templeton Global Bond Adv					Sold	02/18/14	K	A	
67. - Harbor Int'l Instl									
68. - JP Morgan High Yield Select					Sold	02/18/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. - PIMCO Income Inst'l					Sold (part)	07/18/14	J	B	
70. - MFS International Value I									
71. - Sterling Capital Equity Income Instl					Buy	07/18/14	J		
72. - Thornburg International Value I					Sold	07/18/14	J	A	
73. -Boston Partners Long/Short Rsrch Instl					Buy	02/18/14	J		
74. -Federated Total Return Bond Instl					Buy	09/29/14	K		
75. -Goldman Sachs Strategic Income Instl					Buy	01/28/14	K		
76. -Ivy Asset Strategy					Buy	02/18/14	K		
77.					Sold (part)	07/18/14	J	A	
78.					Sold	10/29/14	J	A	
79. -Metropolitan West Total Return Bond I					Buy	09/29/14	K		
80. -Principal Diversified Real Asset Inst					Buy	07/18/14	K		
81. -Vanguard Developed Markets Idx Admiral					Buy	10/29/14	J		
82. -Vanguard Extended Market Idx Adm					Buy	07/18/14	K		
83. MI Health & Hosp. Assoc. deferred comp plan	A	Dividend	M	T					
84. IRA 4	C	Dividend	M	T					
85. - Schwab US Treasury Money Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. - PIMCo Total Return					Sold	09/29/14	K	A	
87. -Columbia Int'l. Value Z									
88. -Vanguard Index 500 Signal					Sold (part)	04/04/14	J	B	
89. - Stratton Small Cap Value									
90. - JP Morgan Strategic Inc Opps Sel									
91. - PIMCO Unconstrained Bond Instl					Sold	01/28/14	J	A	
92. - Templeton Global Bond Adv					Sold	02/28/14	J	A	
93. - PIMCO Income Inst'l					Buy	02/18/14	J		
94. - MFS International Value I									
95. -Federated Total Return Bond Instl					Buy	09/29/14	K		
96. -Ivy Asset Strategy I					Buy	02/18/14	J		
97. -Metropolitan West Total Return Bond I					Buy	09/29/14	K		
98. -Ivy Asset Strategy I					Sold	10/29/14	J	A	
99. Schwab Individual Account	B	Dividend	L	T					
100. - Schwab US Treasury Money Fund		None	J	T					
101. - Thornburg International Value	A	Dividend			Sold	07/18/14	K	D	
102. - Harding Loevner Emerging Markets	A	Dividend	J	T					

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103. - JP Morgan High Yield Select	A	Dividend			Sold	02/18/14	J	A	
104. - Harbor International Instl	A	Dividend	J	T					
105. - MFS International Value I	A	Dividend	J	T					
106. -Vanguard 500 Index Signal	A	Dividend	J	T	Buy	02/19/14	J		
107. -Vanguard Developed Markets Idx Admiral	A	Dividend	K	T	Buy	07/18/14	K		
108. MSU Federal Credit Union	A	Interest	K	T					
109. Mercantile Bank	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

-An asset class conversion has been made during the year for the Vanguard 500 Index Signal Shares (Ticker: VIFSX) over to the Vanguard 500 Index Admiral Shares (Ticker: VFIAX). Please note this change for cell numbers 11, 54, 88 and 106.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David W. McKeague**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544