

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davis, Andre M.	2. Court or Organization Court of Appeals for the Fourth Circuit	3. Date of Report 05/15/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U S Courthouse 101 W. Lombard Street Baltimore, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	University of MD School of Law Board of Visitors
2. Instructor	Vanderbilt University School of Law
3. Instructor	University of MD School of Law
4. Instructor	National Judicial College
5. Chair of the Faculty Council	National Judicial College
6. Director	Open Society Institute-Baltimore
7. Member of the Board	Baltimore Urban Debate League, Inc.
8. Member of the Board	Community Law in Action, Inc.
9. Member of the Executive Committee	ABA Judicial Division/Appellate Judges Conference
10. Chair	ABA Judicial Division/Commission on Diversity in the Judiciary
11. Member	Network on Neuroscience and the Law
12. Member	Appellate Judges Education Institute Board of Directors

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*



NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.

2.

3.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 5/1/2014	Vanderbilt University School of Law	\$10,000.00
2. 5/1/2014	University of Maryland School of Law	\$3,750.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 12/31/2014	Self Employed Education Consultant
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Vanderbilt University School of Law	February 13-17, 2014	Nashville, TN	Course Instructor	Transportation, Lodging, and Food
2. Duke University Law School	February 28-March 1, 2014	Durham, NC	Professional Meeting/ National Security Law	Transportation, Lodging, and Food
3. Wake Forest School of Law	February 24-27, 2014	Winston-Salem, NC	"Jurist in Residence" Program	Transportation, Lodging, and Food
4. National Judicial College	March 30-31, 2014	Nashville, TN	Board of Trustees Meeting	Transportation, Lodging, and Food
5. same	June 5-6, 2014	Reno, NV	Faculty Council Meeting	Transportation, Lodging, and Food
6. same	June 22-27, 2014	Sedona, AR	Judicial Philosophy Course	Transportation, Lodging, and Food

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7.	American Bar Association	February 8-10, 2014	Chicago, IL	Mid-Year Meeting	Transportation, Lodging, and Food
8.	same	August 7-10, 2014	Boston, MA	Annual Meeting	Transportation, Lodging, and Food
9.	MacArthur Foundation/ Vanderbilt University Law School	February 6-7, 2014	Nashville, TN	Professional Meeting: Neuroscience and the Law	Transportation, Lodging, and Food
10.	same	February 20-21, 2014	Miami, FL	same	same
11.	same	June 16-19, 2014	Chicago, IL	same	same
12.	same	April 26-28, 2014	Chicago, IL	same	same
13.	same	July 28-29, 2014	Atlanta, GA	same	same
14.	same	October 16-18, 2014	Santa Monica, CA	same	same
15.	same	November 12-13, 2014	Philadelphia, PA	same	same
16.	Georgia State University	September 12-14, 2014	Atlanta, GA	same	same
17.	Harvard University Law School	September 22-26, 2014	Cambridge, MA	Course Instruction	Transportation, Lodging, and Food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Sovereign Bank	Mortgage on Rental Property/ Eastham, MA	O
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts	A	Interest	J	T					
2. Capital One Accounts	A	Interest	J	T					
3. Bank of America Accounts	A	Interest	K	T					
4. Discover Bank Account	A	Interest			Closed	06/30/14	J		
5. American Express Bank Account	A	Interest	K	T	Open	01/20/14	J		
6. JPMorgan LiquidAssetsMoneyMarket	A	Dividend	K	T	Open	03/31/14	J		
7. Lines 8 to 17 report EXCHANGE TRADED FUNDS (H)									
8. SPDR Dow Jones Indl Avg ETF	A	Dividend			Sold	01/24/14	K	D	
9. iShares Silver Trust	A	Dividend	J	T	Buy	01/24/14	J		
10. Technology Select Sector SPDR Fund	A	Dividend	K	T	Buy	01/24/14	K		
11. iShares Russell 1000 Value ETF	A	Dividend			Buy	05/02/14	J		
12.					Buy (add'l)	10/16/14	J		
13.					Sold	12/23/14	K	B	
14. iShares Russell 1000 Growth ETF	A	Dividend			Buy	05/05/14	J		
15.					Sold	12/23/14	J	B	
16. SPDR S&P 500 ETF	B	Dividend			Buy	05/02/14	K		
17.					Sold	12/23/14	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Lines 19 to 28 report Common Stock (H)									
19. Under Armour		None			Buy	05/02/14	J		
20.					Sold	12/23/14	J	B	
21. CSX Corp.	A	Dividend			Buy	04/07/14	J		
22.					Sold	12/26/14	J	A	
23. EXELON Corp.	A	Dividend			Buy	04/09/14	J		
24.					Sold	12/23/14	J	A	
25. NIKE Corp. Class B	A	Dividend			Buy	04/07/14	J		
26.					Sold	12/24/14	J	B	
27. T ROWE PRICE, Inc.	A	Dividend			Buy	04/07/14	J		
28.					Sold	12/23/14	J	A	
29. Lines 30 to 81 report MUTUAL FUNDS (H)									
30. Davis NY Venture Fund Class C	A	Dividend	K	T					
31. Europacific Growth Fund Class A	A	Dividend	K	T					
32. T Rowe Price Growth Fund	C	Distribution	K	T	Buy	07/02/14	K		
33. T Rowe Price Blue Chip Growth Fund	A	Distribution	K	T	Buy	03/24/14	J		
34.					Buy (add'l)	03/28/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$30,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35.					Buy (add'l)	03/31/14	J		
36.					Buy (add'l)	04/14/14	J		
37.					Buy (add'l)	04/28/14	J		
38.					Buy (add'l)	05/14/14	J		
39.					Buy (add'l)	05/28/14	J		
40.					Buy (add'l)	06/16/14	J		
41.					Buy (add'l)	06/27/14	J		
42.					Buy (add'l)	06/30/14	J		
43.					Buy (add'l)	07/03/14	J		
44.					Buy (add'l)	07/14/14	J		
45.					Buy (add'l)	07/28/14	J		
46.					Buy (add'l)	08/14/14	J		
47.					Buy (add'l)	08/28/14	J		
48.					Buy (add'l)	09/15/14	J		
49.					Buy (add'l)	09/29/14	J		
50.					Buy (add'l)	10/03/14	J		
51.					Buy (add'l)	10/14/14	J		

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52.					Buy (add'l)	10/16/14	J		
53.					Buy (add'l)	10/28/14	J		
54.					Buy (add'l)	11/14/14	J		
55.					Buy (add'l)	11/28/14	J		
56.					Buy (add'l)	12/15/14	J		
57. T Rowe Price Equity Income Fund	B	Distribution	K	T	Buy	03/24/14	J		
58.					Buy (add'l)	03/31/14	J		
59.					Buy (add'l)	04/07/14	J		
60.					Buy (add'l)	04/21/14	J		
61.					Buy (add'l)	05/05/14	J		
62.					Buy (add'l)	05/19/14	J		
63.					Buy (add'l)	06/05/14	J		
64.					Buy (add'l)	06/19/14	J		
65.					Buy (add'l)	07/02/14	J		
66.					Buy (add'l)	07/07/14	J		
67.					Buy (add'l)	07/21/14	J		
68.					Buy (add'l)	08/05/14	J		

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69.					Buy (add'l)	08/19/14	J		
70.					Buy (add'l)	09/05/14	J		
71.					Buy (add'l)	09/19/14	J		
72.					Buy (add'l)	10/06/14	J		
73.					Buy (add'l)	10/20/14	J		
74.					Buy (add'l)	11/05/14	J		
75.					Buy (add'l)	11/19/14	J		
76.					Buy (add'l)	12/05/14	J		
77.					Buy (add'l)	12/19/14	J		
78. T Rowe Price MD Tax Free Bond Fund	A	Int./Div.	K	T	Buy	05/28/14	K		
79.					Buy (add'l)	07/01/14	J		
80.					Buy (add'l)	07/07/14	J		
81.					Buy (add'l)	08/01/14	J		
82. Rental Property--Eastham, MA	F	Rent	P1	W					
83. Rental Property--Baltimore, MD	D	Rent	N	W	Buy	05/29/14	M		Estate of Hawes
84. Trust No. 1 This is an income beneficiary trust.	E	Distribution	P1	W					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Andre M. Davis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544