

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Grandy, Laura K.	2. Court or Organization Bankruptcy Court - Southern Illinois	3. Date of Report 11/14/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Melvin Price Federal Building and United States Courthouse 750 Missouri Avenue East St. Louis, IL 62201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 3/02/2010	Mathis Marifian & Richter LTD See Note in Part VIII
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	Mathis Marifian & Richter LTD	\$50,538.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Illinois State Bar Association (ISBA)	04/14/16/16	Chicago, IL	Professional Organization Activity	Lodging and Travel
2. Bankruptcy Association of Southern Illinois (BASIL)	05/05/16	Fairview Heights, IL	Professional Organization Activity	Meals and Travel
3. NABT - National Association of Bankruptcy Trustees	08/08/16 - 08/11/16	San Diego, CA	Professional Organization	Travel
4. American Bankruptcy Institute (ABI)	10/09/16 - 10/10/16	Chicago, IL	Professional Organization	Lodging, Meals, and Travel
5. National Conference of Bankruptcy Judges (NCBJ)	10/25/16 - 10/29/16	San Francisco, CA	Professional Organization	lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of Springfield	Note Payable (Co-signer on Debt)	J
2.	FCB - Swansea	Mortgage on building owned by MMRG Building LLC	N
3.	Bank of Springfield	Mortgage - Pineywood Property	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Variable Annuity									
2. - International Equity Franklin Tpl	C	Dividend	K	T					
3. - Small Cap Growth Wellington	B	Dividend	K	T					
4. - Russell Non-US	B	Dividend	K	T					
5. - Domestic Equity Delaware Mgmt	C	Dividend	K	T					
6. - Focused Appreciation Janus	C	Dividend	K	T					
7. - Equity Income T Rowe Price	B	Dividend	J	T					
8. Northwestern Mutual 65 Life Insurance Policy	C	Dividend	L	T					
9. Northwestern Mutual Variable CompLife Insurance Policy	B	Dividend	L	T					
10. - Large Cap Blend Fiduciary Mgmt	A	Dividend	J	T					
11. - Large Cap Core Stock Fayed Sarofim	A	Dividend	J	T					
12. - Mid Cap Core Stock WM Blair Co	A	Dividend	J	T					
13. - Small Cap Value T Rowe Price	A	Dividend	J	T					
14. - International Equity Franklin Tmpl	A	Dividend	J	T					
15. - International Growth Janus	A	Dividend			Sold	03/30/16	J	A	
16. - International Growth FIAM LLC	A	Dividend	J	T	Buy	05/01/16	J		
17. - Select Bond Wells Capital Mgmt	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. - Russell Core Bond	A	Dividend	J	T					
19. - Long Term US Govt Bond Pimco	A	Dividend	K	T					
20. - High Yield Bond Federated INC	A	Dividend	J	T					
21. - Russell Global Real Estate	A	Dividend	J	T					
22. - Money Market Black Rock	A	Dividend	J	T					
23. Raymond James Morgan Keegan Trust U/A DTD 7/02/98									
24. - Raymond James Morgan Cash/Money Market	A	Interest	K	T					See Note in Part VIII
25. - Apple Inc (AAPL)	A	Dividend	J	T					
26. - First Eagle Global Fund A (SGENX)	C	Dividend	M	T					
27. - First Eagle US Value Fund A (FEVAX)	B	Dividend	L	T					
28. -Federated Kaufmann Large Cap C (KLCCX)	B	Dividend	L	T					
29. - Freeport McMoran Copper and Gold Class B (FCX)	A	Dividend	J	T					
30. - FT Capital Strength Ser 2 Cash	A	Interest	K	T					
31. - Ivy Core Equity Fund (WCEAX)	A	Dividend	K	T					
32. Raymond James Morgan Keegan									
33. - Federated Kaufmann Large Cap A (KLCAX)	B	Dividend	L	T					
34. First Trust Technology Dividend Portfolio Series 5	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Fundamental Investors Fund Class A (ANCFX)	A	Dividend	L	T					
36. Putnam Capital Spectrum Fund Class C (PVSCX)	A	Dividend	K	T					
37. Federated Strategic Value (SVAAX)	A	Dividend	J	T					
38. Lord Abbett Growth (LGLCX)	A	Dividend	J	T					
39. - American Funds Europacific (AEPEX)	A	Dividend	J	T					
40. - AF American Mutual F2 (AMRFX)	A	Dividend	J	T					
41. - Artisan International (ARTIX)	A	Dividend			Sold	10/14/16	J	B	
42. - Champlain Mid Cap Fund (CIPMX)	A	Dividend	J	T					
43. - JP Morgan US Large Cap Core Plus (JLPSX)	A	Dividend			Sold	02/24/16	K	B	
44. - MFS Research Fund Class A (MFRFX)	A	Dividend			Sold	02/26/16	J	B	
45. - T Rowe Price Smallcap Value Adv (PASVX)	A	Dividend	J	T					
46. - Clearbridge Equity (SOPYX)	A	Dividend	J	T					
47. Loomis Sayles Growth Fund (LSGRX)	A	Dividend			Sold	04/25/16	J	B	
48. - Templeton Global Bond Fund (TGBAX)	A	Dividend			Sold	02/26/16	J	B	
49. - Wells Fargo Advantage Int. (WFXIX)	A	Dividend	J	T					
50. Virus Emerging Markets Oppt Fund (HIEMX)	A	Dividend			Sold	06/16/16	J	B	
51. Dodge & Cox Income Fund (DODIX)	A	Dividend	J	T					

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52. John Hancock Disciplined Value (JVMIX)	A	Dividend	J	T					
53. Metropolitan West Rtn Bond (MWTIX)	A	Dividend	J	T	Buy (add'l)	02/26/16	J		
54. Prudential Absolute (PADZX)	A	Dividend			Sold	08/18/16	J	B	
55. First Trust S&P Reit Index Fund (FRI)	A	Dividend	J	T					
56. Ishares TR MSCI Denmark (EDEN)	A	Dividend	J	T					
57. Federated institutional high yield (FIHBX)	A	Dividend	J	T					
58. First Trust Dorsey Wright Rel Strength Top 50 Series 17 Term	A	Dividend	K	T	Sold (part)	04/26/16	K		
59. Pioneer Fundamental Growth (PIGFX)	A	Dividend	L	T					
60. First Trust Cyclical Strength Portfolio Series 10 Term	A	Dividend	J	T					
61. Baird Aggregate Bond Fund Instl Class (BAGIX)	A	Dividend	J	T	Buy (add'l)	02/26/16	J		
62.					Buy (add'l)	08/18/16	J		
63. First Trust Cyclical Strength Portfolio Series 2 Term	A	Dividend	J	T					
64. Thornburg Core Growth Fund Class C (TCGCX)	A	Dividend	J	T					
65. First TR Exchange Traded FD VI Dorsey Wright Focus (FV)	A	Dividend	J	T					
66. First Trust Biotechnology Series 30 Term	A	Dividend	J	T					
67. Clearbridge Aggressive Growth Fund (SAGCX)	A	Dividend	J	T					
68. Raymond James Morgan Keegan									

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69. - Cash/Money Market	A	Interest	J	T					
70. - Blackrock Global A (MDLOX)	A	Dividend	J	T					
71. - Blackrock Global B (MBLOX)	A	Dividend	K	T					
72. -Loomis Sayles Income Fund Cl A (NEFZX)	A	Dividend	K	T					
73. -Lord Abbett Bond (LBNDX)	A	Dividend	J	T					
74. Pinewoods, Swansea, Illinois (2001 \$226,000)		None	M	R					See Note in Part VIII
75. McFessel Drive, Fairview Heights, IL (2005, \$55,000)		None			Sold	06/01/16	L	D	See Note in Part VIII
76. MMRG Bldg , Belleville, Illinois (2003, \$370,000)	E	Rent	N	R					
77. Merrill Lynch									
78. - Cash/Money Market	A	Interest	J	T					
79. - FIA Card Services Money Market Account	A	Interest	K	T					
80. - Comcast Corp New CL A (CMCSA)	A	Dividend	J	T					
81. Danaher Corp DEL COM (DHR)	A	Dividend	J	T	Sold	04/20/16	J	C	
82.					Buy	12/08/16	J		
83. Disney (Walt) Co Com Stk (DIS)	A	Dividend	J	T	Sold (part)	06/28/16	J	B	
84.					Sold (part)	07/06/16	J	B	
85.					Buy (add'l)	02/01/16	J		

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86.					Sold (part)	06/21/16	J	B	
87. Franklin Incom Fd Adv (FRIAX)	A	Dividend	J	T					
88. Honeywell Intl Inc Del (HON)	A	Dividend	J	T	Buy (add'l)	12/08/16	J		
89. Loomis Sayles Growth (LSGRX)	A	Dividend	J	T					
90. - Mondelez International Inc (MDLZ)	A	Dividend	J	T					
91. Putnam Capital (PVSYSK)	A	Dividend	J	T					
92. - Putnam Diversified (PDVYX)	A	Dividend	J	T					
93. Splunk Inc (SPLK)	A	Dividend			Sold	02/08/16	J	B	
94. Starwood Ppty TR (STWD)	A	Dividend	J	T					
95. Skyworks Solutions (SWKS)	A	Dividend			Sold (part)	01/12/16	J	B	
96.					Sold (part)	01/14/16	J	B	
97.					Sold (part)	04/15/16	J	B	
98.					Sold (part)	04/22/16	J	B	
99.					Sold	05/04/16	J	C	
100. Time Warner (TWX)	A	Dividend	J	T					
101. Under Armour Inc (UA)	A	Dividend	J	T	Sold (part)	07/15/16	J	A	
102.					Sold (part)	08/08/16	J	B	

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103. -Kinder Morgan Energy Partners LP	B	Int./Div.	J	T					See Note in Part VIII
104. -Energy Transfer PTNRS LP	B	Int./Div.	K	T					See Note in Part VIII
105. ILLUMINA INC (ILMN)	A	Dividend			Sold	04/22/16	J	B	
106. Bank of America - Merrill Lynch Wealth Management									
107. - Money Manager Checking Sweep Acct	A	Interest	J	T					
108. - AXA Equitable Life INS CO Accumulator 2004	B	Int./Div.	M	T					
109. - Apple Inc (AAPL)	A	Dividend	K	T					
110. Metlife (MET)	A	Dividend			Sold	03/24/16	J	C	
111. NXP Semiconductors (NXPI)	A	Dividend			Sold (part)	04/27/16	J	B	
112.					Sold (part)	07/07/16	J	A	
113.					Sold	07/08/16	J	C	
114. Ishares MCSI Frontier (FM)	A	Dividend	J	T					
115. Vanguard Energy ETF (VDE)	A	Dividend	J	T	Sold (part)	09/19/16	J	A	
116.					Buy (add'l)	03/09/16	J		
117.					Sold (part)	06/21/16	J	C	
118. First TR Exchange Traded FD Dow Jones IN (FDN)	A	Dividend	J	T					
119. Fireeye Inc (FEYE)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Amazon Com Inc (AMZN)	A	Dividend	J	T	Buy (add'l)	06/23/16	J		
121.					Buy (add'l)	12/08/16	J		
122.					Buy (add'l)	02/04/16	J		
123.					Sold (part)	06/21/16	J		
124.					Buy (add'l)	08/26/16	J		
125. Alphabet Inc SHS CL A (Googl)	A	Dividend			Sold	05/05/16	J	A	
126. Alphabet Inc shs CL C (GOOG)	A	Dividend			Buy	01/07/16	J		
127.					Sold	06/21/16	J	A	
128. Conocophillips (COP)	B	Dividend			Sold	01/25/16	J		
129. Eli Lilly Co (LLY)	A	Dividend	J	T	Buy (add'l)	03/29/16	J		
130.					Buy (add'l)	04/04/16	J		
131.					Buy (add'l)	05/16/16	J		
132.					Buy (add'l)	04/01/16	J		
133. Hershey Company (HSY)	A	Dividend			Sold	01/25/16	J	A	
134. Johnson and Johnson Co (JNJ)	A	Dividend	J	T	Sold (part)	06/21/16	J	B	
135. McDonalds Corp (MCD)	A	Dividend	J	T					
136. Microsoft Corp (MSFT)	A	Dividend			Sold	09/19/16	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	06/21/16	J		
138. Pepsico Inc (PEP)	A	Dividend			Sold	09/19/16	J	A	
139. Reynolds American Inc (RAI)	A	Dividend	J	T	Sold (part)	09/19/16	J	A	
140.					Sold (part)	01/19/16	J	A	
141. Proshares Credit Suisse (CSM)	A	Dividend			Sold	01/25/16	J	B	
142. SPDR S P Biotech (XBI)	A	Dividend			Sold	01/12/16	J	A	
143. Vanguard Tax Exempt Bond (VTEB)	A	Dividend			Sold	02/11/16	J	B	
144. Delta Air Lines Inc (DAL)	A	Dividend			Sold	01/25/16	J	B	
145. JPMorgan Chase Co (JPM)	A	Dividend	J	T	Sold (part)	09/20/16	J	A	
146.					Buy (add'l)	02/01/16	J		
147.					Buy (add'l)	05/05/16	J		
148. Constellation Brands Inc (STZ)	A	Dividend	J	T	Sold (part)	06/23/16	J	B	
149.					Sold (part)	07/15/16	J	A	
150. Envision Healthcare Hldg (EVHC)	A	Dividend			Sold	01/01/16	J	B	
151. Disney (Walt) Co COM (DIS)	A	Dividend	J	T					
152. FIREEYE INC (FEYE)	A	Dividend	J	T					
153. Hennessy Cornerstone MID (HIMDX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Disney (Walt) Co COM (DIS)	A	Dividend	J	T					
155. Centene Corp (CNC)	A	Dividend			Buy (add'l)	01/04/16	J		
156.					Sold (part)	05/16/16	J	A	
157.					Sold	05/19/16	J	B	
158. Mondelez International (MDLZ)	A	Dividend	J	T					
159. Goldman Sachs Income (GSBIX)	A	Dividend			Sold	11/17/16	J	A	
160. Powershares DWA Consumer (PSL)	A	Dividend			Sold	03/02/16	J	C	
161. Incyte Corporation (INCY)	A	Dividend			Sold	03/23/16	J	B	
162. Verizon Communication Com (VZ)	A	Dividend	J	T	Buy (add'l)	01/04/16	J		
163.					Buy (add'l)	12/23/16	J		
164.					Buy (add'l)	02/01/16	J		
165. Aqr Manages Futures Strategy Fund (AQMNX)	B	Dividend	J	T	Buy	02/26/16	J		
166. First Trust Capital Strength Portfolio Series 33 Term	B	Dividend	J	T	Buy	04/26/16	K		
167. Harding Loevner Emerg Markets Fund (HLEMX)	B	Dividend	J	T	Buy	06/16/16	J		
168. Artisan International Fund (APDIX)	B	Dividend	J	T	Buy	10/14/16	J		
169. Pfizer INC (PFE)	A	Dividend	J	T	Buy	03/29/16	J		
170.					Buy (add'l)	04/12/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	07/19/16	J		
172. Powershares S&P (SPHD)	A	Dividend			Buy	07/27/16	J		
173.					Sold	11/17/16	J	C	
174. DST Systems INC (DST)	A	Dividend	J	T	Buy	04/27/16	J		
175.					Buy (add'l)	04/28/16	J		
176.					Buy (add'l)	04/29/16	J		
177.					Buy (add'l)	06/23/16	J		
178.					Buy (add'l)	07/18/16	J		
179.					Buy (add'l)	07/27/16	J		
180.					Buy (add'l)	08/08/16	J		
181. Sunamerica Focused (FDSWX)	B	Dividend	J	T	Buy	04/26/16	J		
182.					Buy (add'l)	05/25/16	J		
183.					Buy (add'l)	06/14/16	J		
184. NVIDIA (NVDA)	A	Dividend	J	T	Buy	04/20/16	J		
185.					Buy (add'l)	04/26/16	J		
186.					Buy (add'l)	05/05/16	J		
187.					Buy (add'l)	06/09/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	07/19/16	J		
189.					Buy (add'l)	08/04/16	J		
190.					Buy (add'l)	10/06/16	J		
191. American WTR WKS (AWK)	A	Dividend	J	T	Buy	04/26/16	J		
192. Zimmer Biomet (ZBH)	B	Dividend	J	T	Buy	05/20/16	J		
193.					Buy (add'l)	06/08/16	J		
194.					Buy (add'l)	07/27/16	J		
195. Salesforce Com Inc (CRM)	A	Dividend	J	T	Buy	05/26/16	J		
196.					Buy (add'l)	06/01/16	J		
197.					Buy (add'l)	06/20/16	J		
198.					Buy (add'l)	07/15/16	J		
199.					Buy (add'l)	08/08/16	J		
200. Ventas Inc (VTR)	A	Dividend	J	T	Buy	07/07/16	J		
201.					Buy (add'l)	08/08/16	J		
202.					Buy (add'l)	12/18/16	J		
203. Welltower INC (HCN)	A	Dividend			Buy	07/07/16	J		
204.					Buy (add'l)	08/08/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold	12/16/16	J	C	
206. A T&T INC (T)	B	Dividend	J	T	Buy	07/06/16	J		
207.					Buy (add'l)	12/23/16	K		
208. Devon Energy Corp (DVN)	C	Dividend	J	T	Buy	08/12/16	J		
209.					Buy (add'l)	08/18/16	J		
210.					Buy (add'l)	12/06/16	K		
211. Nuveen Amt Free Quality (NEA)	A	Dividend	J	T	Buy	09/14/16	J		
212. Vaneck Vectors High (VV)	A	Dividend			Buy	01/04/16	K		
213.					Sold	09/19/16	K	C	
214. Invesco Municipal Income (IMI)	B	Dividend	J	T	Buy	01/04/16	J		
215.					Sold (part)	09/19/16	J	B	
216.					Buy (add'l)	02/19/16	J		
217.					Buy (add'l)	06/21/16	J		
218. General Electric (GE)	A	Dividend	J	T	Buy	01/04/16	J		
219.					Sold (part)	09/19/16	J	B	
220.					Buy (add'l)	03/24/16	J		
221. Eaton Vance Natl Municpl (EV)	B	Dividend	J	T	Buy	01/04/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	09/19/16	J	B	
223.					Buy (add'l)	02/19/16	J		
224.					Buy (add'l)	03/09/16	J		
225.					Buy (add'l)	06/21/16	J		
226. Vanguard Utilities (VDU)	A	Dividend	J	T	Buy	02/14/16	J		
227.					Sold (part)	09/19/16	J	B	
228.					Buy (add'l)	03/09/16	J		
229. Spdr Dow Jones Indust (DIA)	B	Dividend	J	T	Buy	11/17/16	K		
230.					Buy (add'l)	11/19/16	J		
231.					Buy (add'l)	12/08/16	J		
232. Ishares National Muni (MUB)	A	Dividend	J	T	Buy	11/19/16	J		
233.					Buy (add'l)	02/19/16	J		
234.					Sold (part)	03/28/16	J	C	
235. Facebook INC (FB)	A	Dividend	J	T	Buy	12/08/16	J		
236.					Buy (add'l)	02/04/16	J		
237.					Buy (add'l)	06/21/16	J		
238. Nike Inc CL B (NKE)	B	Dividend	J	T	Buy	01/07/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy (add'l)	06/21/16	J		
240. Netflix Com Inc (NFLX)	B	Dividend			Buy	01/05/16	J		
241.					Buy (add'l)	02/04/16	J		
242.					Sold	05/18/16	K	C	
243. Nuveen Perf Pls Muni (NPP)	B	Dividend	J	T	Buy	02/11/16	J		
244.					Buy (add'l)	03/09/16	J		
245.					Buy (add'l)	06/21/16	J		
246. Starbucks Corp (SBUX)	B	Dividend			Buy	02/01/16	J		
247.					Sold	06/21/16	J	C	
248. Mastercard INC (MA)	A	Dividend	J	T	Buy	02/01/16	J		
249. Markt Vect High Yld (HYD)	A	Dividend			Buy	02/22/16	J		
250.					Buy (add'l)	04/01/16	J		
251.					Sold	05/02/16	K	C	
252. Unitedhealth Group INC (UNH)	A	Dividend	J	T	Buy	03/04/16	J		
253.					Buy (add'l)	05/05/16	J		
254. Invesco Municipal Inc (OIA)	B	Dividend	J	T	Buy	03/09/16	J		
255. First Tr Managed (FMB)	B	Dividend	J	T	Buy	01/04/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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11/14/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold (part)	04/01/16	J	B	
257.					Sold (part)	06/21/16	J	B	
258. Exxon Mobil Corp (XOM)	B	Dividend			Buy	03/30/16	J		
259.					Buy (add'l)	05/05/16	J		
260.					Buy (add'l)	06/21/16	J		
261.					Sold	07/29/16	J	C	
262. Vaneck Vectors High (HYD)	A	Dividend	J	T	Buy	05/02/16	K		
263.					Buy (add'l)	05/18/16	K		
264. Realty Incm Crp MD (REIT)	A	Dividend	J	T	Buy	06/21/16	J		
265. Royal Dutch Shel PLC (RDSB)	A	Dividend	J	T	Buy	07/29/16	J		
266.					Buy (add'l)	08/26/16	J		
267.									
268.									
269.									
270.									
271.									
272.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Grandy, Laura K.

Date of Report

11/14/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

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274.									
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II:

Line 1 - Payments for percentage of collections of fees for work performed and generated by Judge, prior to departure.

Part VI

Line 3 Residential Real Property - First Bank Mortgage - McFessel property was sold

Part VII:

Line 24 This is a money market account for investments for investment funds.

Line 74 - Residential Real Property which is currently occupied by mother. No income received. Value of property was increased since the part owner of property was brought out.

Line 75 Residential Real Property was sold.

Line 103/4 - Publicly Traded Partnership that generates interest and dividends.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Laura K. Grandy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544