AO 10
Rev. 1/2015

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2015

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
FISHER, RAYMOND C.	U.S. COURT OF APPEALS - NINTH CIRCUIT	10/15/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. CIRCUIT JUDGE - SENIOR	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2015 **to** 12/31/2015

7. Chambers or Office Address

U.S. COURT OF APPEALS - 9th CIRCUIT
125 S. GRAND AVE. #400
PASADENA, CA 91105

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member, Board of Directors	Western Justice Center (nonprofit), Pasadena, CA
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
FISHER, RAYMOND C.	10/15/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	Jan-Dec 15	CA State Teachers Retirement System - Pension
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	AMERICAN LAW INSTITUTE	9/10-11/15	PHILADELPHIA, PA	ADVISORY COMMITTEE MEETING	TRANSPORTATION, FOOD, LODGING
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
FISHER, RAYMOND C.	10/15/2016

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. FIA Card Svcs NA RASP	A	Dividend	M	T					
2. RFC STRIPS 0%	A	Interest			Redeemed	10/15/15	K	A	
3. CD CITIBANK NA,NV 2.55% 3/24/15	A	Interest			Redeemed	03/24/15	K	A	
4. CD EVERBANK 0.50% 2/26/15	A	Interest	L	T	Buy	02/24/15	L		
5. CD GOLDMAN SACHS BK 0.70% 9/06/16	A	Interest	L	T	Buy	02/24/15	L		
6. CD GOLDMAN SACHS BK USA 0.95% 3/6/17	A	Interest	L	T	Buy	02/24/15	L		
7. ALGER SPECTRA FUND		None			Sold	01/22/15	M	E	
8. BLACKROCK GLOBAL ALLOC CL A	D	Dividend	L	T	Sold (part)	01/22/15	J	A	
9.					Buy (add'l)	02/24/15	L		
10.					Sold (part)	07/15/15	J	A	
11. CLEARBRIDGE APPREC FUND	C	Dividend	M	T	Sold (part)	01/22/15	M	A	
12.					Buy (add'l)	02/24/15	M		
13.					Sold (part)	07/15/15	J	A	
14. CLEARBRIDGE AGGRESSIVE GROWTH		None			Buy	01/22/15	M		
15.					Sold	02/24/15	M	E	
16. COLUMBIA DIV INCOME	A	Dividend			Buy (add'l)	01/22/15	L		
17.					Sold (part)	02/24/15	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
FISHER, RAYMOND C.	10/15/2016

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18.					Sold	07/15/15	J	A	
19. FEDERATED KAUFMAN LG CAP		None	M	T	Buy (add'l)	01/22/15	L		
20.					Sold (part)	02/24/15	L	C	
21.					Sold (part)	07/15/15	J	B	
22. FRANKLIN MUT GLOBAL DISC FD		None			Sold	01/22/15	K	A	
23. FRANKLIN STRATEGIC INC FD		None			Sold	01/22/15	J	A	
24. GABELLI ASSET FD		None			Sold	01/22/15	K	A	
25. GUGGENHEIM BULLETSHARES(GBS) 2016		None			Sold	01/22/15	M	A	
26. GBS 2017		None			Sold	01/22/15	M	A	
27. GBS 2018		None			Sold	01/22/15	M	A	
28. GBS 2019		None			Sold	01/22/15	M	A	
29. J.HANCOCK DISCIPLINED VALUE		None	J	T	Buy (add'l)	01/22/15	J		
30.					Sold (part)	02/24/15	J	A	
31.					Sold (part)	07/15/15	J	A	
32. KOPERNIK GLOBAL		None			Buy (add'l)	01/22/15	J		
33.					Sold	02/24/15	L	A	
34. LEGG MASON BW GLOBAL		None			Sold (part)	01/22/15	K	A	

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.					Buy (add'l)	02/24/15	L		
36.					Sold	07/15/15	M	E	
37. LOOMIS SAYLES STRATEGIC INCOME	C	Dividend			Buy	01/22/15	N		
38.					Buy (add'l)	02/24/15	M		
39.					Sold	04/14/15	N	C	
40. LORD ABBETT SHORT TERM	C	Dividend	N	T	Sold (part)	01/22/15	J	A	
41.					Buy (add'l)	07/15/15	N		
42. METROPOLITAN WEST TOT.RET.BOND	C	Dividend	N	T	Buy	01/22/15	N		
43.					Buy (add'l)	02/24/15	L		
44.					Sold (part)	07/15/15	L	C	
45. MFS VALUE FD		None			Sold	01/22/15	M	D	
46. PIONEER FUNDAMENTAL GRWTH		None			Sold	01/22/15	M	E	
47. PRINCIPAL MID CAP BLEND	A	Dividend	K	T	Buy (add'l)	01/22/15	K		
48.					Sold (part)	02/24/15	J	A	
49.					Sold (part)	07/15/15	J	A	
50. PRUDENTIAL SHORT TERM CORP BOND	A	Dividend	J	T	Sold (part)	01/22/15	J	A	
51.					Buy (add'l)	04/14/15	N		

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52.					Sold (part)	07/15/15	N	A	
53. PRUDENTIAL TOTAL RETURN		None			Sold	01/22/15	J	A	
54. PUTNAM EQUITY INCOME	D	Dividend	M	T	Sold (part)	01/22/15	M	D	
55.					Buy (add'l)	02/24/15	M		
56.					Sold (part)	07/15/15	J	A	
57. SUN AMERICA FOCUSED DIV	D	Dividend	M	T	Buy (add'l)	01/22/15	L		
58.					Sold (part)	02/24/15	L	B	
59.					Sold (part)	07/15/15	J	A	
60. THORNBURG INVESTMENT INCM	B	Dividend	L	T	Sold (part)	01/22/15	J	A	
61.					Buy (add'l)	07/15/15	L		
62. (UMA) FPA CRESCENT FUND		None			Sold	01/22/15	J	A	
63. VIRTUS MULTISECTOR S-T BOND FD		None			Sold	01/22/15	J	A	
64. WESTERN ASSET CORE	C	Dividend	M	T	Sold (part)	01/22/15	J	A	
65.					Buy (add'l)	07/15/15	M		
66. NATIONWIDE AMERICA'S VISION ANNUITY (FID.VIP EQUITY)	C	Distribution	L	T					
67. JACKSON NAT'L LIFE (ANNUITIES)	B	Distribution	M	T					

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FISHER, RAYMOND C.	10/15/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Line 46: Pioneer Fundamental Growth was erroneously reported on my 2014 report (dated 6/23/2015) Part VII Lines 56-62 as two separate funds (Pioneer Fundamental Growth and Pioneer Fund Growth), whereas they are the same Pioneer Fundamental Growth Fund, as shown here.

Name of Person Reporting	Date of Report
FISHER, RAYMOND C.	10/15/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ RAYMOND C. FISHER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544