

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Springmann, Theresa L.	2. Court or Organization Northern District of Indiana	3. Date of Report 7/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U. S. Courthouse 1300 South Harrison Street Fort Wayne, IN 46802		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Master Card	Credit Card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. - Riversource Life Ins. Fixed account	A	Dividend	K	T	Redeemed (part)	01/03/12	J		
3.					Redeemed (part)	02/01/12	J		
4.					Redeemed (part)	03/01/12	J		
5.					Redeemed (part)	03/30/12	J		
6.					Redeemed (part)	05/01/12	J		
7.					Redeemed (part)	06/01/12	J		
8.					Redeemed (part)	06/29/12	J		
9.					Redeemed (part)	08/01/12	J		
10.					Redeemed (part)	08/31/12	J		
11.					Redeemed (part)	10/01/12	J		
12.					Redeemed (part)	11/01/12	J		
13.					Redeemed (part)	11/30/12	J		
14. - Riversource VP Div Bond C13	A	Interest	K	T	Redeemed (part)	01/03/12	J		
15.					Redeemed (part)	02/01/12	J		
16.					Redeemed (part)	03/01/12	J		
17.					Redeemed (part)	03/30/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Redeemed (part)	05/01/12	J		
19.					Redeemed (part)	06/01/12	J		
20.					Redeemed (part)	06/29/12	J		
21.					Redeemed (part)	08/01/12	J		
22.					Redeemed (part)	08/31/12	J		
23.					Redeemed (part)	10/01/12	J		
24.					Redeemed (part)	11/01/12	J		
25.					Redeemed (part)	11/30/12	J		
26.	- Riversource VP Cash Mgmt C13	A	Interest	K	T	Redeemed (part)	01/03/12	J	
27.					Redeemed (part)	02/01/12	J		
28.					Redeemed (part)	03/01/12	J		
29.					Redeemed (part)	03/30/12	J		
30.					Redeemed (part)	05/01/12	J		
31.					Redeemed (part)	06/01/12	J		
32.					Redeemed (part)	06/29/12	J		
33.					Redeemed (part)	08/01/12	J		
34.					Redeemed (part)	08/31/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Redeemed (part)	10/01/12	J		
36.					Redeemed (part)	11/01/12	J		
37.					Redeemed (part)	11/30/12	J		
38.									
39. IRA # 2									
40. - Riversource Life Ins. Fixed Account	A	Dividend			Redeemed	12/6/11	J		
41. - Riversource COL VP Div Bond C13	A	Interest			Redeemed	12/6/11	J		
42. - Riversource COL VP Cash Mgmt C13	A	Interest			Redeemed	12/6/11	J		
43.									
44. Annuity - Riversource Fixed Annuity	A	Dividend	K	T					
45.									
46. IRA #3									
47. -Riversource Life Ins. Fixed Account	B	Int./Div.	L	T					
48. -Riversource COL VP Div Bond C13	C	Dividend	L	T					
49.									
50. IRA #4									
51. - Riversource Life Ins. Fixed Account	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - Riversource COL VP Div Bond C13	B	Interest	K	T					
53. - Riversource COL VP Cash Mgmt C13	A	Interest	J	T					
54.									
55. Chase Bank Account	A	Interest	J	T					
56.									
57.									
58. T. Rowe Price Summit Cash Reserve	A	Interest	K	T	Sold (part)	02/21/12	J		
59.					Sold (part)	03/28/12	J		
60.					Sold (part)	07/06/12	J		
61.					Sold (part)	07/06/12	J		
62.					Sold (part)	07/27/12	J		
63.					Sold (part)	12/10/12	J		
64.					Sold (part)	12/11/12	J		
65.					Buy (add'l)	01/31/12	J		
66.					Buy (add'l)	02/01/12	J		
67.					Buy (add'l)	02/06/12	J		
68.					Buy (add'l)	02/07/12	J		

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69.					Buy (add'l)	02/08/12	J		
70.					Buy (add'l)	02/09/12	J		
71.					Buy (add'l)	02/13/12	J		
72.					Buy (add'l)	02/28/12	J		
73.					Buy (add'l)	03/16/12	J		
74.					Buy (add'l)	03/20/12	J		
75.					Buy (add'l)	03/29/12	J		
76.					Buy (add'l)	04/02/12	J		
77.					Buy (add'l)	05/01/12	J		
78.					Buy (add'l)	06/18/12	J		
79.					Buy (add'l)	06/19/12	J		
80.					Buy (add'l)	06/22/12	J		
81.					Buy (add'l)	07/11/12	J		
82.					Buy (add'l)	07/12/12	J		
83.					Buy (add'l)	12/07/12	J		
84. T. Rowe Price Capital Appreciation Fund	C	Dividend	J	T	Buy	07/06/12	J		
85.					Buy (add'l)	12/14/12	J		

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86. T. Rowe Price Personal Strategy Income	C	Dividend	J	T	Buy	07/06/12	J		
87.					Buy (add'l)	09/26/12	J		
88.					Buy (add'l)	12/13/12	J		
89. T. Rowe Price Emerging Markets Bond	C	Dividend	J	T	Buy	03/28/12	J		
90.					Buy (add'l)	03/30/12	J		
91.					Buy (add'l)	04/30/12	J		
92.					Buy (add'l)	05/31/12	J		
93.					Buy (add'l)	06/29/12	J		
94.					Buy (add'l)	07/31/12	J		
95.					Buy (add'l)	08/31/12	J		
96.					Buy (add'l)	09/28/12	J		
97.					Buy (add'l)	10/31/12	J		
98.					Buy (add'l)	11/30/12	J		
99.					Buy (add'l)	12/18/12	J		
100.					Buy (add'l)	12/31/12	J		
101. T. Rowe Price Real Estate	C	Dividend	J	T	Buy	07/27/12	J		
102.					Buy (add'l)	09/26/12	J		

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103.					Buy (add'l)	12/13/12	J		
104. Ford common shares	D	Dividend	J	T	Buy	12/06/12	J		
105. Toll Bros Inc common shares	C	Dividend	J	T	Buy	12/06/12	J		
106. Coach common shares	D	Dividend	J	T	Buy	12/05/12	J		
107. T. Rowe Price New Asia	A	Dividend	J	T	Buy (add'l)	12/18/12	J		
108.									
109. T. Rowe Price Emerging Markets Stock Mutual	A	Int./Div.	J	T	Buy (add'l)	12/18/12	J		
110.									
111. T. Rowe Price New Income Fund	A	Dividend	J	T	Buy (add'l)	02/21/12	J		
112.					Buy (add'l)	01/31/12	J		
113.					Buy (add'l)	02/29/12	J		
114.					Buy (add'l)	03/30/12	J		
115.					Buy (add'l)	04/30/12	J		
116.					Buy (add'l)	05/31/12	J		
117.					Buy (add'l)	06/29/12	J		
118.					Buy (add'l)	07/31/12	J		
119.					Buy (add'l)	08/31/12	J		

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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120.					Buy (add'l)	09/28/12	J		
121.					Buy (add'l)	10/31/12	J		
122.					Buy (add'l)	11/30/12	J		
123.					Buy (add'l)	12/07/12	J		
124.					Buy (add'l)	12/31/12	J		
125. T. Rowe Price Retirement Fund	A	Dividend	K	T	Buy (add'l)	01/31/12	J		
126.					Buy (add'l)	2/29/12	J		
127.					Buy (add'l)	3/30/12	J		
128.					Buy (add'l)	4/30/12	J		
129.					Buy (add'l)	5/31/12	J		
130.					Buy (add'l)	06/29/12	J		
131.					Buy (add'l)	07/31/12	J		
132.					Buy (add'l)	08/31/12	J		
133.					Buy (add'l)	09/28/12	J		
134.					Buy (add'l)	10/31/12	J		
135.					Buy (add'l)	11/30/12	J		
136.					Buy (add'l)	12/20/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	12/31/12	J		
138. Bank of America common shares	A	Dividend	J	T					
139.									
140. Home Depot common shares	A	Dividend			Sold	02/01/12	J		
141. Qualcomm common	A	Dividend			Sold	02/02/12	J		
142. McDonalds common	A	Dividend			Sold	02/03/12	J		
143. Apple common shares		None			Sold	02/08/12	J		
144. Coinstar Inc Common shares		None			Sold	02/08/12	J		
145. Avalon Bay common shares	A	Dividend			Sold	03/13/12	J		
146. Cummins Engine common shares	A	Dividend			Sold	03/15/12	J		
147. Texas Instrument common	A	Dividend			Sold	03/26/12	J		
148. Google inc common shares		None			Sold	03/28/12	J		
149. Verizon common	A	Dividend			Sold	04/26/12	J		
150. Abbott Labs common shares		None			Sold	06/14/12	J		
151. A T & T common shares	A	Dividend			Sold (part)	06/13/12	J		
152. A T & T common shares	A	Dividend			Sold	12/06/12	J		
153. Wisdom Tree Large Cap Fund	A	Dividend			Sold	06/19/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Mid America Apartments common	A	Dividend			Sold	07/06/12	J		
155. Cree Inc common shares		None			Sold	12/05/12	J		
156. American Electric Power common shares	A	Dividend			Sold	12/05/12	J		
157. Compagine Financiere Richemont AG Switz common shares		None			Sold	12/05/12	J		
158. A T & T common shares	A	Dividend			Sold	12/06/12	J		
159. Applied Materials common shares		None			Sold	12/06/12	J		
160. Exelon Corp. common shares		None			Sold	12/06/12	J		
161. USAA Precious Metals & Mineral Fund	A	Dividend			Sold	12/06/12	J		
162. Corning common shares	A	Dividend			Sold	12/06/12	J		
163. Estate 1	A	Interest	J	T					
164. Chase Bank account		None			Distributed	07/16/12	J		
165. Disney common shares	A	Dividend			Donated	08/01/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

Line 39 - IRA #2 was redemmed completely on 12.6.11. It was reported in 2011 as a partial redemption.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Theresa L. Springmann**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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