

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bloch, Alan N.	2. Court or Organization USDC/WDPA	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Suite 8370 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member, Emeritus Board of Directors	Make-A-Wish Foundation of Western Pennsylvania (non-profit corporation)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Academy of Trial Lawyers of Allegheny County	9-28-2016 to 9-30-2016	Farmington, PA	Attend the Annual Retreat	Room and meals
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____
4. _____	_____	_____	_____	_____
5. _____	_____	_____	_____	_____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Philadelphia PA Gas Works Bond (12th Series)	A	Interest	J	T					
2. Altoona PA NTS Series A Bond	B	Interest			Redeemed	10/18/16	K		
3. Scranton PA Gen. Obligation (Series B) Bond	A	Interest	J	T					
4. Philadelphia PA School District	B	Interest	L	T					
5. State Public School Building Authority	A	Interest			Redeemed	12/01/16	J		
6. State Public School Building Authority	B	Interest			Redeemed	12/01/16	K		
7. State Public School Building Authority	A	Interest			Redeemed	12/01/16	J		
8. Philadelphia PA School District	B	Interest	L	T					
9. Spring-Ford Area School District	A	Interest	J	T					
10. State Public School Building Authority	A	Interest			Redeemed	12/01/16	J		
11. PA State Higher Education Drexel University	A	Interest			Redeemed	08/22/16	J		
12. Philadelphia PA Water & Wastewater Series B Bond	B	Interest	K	T					
13. Mahanoy PA Sewer Authority Bond	A	Interest			Redeemed	04/26/16	K		
14. Mars PA School District Bond	A	Interest	J	T					
15. Erie PA Water Authority Unref. Bond	A	Interest	J	T					
16. PA State Turnpike Commission Bond	A	Interest	J	T					
17. West Mifflin PA Area School District Bond	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. Erie PA Water Authority Pref. Bond	A	Interest	K	T					
19. Reading PA School District Bond	A	Interest	K	T					
20. Coatesville PA Area School District Bond	A	Interest	J	T					
21. Reading PA School District Bond	A	Interest			Redeemed	01/15/16	J		
22. Interest-PNC Bank- Checking; Virginia Manor Shops, Pgh.	A	Interest	L	T					
23. Vanguard PA Insured Tax Free Fund	B	Dividend	L	T					
24. First Eagle FDS, Inc.	A	Dividend	J	T	Buy (add'l)	12/19/16	J		
25. --					Sold (part)	03/18/16	J		
26. --					Sold (part)	09/28/16	J		
27. Harris Assoc. (Oakmark Equity and Income Fund)	A	Dividend	J	T	Buy (add'l)	03/18/16	J		
28. --					Buy (add'l)	09/19/16	J		
29. --					Sold (part)	09/28/16	J		
30. --					Sold (part)	12/19/16	J		
31. Hotchkis & Wiley FDS	A	Dividend	J	T	Buy (add'l)	03/18/16	J		
32. --					Buy (add'l)	09/19/16	J		
33. --					Sold (part)	09/28/16	J		
34. --					Sold (part)	12/19/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. Oppenheimer Dev. Markets	A	Dividend	J	T	Buy (add'l)	03/18/16	J		
36. --					Buy (add'l)	12/19/16	J		
37. --					Sold (part)	09/19/16	J		
38. --					Sold (part)	09/28/16	J		
39. Parnassus	A	Dividend	J	T	Buy (add'l)	09/19/16	J		
40. Pimco Invt. Grade Corp. Class I	A	Dividend	J	T	Buy (add'l)	09/19/16	J		
41. --					Buy (add'l)	12/19/16	J		
42. --					Sold (part)	09/28/16	J		
43. Royce FD	A	Dividend	J	T	Buy (add'l)	03/18/16	J		
44. --					Sold (part)	09/19/16	J		
45. --					Sold (part)	09/28/16	J		
46. --					Sold (part)	12/19/16	J		
47. Sunamerica Ser. Inc. (Focused Dividend Strategy Portfolio)	A	Dividend	J	T	Buy (add'l)	09/19/16	J		
48. --					Sold (part)	09/28/16	J		
49. --					Sold (part)	12/19/16	J		
50. FPA FDS	A	Dividend	J	T	Buy (add'l)	03/18/16	J		
51. --					Sold (part)	09/28/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. --					Sold (part)	12/19/16	J		
53. Van Eck FDS (International Invs. Gold)	A	Dividend	J	T	Buy (add'l)	12/19/16	J		
54. --					Sold (part)	03/18/16	J		
55. --					Sold (part)	09/19/16	J		
56. --					Sold (part)	09/28/16	J		
57. AMG Funds (Yackman Focused Fund)	A	Dividend	J	T	Buy (add'l)	09/19/16	J		
58. --					Sold (part)	03/18/16	J		
59. --					Sold (part)	09/28/16	J		
60. Vanguard Wellesley Income Fd.	A	Dividend	J	T	Buy (add'l)	09/19/16	J		
61. --					Buy (add'l)	12/19/16	J		
62. --					Sold (part)	03/18/16	J		
63. --					Sold (part)	09/28/16	J		
64. ATT&T Inc.	A	Dividend	K	T	Buy (add'l)	02/04/16	J		
65. Chubb Ltd. (f/k/a Ace Ltd.)	A	Dividend	J	T					
66. Altria Group, Inc.	A	Dividend	K	T	Buy (add'l)	10/24/16	J		
67. --					Sold (part)	04/14/16	J	A	
68. --					Sold (part)	07/22/16	J	A	

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69. American Electric Power, Inc.	A	Dividend	J	T					
70. American Tower Corp.	A	Dividend	J	T	Sold (part)	07/05/16	J	A	
71. Anheuser-Busch Imbev.	A	Dividend	J	T	Buy (add'l)	11/10/16	J		
72. --					Buy (add'l)	11/22/16	J		
73. Apple Inc.	A	Dividend	J	T					
74. Ares Capital Corp.	A	Dividend	J	T					
75. Automatic Data Processing	A	Dividend	J	T	Buy (add'l)	09/30/16	J		
76. --					Sold (part)	07/05/16	J	A	
77. --					Sold (part)	07/28/16	J	A	
78. BCE Inc.	A	Dividend	K	T	Buy (add'l)	05/18/16	J		
79. --					Buy (add'l)	07/12/16	J		
80. BP PLC	A	Dividend	J	T	Sold (part)	02/25/16	J		
81. Bank NY/Mellon Corp.	A	Dividend	J	T	Buy (add'l)	02/12/16	J		
82. Boeing Co.		None			Sold	01/28/16	J		
83. CH Robinson Worldwide Inc.	A	Dividend	J	T	Sold (part)	04/04/16	J	A	
84. --					Sold (part)	11/14/16	J	A	
85. CVS Health Corp.	A	Dividend			Buy (add'l)	02/09/16	J		

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86. --					Buy (add'l)	09/30/16	J		
87. --					Sold (part)	11/21/16	J		
88. --					Sold	11/22/16	J		
89. Chevron Corp.	A	Dividend	K	T					
90. Costco Wholesale Corp.	A	Dividend	J	T	Buy (add'l)	09/30/16	J		
91. --					Sold (part)	07/05/16	J		
92. Coca-Cola Co.	A	Dividend	K	T	Buy (add'l)	08/03/16	J		
93. --					Buy (add'l)	09/30/16	J		
94. --					Buy (add'l)	10/13/16	J		
95. --					Buy (add'l)	10/24/16	J		
96. --					Buy (add'l)	12/24/16	J		
97. Colgate-Palmolive Co.	A	Dividend	J	T	Buy (add'l)	11/04/16	J		
98. Comcast Corp.	A	Dividend	J	T					
99. DIAGEO PLC	A	Dividend	J	T	Buy (add'l)	10/17/16	J		
100. Digital Realty Trust Inc.	A	Dividend	J	T					
101. Walt Disney Co.	A	Dividend	J	T	Buy (add'l)	03/11/16	J		
102. --					Buy (add'l)	09/30/16	J		

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103. --					Buy (add'l)	12/01/16	J		
104. Dominion Res. Inc.	A	Dividend	J	T					
105. DuPont	A	Dividend	K	T	Buy (add'l)	01/12/16	J		
106. --					Buy (add'l)	01/15/16	J		
107. --					Buy (add'l)	01/20/16	J		
108. --					Buy (add'l)	02/12/16	J		
109. --					Buy (add'l)	09/23/16	J		
110. Duke Energy Corp.	A	Dividend	J	T					
111. Ecolab Inc.	A	Dividend	J	T	Buy (add'l)	01/29/16	J		
112. --					Buy (add'l)	03/14/16	J		
113. --					Sold (part)	06/10/16	J	A	
114. Exxon Mobil Corp.	A	Dividend	K	T	Sold (part)	10/25/16	J	A	
115. --					Sold (part)	10/31/16	J	A	
116. FNF Group	A	Dividend	J	T	Buy (add'l)	02/08/16	J		
117. Fastenal Co.	A	Dividend	J	T	Buy (add'l)	10/12/16	J		
118. --					Sold (part)	04/05/16	J	A	
119. General Electric Co.	A	Dividend	J	T	Buy (add'l)	05/12/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Bloch, Alan N.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --					Buy (add'l)	07/15/16	J		
121. General Mills Co.	A	Dividend	J	T	Sold (part)	07/22/16	J	A	
122. --					Sold (part)	08/03/16	J	A	
123. GlaxoSmithKline PLC	A	Dividend	K	T	Sold (part)	07/12/16	J	A	
124. --					Sold (part)	11/17/16	J		
125. HCP Inc.		None			Sold	01/28/16	J		
126. Home Depot Inc.	A	Dividend	J	T					
127. Kraft Heinz Co.	A	Dividend	K	T	Sold (part)	04/14/16	J	A	
128. --					Sold (part)	05/18/16	J	A	
129. --					Sold (part)	07/12/16	J	A	
130. --					Sold (part)	10/13/16	J	A	
131. Intel Corp.	A	Dividend	J	T	Sold (part)	07/21/16	J		
132. International Paper Co.	A	Dividend	J	T	Buy (add'l)	02/12/16	J		
133. Iron Mountain Inc.	A	Dividend	J	T	Buy (add'l)	08/11/16	J		
134. --					Sold (part)	08/01/16	J	B	
135. Johnson & Johnson	A	Dividend	K	T	Buy (add'l)	09/30/16	J		
136. --					Sold (part)	07/05/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Bloch, Alan N.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --					Sold (part)	07/12/16	J	A	
138. --					Sold (part)	10/13/16	J	A	
139. Kimberly-Clark Corp.	A	Dividend	J	T	Buy (add'l)	09/30/16	J		
140. --					Sold (part)	04/11/16	J	A	
141. Kinder Morgan Inc.	A	Dividend	J	T					
142. Kohl's Corp.	A	Dividend	J	T					
143. Linear Technology Corp.	A	Dividend	J	T					
144. Martin Marietta	A	Dividend	J	T	Sold (part)	04/19/16	J	A	
145. Mattel Inc.	A	Dividend	J	T	Sold (part)	04/19/16	J	A	
146. McDonald's	A	Dividend	K	T	Buy (add'l)	10/13/16	J		
147. --					Buy (add'l)	10/24/16	J		
148. --					Sold (part)	02/04/16	J	A	
149. Merck & Co. Inc.	A	Dividend	K	T	Buy (add'l)	03/11/16	J		
150. --					Buy (add'l)	04/14/16	J		
151. --					Sold (part)	10/13/16	J	A	
152. MetLife Inc.	A	Dividend	J	T	Buy (add'l)	03/11/16	J		
153. --					Sold (part)	08/04/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Microsoft Corp.	A	Dividend	K	T	Buy (add'l)	01/13/16	J		
155. --					Buy (add'l)	04/22/16	J		
156. --					Buy (add'l)	05/25/16	J		
157. National Grid PLC	A	Dividend	J	T					
158. Nestle	A	Dividend	J	T					
159. Nextera Energy Inc.	A	Dividend	J	T	Buy (add'l)	09/30/16	J		
160. --					Sold (part)	07/05/16	J	A	
161. Northern Trust Corp.	A	Dividend	J	T					
162. Omega Healthcare	A	Dividend			Sold	07/12/16	J		
163. PPL Corp.	A	Dividend	J	T					
164. PPG Indus. Inc.	A	Dividend	J	T	Buy (add'l)	01/27/16	J		
165. Paychex Inc.	A	Dividend	J	T	Buy (add'l)	01/15/16	J		
166. --					Buy (add'l)	01/20/16	J		
167. Pepsico Inc.	A	Dividend	K	T	Buy (add'l)	01/27/16	J		
168. Pfizer Inc.	A	Dividend	K	T	Buy (add'l)	02/09/16	J		
169. --					Buy (add'l)	02/12/16	J		
170. --					Buy (add'l)	03/11/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Philip Morris	A	Dividend	K	T	Buy (add'l)	11/17/16	J		
172. --					Sold (part)	04/14/16	J	A	
173. Praxair Inc.	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
174. --					Buy (add'l)	01/13/16	J		
175. --					Sold (part)	02/08/16	J	A	
176. Procter & Gamble Co.	A	Dividend	K	T	Sold (part)	07/22/16	J	A	
177. Progressive Corp.	A	Dividend	J	T	Buy (add'l)	09/26/16	J		
178. Raytheon Co.	A	Dividend	J	T					
179. Rayonier Inc.	A	Dividend	J	T					
180. Realty Income Corp.	A	Dividend	J	T	Sold (part)	08/03/16	J	A	
181. Reynolds American Inc.	A	Dividend			Sold (part)	02/04/16	J	A	
182. --					Sold (part)	07/12/16	J	A	
183. --					Buy (add'l)	10/13/16	J		
184. --					Sold	10/21/16	K	B	
185. Sanofi	A	Dividend	K	T	Buy (add'l)	02/25/16	J		
186. --					Buy (add'l)	04/14/16	J		
187. --					Buy (add'l)	07/12/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. --					Buy (add'l)	07/22/16	J		
189. Schlumberger Ltd.	A	Dividend	J	T					
190. Royal Dutch Shell		None			Sold	01/08/16	J		
191. The Southern Co.	A	Dividend	K	T					
192. Spectra Energy Corp.	A	Dividend	J	T	Sold (part)	06/06/16	J	B	
193. Sysco Corp.	A	Dividend	J	T	Buy (add'l)	07/14/16	J		
194. --					Buy (add'l)	09/30/16	J		
195. Texas Instruments Inc.	A	Dividend	J	T	Buy (add'l)	02/10/16	J		
196. 3M Co.	A	Dividend	K	T	Buy (add'l)	10/25/16	J		
197. --					Sold (part)	07/26/16	J	A	
198. Time Warner Inc.	A	Dividend	J	T	Buy (add'l)	11/21/16	J		
199. --					Sold (part)	07/05/16	J	A	
200. Travelers Cos. Inc.	A	Dividend	J	T					
201. Unilever PLC	A	Dividend	J	T	Sold (part)	10/13/16	J	A	
202. Union Pacific Corp.	A	Dividend	J	T	Sold (part)	04/21/16	J	A	
203. --					Sold (part)	09/09/16	J	A	
204. UPS	A	Dividend	J	T	Sold (part)	10/25/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. United Technologies Corp.	A	Dividend			Sold	02/08/16	J		
206. United Health Group Inc.	A	Dividend	J	T	Buy (add'l)	09/23/16	J		
207. Ventas Inc.	A	Dividend	J	T	Sold (part)	10/13/16	J	A	
208. Verizon Communications	B	Dividend	K	T	Buy (add'l)	01/07/16	J		
209. --					Buy (add'l)	09/23/16	J		
210. --					Buy (add'l)	11/17/16	J		
211. --					Sold (part)	07/05/16	J	A	
212. Vodafone Group PLC	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
213. --					Buy (add'l)	05/18/16	J		
214. --					Buy (add'l)	07/12/16	J		
215. Wal-Mart Stores	A	Dividend	J	T	Buy (add'l)	09/30/16	J		
216. --					Sold (part)	04/19/16	J	A	
217. --					Sold (part)	05/11/16	J	A	
218. --					Sold (part)	07/05/16	J	A	
219. Waste Management Inc.	A	Dividend	J	T	Buy (add'l)	09/30/16	J		
220. --					Sold (part)	07/05/16	J	A	
221. WEC Energy Group Inc.	A	Dividend	J	T	Buy (add'l)	09/30/16	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. --					Buy (add'l)	11/10/16	J		
223. --					Sold (part)	07/05/16	J	A	
224. Welltower Inc.	A	Dividend	J	T					
225. Weyerhaeuser Co.	A	Dividend	K	T	Buy (add'l)	01/12/16	J		
226. --					Buy (add'l)	01/15/16	J		
227. --					Buy (add'l)	01/20/16	J		
228. --					Buy (add'l)	09/22/16	J		
229. Broadridge Financial Solutions	A	Dividend	J	T					
230. Abbvie Inc.	A	Dividend	K	T	Buy	01/07/16	J		
231. --					Buy (add'l)	02/04/16	J		
232. --					Buy (add'l)	07/12/16	J		
233. Astrazeneca PLC	A	Dividend	J	T	Buy	07/22/16	J		
234. --					Buy (add'l)	07/28/16	J		
235. --					Buy (add'l)	08/03/16	J		
236. --					Buy (add'l)	09/23/16	J		
237. --					Sold (part)	11/22/16	J		
238. Bank of America Corp.	A	Dividend	J	T	Buy	11/18/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. --					Buy (add'l)	11/30/16	J		
240. --					Buy (add'l)	12/01/16	J		
241. Blackrock Inc.	A	Dividend	J	T	Buy	11/04/16	J		
242. --					Buy (add'l)	11/10/16	J		
243. --					Buy (add'l)	12/01/16	J		
244. Consolidated Edison Inc.	A	Dividend	J	T	Buy	01/08/16	J		
245. Crown Castle Intl Corp.	A	Dividend	J	T	Buy	05/18/16	J		
246. --					Buy (add'l)	07/22/16	J		
247. --					Buy (add'l)	10/24/16	J		
248. --					Buy (add'l)	11/17/16	J		
249. Graco Inc.	A	Dividend	J	T	Buy	01/07/16	J		
250. --					Buy (add'l)	01/15/16	J		
251. IBM	A	Dividend	J	T	Buy	11/21/16	J		
252. --					Buy (add'l)	12/07/16	J		
253. --					Buy (add'l)	12/13/16	J		
254. Mondelez Intl	A	Dividend	J	T	Buy	08/31/16	J		
255. --					Buy (add'l)	09/12/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Bloch, Alan N.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. --					Buy (add'l)	12/01/16	J		
257. Novartis AG	A	Dividend	J	T	Buy	10/13/16	J		
258. --					Buy (add'l)	10/24/16	J		
259. --					Buy (add'l)	11/17/16	J		
260. Occidental Pete Corp.	A	Dividend	J	T	Buy	10/24/16	J		
261. Pentair PLC	A	Dividend	J	T	Buy	08/05/16	J		
262. --					Buy (add'l)	08/15/16	J		
263. --					Buy (add'l)	09/22/16	J		
264. Plum Creek Timber Co.		None			Buy	01/12/16	J		
265. --					Buy (add'l)	01/15/16	J		
266. --					Buy (add'l)	01/20/16	J		
267. --					Merged (with line 225)	02/22/16	J		
268. Tiffany and Co.	A	Dividend			Buy	05/13/16	J		
269.					Sold	11/22/16	J	A	
270. Total S.A. Spons. ADR	A	Dividend	J	T	Buy	10/24/16	J		
271. Wells Fargo and Co.	A	Dividend			Buy	06/15/16	J		
272. --					Buy (add'l)	06/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. --					Buy (add'l)	06/24/16	J		
274. --					Buy (add'l)	06/28/16	J		
275. --					Buy (add'l)	07/05/16	J		
276. --					Buy (add'l)	07/11/16	J		
277. --					Buy (add'l)	08/30/16	J		
278. --					Sold (part)	09/20/16	J		
279. --					Sold	09/21/16	J		
280. U.S. Bancorp	A	Dividend			Buy	09/20/16	J		
281. --					Buy (add'l)	09/21/16	J		
282. --					Sold	11/22/16	J	A	
283. Zoetis Inc.	A	Dividend	J	T	Buy	01/08/16	J		
284. --					Buy (add'l)	02/09/16	J		
285. --					Buy (add'l)	03/30/16	J		
286. Arconic Inc. PFD	B	Dividend	K	T	Buy	04/18/16	K		
287. Dominion Resources PFD	B	Dividend	K	T	Buy	09/30/16	K		
288. Ebay Inc. PFD	B	Dividend	K	T	Buy	04/18/16	K		
289. --					Buy (add'l)	04/18/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

290. Great Plains Energy PFD	B	Dividend	K	T	Buy	10/06/16	K		
291. JP Morgan PFD	B	Dividend	K	T	Buy	01/26/16	K		
292. Nextera Energy PFD	B	Dividend	K	T	Buy	09/30/16	K		
293. --					Buy (add'l)	09/30/16	J		
294. --					Buy (add'l)	09/30/16	J		
295. --					Buy (add'l)	09/30/16	J		
296. Qwest Corp. PFD	B	Dividend	K	T	Buy	01/26/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alan N. Bloch

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544