

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MACKINNON, ALEXANDER F.	2. Court or Organization U.S. DISTRICT COURT, CENTRAL DISTRICT OF CALIFORNIA	3. Date of Report 02/11/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FULL-TIME MAGISTRATE JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2015
7. Chambers or Office Address 312 NORTH SPRING STREET LOS ANGELES, CA 90012-4701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. PARTNER	KIRKLAND & ELLIS LLP
2. PARTNER	KIRKLAND & ELLIS INTERNATIONAL, LLP
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2015	KIRKLAND & ELLIS LLP - PAYOUT FOR SERVICES PREVIOUSLY PERFORMED, NO CONTROL
2. 2015	KIRKLAND & ELLIS INTERNATIONAL, LLP - PAYOUT FOR SERVICES PREVIOUSLY PERFORMED, NO CONTROL
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/2013	KIRKLAND & ELLIS LLP	\$1,837,885.00
2. 12/31/2013	KIRKLAND & ELLIS INTERNATIONAL, LLP	\$152,900.00
3. 12/31/2014	KIRKLAND & ELLIS LLP	\$2,000,669.00
4. 12/31/2014	KIRKLAND & ELLIS INTERNATIONAL, LLP	\$303,527.00
5. 06/30/2015	KIRKLAND & ELLIS LLP	\$1,565,905.00
6. 06/30/2015	KIRKLAND & ELLIS INTERNATIONAL, LLP	\$13,017.00

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. _____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____
4. _____	_____	_____	_____	_____
5. _____	_____	_____	_____	_____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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MACKINNON, ALEXANDER F.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. INVESTMENT PARTNERSHIPS (H)									
2. K&E 1995 PEF	A	Int/Div.	J	T					
3. K&E 1997 PEF	A	Int/Div.	J	T					
4. K&E 1999 PEF	B	Int/Div.	J	T					
5. K&E 2001 PEF	B	Int/Div.	J	T					
6. K&E 2003 PEF	C	Int/Div.	J	T					
7. K&E 2004 PEF	D	Int/Div.	K	T					
8. K&E 2005 PEF	E	Int/Div.	L	T					
9. K&E 2006 PEF	E	Int/Div.	L	T					
10. K&E 2007 PEF	D	Int/Div.	L	T					
11. K&E 2008 PEF	D	Int/Div.	L	T					
12. K&E 2009 PEF	C	Int/Div.	K	T					
13. K&E 2010 PEF	B	Int/Div.	K	T					
14. K&E 2012 PEF	A	Int/Div.	K	T					
15. K&E 2014 PEF	A	Int/Div.	K	T					
16. K&E 2015 PEF	A	Int/Div.	J	T					
17. K&E 1996 DIF	A	Int/Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. K&E 1998 DIF	A	Int./Div.	J	T					
19. K&E 2000 DIF	D	Int./Div.	J	T					
20. K&E 2000-B DIF	A	Int./Div.	J	T					
21. K&E 2002 DIF	C	Int./Div.	K	T					
22. K&E 2003 DIF	D	Int./Div.	K	T					
23. K&E 2004 DIF	A	Int./Div.	J	T					
24. K&E 2004-B DIF	B	Int./Div.	K	T					
25. K&E 2005 DIF	E	Int./Div.	K	T					
26. K&E 2006 DIF	D	Int./Div.	K	T					
27. K&E 2008 DIF	D	Int./Div.	K	T					
28. K&E 2011 DIF	B	Int./Div.	K	T					
29. ACCEL-KKR GROWTH	A	Int./Div.	K	T					
30. ACCEL-KKR III	E	Int./Div.	K	T					
31. ACCEL-KKR IV	A	Int./Div.	K	T					
32. APAX VIII-B	A	Int./Div.	J	T					
33. BAIN CAPITAL ASIA II	A	Int./Div.	K	T					
34. CARLYLE ASIA PARTNERS IV	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. CARLYLE VI	A	Int./Div.	J	T					
36. EDGEWATER CAPITAL PARTNERS II	A	Int./Div.	J	T					
37. FORTRESS REAL ESTATE	B	Int./Div.	K	T					
38. GEM REALTY FUND IV	D	Int./Div.	K	T					
39. IRVING PLACE III	D	Int./Div.	K	T					
40. IRVING PLACE III (DORAL B)	A	Int./Div.	J	T					
41. OCM EUROPEAN PRINCIPAL FUND III	A	Int./Div.	K	T					
42. OCM OPPORTUNITIES IX (DISTRESSED)	C	Int./Div.	K	T					
43. OCM OPPORTUNITIES VIIIB (DISTRESSED)	B	Int./Div.	J	T					
44. OCM OPPORTUNITIES VIII (DISTRESSED)	A	Int./Div.	K	T					
45. OCM OPPORTUNITIES VIIIB (DISTRESSED)	A	Int./Div.	K	T					
46. OCM POWER OPPORTUNITIES III	D	Int./Div.	K	T					
47. OCM PRINCIPAL OPPORTUNITIES IV (LBO)	C	Int./Div.	K	T					
48. OCM PRINCIPAL V (LBO)	A	Int./Div.	K	T					
49. SANKATY CREDIT OPPORTUNITIES III	A	Int./Div.	J	T					
50. SANKATY CREDIT OPPORTUNITIES IV	B	Int./Div.	J	T					
51. SILVER OAK SERVICES PARTNERS II	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. SUN VI	A	Int./Div.	J	T					
53. VESTAR VI	A	Int./Div.	K	T					
54. WALTON STREET REAL ESTATE FUND VII	A	Int./Div.	K	T					
55. Warburg Pincus XI	B	Int./Div.	K	T					
56.									
57. MORGAN STANLEY 1 TRADITIONAL IRA (H)									
58. MORGAN STANLEY BANK N.A.	A	Interest	J	T					
59. VANGUARD 500 INDX ADMIRAL (VFIAX)	D	Dividend	N	T					
60. VANGUARD BAL INDEX ADM (VBIAX)	B	Dividend	L	T					
61. VANGUARD DIVIDEND GRW INV (VDIGX)	B	Dividend	L	T					
62. VANGUARD EURO STK INDX ADM (VEUSX)	B	Dividend	L	T					
63. VANGUARD GLOBAL EQUITY INV (VHGEX)	B	Dividend	L	T					
64. VANGUARD MID CAP INDEX ADM (VIMAX)	B	Dividend	L	T					
65.									
66. MORGAN STANLEY 1 TRADITIONAL IRA 2 (H)									
67. MORGAN STANLEY BANK N.A.	A	Interest	J	T					
68. ISHARES MSCI EAFE ETF (EFA)	D	Dividend	M	T					

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69. ISHARES MSCI EMERGING MKTS ETF (EEM)	B	Dividend	L	T					
70. ISHARES N AMER NAT RES ETF (IGE)	A	Dividend	K	T					
71. ISHARES RUSSELL 1000 GRW ETF (IWF)	C	Dividend	M	T					
72. ISHARES RUSSELL 1000 VALUE ETF (IWD)	D	Dividend	M	T					
73. ISHARES RUSSELL 2000 GRWTH ETF (IWO)	A	Dividend	K	T					
74. ISHARES RUSSELL 2000 VALUE ETF (IWN)	A	Dividend	K	T					
75. ISHARES RUSSELL MIDCAP G ETF (IWP)	A	Dividend	K	T					
76. ISHARES RUSSELL MIDCAP V ETF (IWS)	A	Dividend	K	T					
77. GOLDMAN SACHS ABSLT RET TRCK I (GJRTX)	A	Dividend	L	T					
78. GUGGENHEIM MNGD FUTRS STRAT P (RYMFX)	A	Dividend	L	T					
79. JOHN HANCOCK SEAPORT 1 (JSFDX)	A	Dividend	K	T					
80.									
81. MORGAN STANLEY 1 TRUST ACCOUNT (H)									
82. MORGAN STANLEY BANK N.A.	A	Interest	K	T					
83. WESTTOWN TWP PA GENL OBLIG	B	Interest	M	T					
84. ROCK CNTY WIS GENL OBLIG ROCK HAVEN PROJ SER-B	C	Interest	M	T					
85. TOMBALL TEX CTFS OBLIG	C	Interest	M	T					

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86. CALIFORNIA ST PUB WKS BRD LEASE REV	D	Interest	M	T					
87. CLOVIS CALIF WTR REV REF-1	D	Interest	M	T					
88. FREMONT CALIF CTFS PARTN REV	C	Interest	L	T					
89. PLEASANT HILL CALIF REC & PK DIST GENL OBLIG-B	C	Interest	L	T					
90. SALIDA CALIF AREA PUB FACS FIN G AGY CMNTY FACS DIST SPL TAX	D	Interest	M	T					
91. ANTIOCH UNIFIED SCHOOL DIST GENL OBLIG SER-B	B	Interest	L	T					
92. SACRAMENTO CALIF CITY UNI SCH DIST REF	D	Interest	M	T					
93. ALVORD CALIF UNI SCH DIST SER-A	B	Interest	K	T					
94. SAN JOSE CALIF ARPT REV REF-B	B	Interest	L	T					
95. LINCOLN CALIF UNI SCH DIST SAN JOAQUIN SER-C	B	Interest	L	T					
96. SACRAMENTO CALIF MUN UTIL DIST FING AUTH REV REF	B	Interest	L	T					
97. LAYTONVILLE UNI SCH DIST CALI SER-A	B	Interest	K	T					
98. MARYLAND ST HEALTH & HIGHER EDL FACS AUTH REV-C	D	Interest	M	T					
99. NORTHERN CALIFORNIA POWER AGENCY HYDROELEC PJ-1 REV REF-A	B	Interest	L	T					
100. SANTA CLARA CALIF UNI SCH DIS OID	C	Interest	M	T					
101. SAN FRANCISCO CALIF CITY & CNT OID	B	Interest	K	T					
102.									

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103. MORGAN STANLEY 2 PERSONAL ACCOUNT (H)									
104. MS AAA CALIF TAX FR TRUST	A	Interest	K	T					
105. ABBOTT LABORATORIES (ABT)	A	Dividend	K	T					
106. ABBVIE INC COM (ABBV)	A	Dividend	K	T					
107. FRONTIER COMMUNICATIONS CORP (FTR)	B	Dividend	K	T					
108. AMERICAN INV CO OF AMER A (AIVSX)	A	Dividend	J	T					
109. TEMPLETON FOREIGN FUND C (TEFTX)	A	Dividend	J	T					
110.									
111. MORGAN STANLEY 2 TRADITIONAL IRA (H)									
112. MORGAN STANLEY BANK N.A.	A	Interest	J	T					
113. AMERICAN AMCAP A (AMCPX)	A	Dividend	K	T					
114. AMERICAN EUROPACIFIC GRW F1 (AEGFX)	A	Dividend	K	T					
115. DEUTSCHE GLB INFRASTRUCTURE C (TOLCX)	A	Dividend	K	T					
116. DEUTSCHE UNCONSTRAINED INC A (KSTAX)	A	Dividend	J	T					
117. TEMPLETON FOREIGN FUND C (TEFTX)	A	Dividend	K	T					
118. VOYA GBL VALUE ADVANTAGE C (NAWCX)	B	Dividend	K	T					
119.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

MACKINNON, ALEXANDER F.

Date of Report

02/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. MORGAN STANLEY 2 TRADITIONAL IRA 2 (H)									
121. MORGAN STANLEY BANK N.A.	A	Interest	J	T					
122. DEUTSCHE X-TRACKERS MSCI EAF (DBEF)	B	Dividend	K	T					
123. ISHARES CORE MSCI EAFE ETF (IEFA)	B	Dividend	K	T					
124. ISHARES CORE MSCI EMERGING (IEMG)	A	Dividend	K	T					
125. ISHARES IBOXX \$ H/Y COR BD ETF (HYG)	A	Dividend	K	T					
126. ISHARES RUSSELL 1000 GRW ETF (IWF)	A	Dividend	L	T					
127. ISHARES RUSSELL 1000 VALUE ETF (IWD)	B	Dividend	L	T					
128. ISHARES RUSSELL 2000 GRWTH ETF (IWO)	A	Dividend	J	T					
129. ISHARES RUSSELL 2000 VALUE ETF (IWN)	A	Dividend	J	T					
130. ISHARES RUSSELL MIDCAP G ETF (IWP)	A	Dividend	J	T					
131. ISHARES RUSSELL MIDCAP V ETF (IWS)	A	Dividend	J	T					
132. ISHARES TIPS BOND ETF (TIP)	A	Dividend	J	T					
133. VANGUARD SHORT TERM BND (BSV)	A	Dividend	K	T					
134. VANGUARD TOTAL BOND MARKET (BND)	A	Dividend	J	T					
135. VANGUARD TOTAL INTL BOND ETF (BNDX)	A	Dividend	J	T					
136.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

MACKINNON, ALEXANDER F.

Date of Report

02/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. MORGAN STANLEY 2 TRUST ACCOUNT (H)									
138. MORGAN STANLEY BANK N.A.	A	Interest	K	T					
139. ABBOTT LABORATORIES (ABT)	C	Dividend	L	T					
140. ABBVIE INC COM (ABBV)	D	Dividend	M	T					
141. AVERY DENNISON CORPORATION (AVY)	A	Dividend	J	T					
142. BERKSHIRE HATHAWAY CL-B NEW (BRK'B)	A	Dividend	L	T					
143. BOEING CO (BA)	B	Dividend	K	T					
144. CHEVRON CORP (CVX)	C	Dividend	K	T					
145. COCA COLA CO (KO)	A	Dividend	K	T					
146. EDISON INTERNATIONAL (EIX)	A	Dividend	K	T					
147. FRONTIER COMMUNICATIONS CORP (FTR)	B	Dividend	K	T					
148. MICROSOFT CORP (MSFT)	B	Dividend	K	T					
149. NEXTERA ENERGY INC COM (NEE)	C	Dividend	L	T					
150. PRUDENTIAL PLC ADR (PUK)	A	Dividend	J	T					
151. STAPLES INC (SPLS)	A	Dividend	J	T					
152. TELEFONICA SA ADR (TEF)	A	Dividend	J	T					
153. WALT DISNEY CO HLDG CO (DIS)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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MACKINNON, ALEXANDER F.

Date of Report

02/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. WEC ENERGY GROUP INC COM (WEC)	A	Dividend	K	T					
155. SPDR TRUST SERIES 1 (SPY)	A	Dividend	K	T					
156. SPECIAL OPPORTUNITIES FD INC (SPE)	C	Dividend	K	T					
157. WISDOM TREE TOT DIV (DTD)	B	Dividend	L	T					
158. SACRAMENTO CA CITY FING AUTH IMPT REV 911 CTR OTHER PJS	B	Interest	L	T					
159. AMERICAN AMCAP A (AMCPX)	D	Dividend	M	T					
160. AMERICAN CAP WRLD GR & INC A (CWGIX)	D	Dividend	M	T					
161. AMERICAN EUROPACIFIC GRW A (AEPGX)	C	Dividend	M	T					
162. AMERICAN INV CO OF AMER A (AIVSX)	E	Dividend	M	T					
163. FIDELITY ADV STK SELECT MDCP C (FMCEX)	A	Dividend	L	T					
164. FRANKLIN CA INSURED TX FR A (FRCIX)	B	Dividend	L	T					
165. LORD ABBETT MID CAP STOCK C (LMCCX)	A	Dividend	L	T					
166. OPPENHEIMER DEVELOPING MKTS A (ODMAX)	C	Dividend	M	T					
167. OPPENHEIMER MAIN ST SELECT A (OMSOX)	B	Dividend	M	T					
168. OPPENHEIMER MID CAP VALUE A (QVSCX)	A	Dividend	M	T					
169.									
170. MORGAN STANLEY 2 TRUST ACCOUNT 2 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

MACKINNON, ALEXANDER F.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. MORGAN STANLEY BANK N.A.	A	Interest	K	T					
172. ISHARES MSCI EAFE SM CAP ETF (SCZ)	C	Dividend	L	T					
173. SPDR TRUST SERIES 1 (SPY)	E	Dividend	N	T					
174. VANGUARD EXTENDED MKT ETF (VXF)	C	Dividend	L	T					
175. VANGUARD FTSE DEVELOPED MKTS E (VEA)	D	Dividend	M	T					
176. VANGUARD FTSE EMERGING MARKETS (VWO)	C	Dividend	L	T					
177. VANGUARD MID-CAP ETF INDEX (VO)	D	Dividend	M	T					
178. AMERICAN TAX-EXEMPT BD FD F2 (TEAFX)	D	Dividend	M	T					
179. CENTER COAST MLP FOCUS 1 (CCCNX)	E	Dividend	M	T					
180. PRINCIPAL PREFERRED SEC INST (PPSIX)	E	Dividend	N	T					
181.									
182. MORGAN STANLEY 3 PERSONAL ACCOUNT (H)									
183. CASH	A	Interest	J	T					
184. MS AAA CALIF TAX FR TRUST	A	Interest	M	T					
185. ABBOTT LABORATORIES (ABT)	C	Dividend	L	T					
186. ABBVIE INC COM (ABBV)	D	Dividend	M	T					
187. ALCATEL-LUCENT ADS (ALU)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

MACKINNON, ALEXANDER F.

Date of Report

02/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. ALCOA INC (AA)	A	Dividend	J	T					
189. AT&T INC (T)	A	Dividend	J	T					
190. BANK OF NEW YORK MELLON CORP (BK)	A	Dividend	K	T					
191. CISCO SYS INC (CSCO)	B	Dividend	K	T					
192. COMCAST CORP (NEW) CLASS A (CMCSA)	A	Dividend	J	T					
193. CONOCOPHILLIPS (COP)	A	Dividend	J	T					
194. EXXON MOBIL CORP (XOM)	B	Dividend	K	T					
195. HONEYWELL INTERNATIONAL INC (HON)	B	Dividend	K	T					
196. INTEL CORP (INTC)	B	Dividend	K	T					
197. NCR CORPORATION (NCR)	A	Dividend	J	T					
198. PHILLIPS 66 COM (PSX)	A	Dividend	J	T					
199. SCHLUMBERGER LTD (SLB)	B	Dividend	K	T					
200. SOVRAN SELF STORAGE INC (SSS)	B	Dividend	K	T					
201. SUN LIFE FINL SVCS CDA INC (SLF)	B	Dividend	K	T					
202. TECO ENERGY (TE)	A	Dividend	J	T					
203. TERADATA CORP (TDC)	A	Dividend	J	T					
204. TEXAS INSTRUMENTS (TXN)	C	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

MACKINNON, ALEXANDER F.

Date of Report

02/11/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. TRANSOCEAN LTD (RIG)	A	Dividend	J	T					
206. TWENTY-FIRST CENTURY FOX CL A (FOXA)	A	Dividend	J	T					
207. VERIZON COMMUNICATIONS (VZ)	A	Dividend	J	T					
208. VODAFONE GROUP PLC (VOD)	A	Dividend	J	T					
209. WALGREENS BOOTS ALLIANCE INC (WBA)	C	Dividend	M	T					
210. WALT DISNEY CO HLDG CO (DIS)	A	Dividend	K	T					
211. WILLIAMS CO INC (WMB)	C	Dividend	K	T					
212. WPX ENERGY INC (WPX)	A	Dividend	J	T					
213. INVESCO VAN KAMPEN CALIF VALUE (VCV)	C	Dividend	K	T					
214. INVESCO BAL RISK COMM STRAT A (BRCAX)	A	Dividend	J	T					
215. INVESCO GLOBAL CORE EQUITY A (AWSAX)	B	Dividend	J	T					
216. MSIF GROWTH A (MSEGX)	C	Dividend	M	T					
217. MSIF TR MID CAP GROWTH A (MACGX)	C	Dividend	K	T					
218.									
219. MORGAN STANLEY 3 TRADITIONAL IRA (H)									
220. MORGAN STANLEY BANK N.A.	A	Interest	J	T					
221. WALT DISNEY CO HLDG CO (DIS)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

MACKINNON, ALEXANDER F.

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02/11/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. ISHARES MSCI EAFE ETF (EFA)	A	Dividend	K	T					
223. DAVIS NEW YORK VENTURE C (NYVCX)	A	Dividend	K	T					
224. MS U.S. GOVERNMENT SEC TR I (USGDX)	A	Dividend	K	T					
225.									
226. WILMINGTON TRUST (H)									
227. AMGEN INC COM	A	Dividend	J	T					
228. ANALOG DEVICES INC	A	Dividend	J	T					
229. APPLE INC	A	Dividend	K	T					
230. BIOGEN, INC	A	Dividend	J	T					
231. CISCO SYSTEMS INC	A	Dividend	J	T					
232. CITIGROUP INC	A	Dividend	J	T					
233. DELTA AIR LINES INC	A	Dividend	J	T					
234. WALT DISNEY CO	A	Dividend	K	T					
235. EXXON MOBIL CORP	A	Dividend	J	T					
236. FEDEX CORP COM	A	Dividend	J	T					
237. GILEAD SCIENCES INC COM	A	Dividend	J	T					
238. ISHARES CORE S&P MID-CAP ETF	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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MACKINNON, ALEXANDER F.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. ISHARES GLOBAL ENERGY ETF	A	Dividend	K	T					
240. ISHARES MSCI EAFE SMALL-CAP ETF	A	Dividend	K	T					
241. ISHARES RUSSELL 2000 VALUE ETF	B	Dividend	L	T					
242. ISHARES S&P 500 GROWTH ETF	C	Dividend	N	T					
243. JPMORGAN CHASE & CO	A	Dividend	K	T					
244. MERCK & COMPANY INC	A	Dividend	J	T					
245. ORACLE CORPORATION COM	A	Dividend	J	T					
246. PNC FINANCIAL SERVICES GROUP INC	A	Dividend	J	T					
247. REGENERON PHARMACEUTICALS INC	A	Dividend	J	T					
248. STARBUCKS CORP COM	A	Dividend	J	T					
249. UNITED TECHNOLOGIES CORP COM	A	Dividend	J	T					
250. VANGUARD FINANCIALS ETF	B	Dividend	L	T					
251. VANGUARD HEALTH CARE ETF	B	Dividend	L	T					
252. WELLS FARGO & CO	A	Dividend	J	T					
253. WILMINGTON LARGE-CAP STRATEGY FUND UNSTITUTIONAL SHARES	E	Dividend	L	T					
254. WILMINGTON MULTI-MANAGER INTERNATIONAL FUND INSTITUTIONAL SHARES	D	Dividend	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

MACKINNON, ALEXANDER F.

Date of Report

02/11/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

255. NUVEEN SHORT DURATION HIGH YIELD MUNICIPAL BOND FUND CLASS I	C	Int./Div.	L	T					
256. RIDGEWORTH SEIX FLOATING RATE HIGH INCOME FUND I	C	Dividend	L	T					
257. T ROWE PRICE TAX-FREE SHORT-INTERMEDIATE FUND	B	Dividend	M	T					
258. WILMINGTON MUNICIPAL BOND FUND INSTITUTIONAL SHARES	B	Int./Div.	M	T					
259. COLORADO STATE DEPT OF TRANSPRTN TRANSPORTATION REVENUE	B	Dividend	K	T					
260. UPPER SANTA CLARA VY CALIFORNIA POWER REVENUE	B	Dividend	K	T					
261. SONOMA CNTY CALIFORNIA JNR CLG DIST HIGHER EDUCATION	B	Dividend	K	T					
262. WASHINGTON STATE ECON DEV REVENUE BONDS	B	Dividend	K	T					
263. LEWISTON MAINE GENERAL OBLIGATION	A	Dividend	K	T					
264. CHELMSFORD MASSACHUSETTS GENERAL OBLIGATION	A	Dividend	K	T					
265. SAN FRANCISCO CITY & CNTY CALIFORNIA GENERAL OBLIGATION	B	Dividend	K	T					
266. NEWBURYPORT MASSACHUSETTS GENERAL OBLIGATION	A	Dividend	K	T					
267. OKLAHOMA STATE CAPITAL IMPT AUTHS GENERAL OBLIGATION	A	Dividend	K	T					
268. TRI-CNTY OREGON METRO TRANSPRTN DIST GENERAL OBLIGATION	A	Dividend	K	T					
269. SAN ANTONIO TEXAS ELEC & GAS UTILITIES REVENUE	B	Dividend	K	T					
270. SAN FRANCISCO CALIFORNIA CITY & CNTY REVENUE BONDS	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

MACKINNON, ALEXANDER F.

Date of Report

02/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

271. NORTH LAKE TAHOE CALIFORNIA REVENUE BOND	B	Dividend	K	T					
272. EAST BAY CALIFORNIA UTILITY WATER REVENUE	B	Dividend	K	T					
273. ISHARES COHEN & STEERS REIT ETF	B	Dividend	L	T					
274. WILMINGTON MULTI-MANAGER REAL ASSET FUND INSTITUTIONAL SHARES	D	Dividend	M	T					
275. ABSOLUTE STRATEGIES FUND INSTITUTIONAL CLASS	A	Dividend	M	T					
276. BLACKSTONE ALTERNATIVE MULTI-STRATEGY FUND CLASS INSTITUTIONAL	A	Dividend	N	T					
277. GATEWAY FUND CLASS Y	C	Dividend	M	T					
278. WILMINGTON PRIME MONEY MARKET FUND CLASS SELECT	A	Dividend	K	T					
279. WILMINGTON TRUST BANK DEPOSIT SWEEP	A	Dividend	M	T					
280. WILMINGTON PRIME MONEY MARKET FUND CLASS SELECT	A	Interest	J	T					
281.									
282. BANK OF AMERICA, N.A. WEALTH MGMT BOFA INTEREST CHECKING	A	Interest	O	T					
283. BANK OF AMERICA, N.A. WEALTH MANAGEMENT MMS MONEY MARKET SAVINGS 1	B	Interest	O	T					
284. BANK OF AMERICA, N.A. WEALTH MANAGEMENT MMS MONEY MARKET SAVINGS 2	B	Interest	O	T					
285. BANK OF AMERICA, N.A. WEALTH MANAGEMENT MMS MONEY MARKET SAVINGS 3	B	Interest	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

MACKINNON, ALEXANDER F.

Date of Report

02/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
286. BANK OF AMERICA, N.A. CHECKING ACCOUNT	A	Interest	K	T					
287. BANK OF AMERICA, N.A. SAVINGS ACCOUNT	A	Interest	K	T					
288. UNION BANK PRIVATE ADVANTAGE	A	Interest	J	T					
289. UNION BANK HIGH RATE MONEYMARKET	A	Interest	N	T					
290. WELLS FARGO HIGH YIELD SAVINGS	A	Interest	N	T					
291. WELLS FARGO PMA PRIME CHECKING ACCOUNT	A	Interest	K	T					
292. CHASE PRIVATE CLIENT	A	Interest	M	T					
293. CITIBANK PRIVATE CLIENT INTEREST CHECKING	A	Interest	P1	T					
294. CITIBANK PRIVATE CLIENT CITIBANK SAVINGS PLUS	A	Interest	M	T					
295. CITIBANK PROVATE BANK CITIBANK SAVINGS PLUS	A	Interest	N	T					
296. CITIBANK PRIVATE BANK 6 MONTH CD	A	Interest	N	T					
297. EDISON INTERNATIONAL	A	Dividend	J	T					
298. WISCONSIN ENERGY CORPORATION	A	Dividend	J	T					
299. NEXTERA ENERGY, INC	A	Dividend	K	T					
300.									
301. FIDELITY INVESTMENTS (H)									
302. FIDELITY INVESTMENTS	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

MACKINNON, ALEXANDER F.

Date of Report

02/11/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
303. FIDELITY INT'L VALUE	A	Int./Div.	J	T					
304. FIDELITY INTL SMALL CAP OPP FUND	A	Int./Div.	J	T					
305. FIDELITY EQUITY DIVIDEND INCOME FUND	A	Int./Div.	K	T					
306. FIDELITY PURITAN	A	Int./Div.	K	T					
307.									
308. OPPENHEIMER & CO INC (H)									
309. OPPENHEIMER & CO INC ADVANTAGE BANK DEPOSITS	A	Interest	J	T					
310. AMERICAN ELEC PWR INC	A	Dividend	J	T					
311. FIRST INDUSTRIAL REALTY TRUST REIT	A	Dividend	J	T					
312. JPMORGAN CHASE & CO COM	B	Dividend	K	T					
313. MEDICAL PPTYS TRUST INC REIT	A	Dividend	J	T					
314. SOUTHERN CO	B	Dividend	K	T					
315. MERRILL LYNCH CAP TR III	A	Dividend	J	T					
316. OPPENHEIMER GLOBAL STRATEGIC INCOME FD CLA	A	Dividend	J	T					
317. PUTNAM HIGH YIELD TRUST SBI CLA	A	Dividend	J	T					
318. ALLIANZGI EQUITY & CONV INCOME	A	Dividend	J	T					
319. BABSON CAP CORPORATE INVS	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

MACKINNON, ALEXANDER F.

Date of Report

02/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
320. CBRE CLARION GLOBAL REAL ESTAT	A	Dividend	J	T					
321. GLADSTONE CAPITAL CORP	A	Dividend	J	T					
322. GLADSTONE INVT CORP	A	Dividend	J	T					
323. PROSPECT CAPITAL COPORATION	A	Dividend	J	T					
324. VOYA ASIA PAC DIVID EQUITY IN	A	Dividend	J	T					
325. VOYA GLBL EQTY DIV & PREM OPP	A	Dividend	J	T					
326. MICHIGAN ST HOSP FIN AUTH REV MI AMBAC 5.25% DUE 05/15/26 B/E REV OID	A	Interest	J	T					
327.									
328. ASSOCIATED BANK N.A. INDIVIDUAL HSA W	A	Interest	J	T					
329.									
330. NORTHWESTERN MUTUAL WHOLE LIFE INSURANCE 1	B	Dividend	K	T					
331. NORTHWESTERN MUTUAL WHOLE LIFE INSURANCE 2	A	Dividend	K	T					
332. NORTHWESTERN MUTUAL WHOLE LIFE INSURANCE 3	B	Dividend	L	T					
333. NORTHWESTERN MUTUAL WHOLE LIFE INSURANCE 4	A	Dividend	K	T					
334. NORTHWESTERN MUTUAL WHOLE LIFE INSURANCE 5	A	Dividend	J	T					
335.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

MACKINNON, ALEXANDER F.

Date of Report

02/11/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

MACKINNON, ALEXANDER F.

Date of Report

02/11/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ ALEXANDER F. MACKINNON**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544