

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Netburn, Sarah	2. Court or Organization U.S. District Court, Southern District of New York	3. Date of Report 08/10/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 40 Foley Square Room 430 New York, New York 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Cuti Hecker Wang -- salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RMA ACCOUNT #1 (H)									
2. Alps ETF	A	Dividend			Sold	12/14/15	J		
3. iShares DJ Select Divid Index Fund	A	Dividend	J	T					
4. iShares Floating Rate bond Fund ETF	A	Dividend	J	T					
5. iShares NASDAQ Biotechnology Index Fund	A	Dividend	J	T	Sold (part)	12/16/15	J		
6. iShares US Real Estate	A	Dividend	J	T					
7. iShares JP Morgan USD Emerging Markets Bond ETF	A	Dividend	J	T					
8. Pimco 1-5 Yr US Tips Index ETF	A	Interest	J	T					
9. Vanguard Index Funds Vanguard Small Cap ETF	A	Dividend	J	T	Buy (add'l)	01/05/15	J		
10.					Sold (part)	12/16/15	J		
11. iShares Barclays 1-3 Yr Credit Bond Fund	A	Interest	J	T					
12. iShares Barclays 1-3 Yr Treas. Bond FD	A	Dividend	K	T	Buy (add'l)	12/11/15	J		
13. iShares Barclays TIPS Bond Fund	A	Interest	J	T					
14. iShares IBOXX High Yield Corp Bond	A	Interest	J	T	Buy (add'l)	12/11/15	J		
15. iShares S&P 500 Value	A	Dividend	J	T	Buy (add'l)	05/16/15	J		
16.					Sold (part)	12/16/15	J		
17. iShares Trust S&P 500 Growth Index Fund DE	A	Dividend	J	T	Buy (add'l)	07/27/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$15,001 - \$50,000 E = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$50,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$250,001 - \$500,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18.					Sold (part)	12/16/15	J		
19. iShares S&P 100 Index Fund DE	A	Interest	J	T					
20. iShares TR FLTG Rate NT ETF	A	Dividend	J	T					
21. iShares MSCI Japan ETF	A	Dividend	J	T	Buy	12/11/15	J		
22. JPM Chase Capital XXVII 7.200% Due 12/22/39 Callable	A	Interest	J	T					
23. Powershares Exchange Traded FD TR FINL PFD Portfolio	A	Dividend	J	T					
24. Vanguard Short Term Corporate BD ETF	A	Interest	J	T	Buy (add'l)	03/31/15	J		
25.					Buy (add'l)	12/11/15	J		
26. Powershares ETF Trust II n/k/a Powershares Senior Loan (BLKN)	A	Dividend	J	T					
27. SPDR Barclays Capital High Yield ETF	A	Dividend	J	T					
28. SPDR Barclays Intl Treas Bond ETF	A	Dividend	J	T					
29. SPDR Barclays S/T IRT Treas Bond ETF	A	Dividend	J	T					
30. Powershares DB Commodity Index Tracking Fund	A	Dividend	J	T					
31. Powershares DB Multi Sector Commodity TR DB Agriculture Fund	A	Dividend	J	T					
32. SPDR S&P Emerging Markets ETF DE	A	Dividend	J	T					
33. SPDR SER TR Barclays Cap Conv ETF	A	Dividend	J	T					
34. Vanguard Index Funds MFD INC FSTE ALL	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Vanguard Index Funds Mid Cap	A	Dividend	J	T					
36. Vanguard Intel Equity Index FD INC FSTE ALL-WORLD EX-U	A	Dividend	K	T	Buy (add'l)	01/05/15	J		
37. Vanguard Info Tech	A	Dividend	J	T					
38. Vanguard FTSE Europe ETF	A	Dividend	K	T	Buy	01/21/15	J		
39.					Buy (add'l)	03/31/15	J		
40. Vanguard FTSE Emerging Markets	A	Dividend	K	T	Buy	07/27/15	K		
41. iShares US Treas Bond Fund ETF		None	J	T	Buy	12/11/15	J		
42. Vanguard Charlotte FDS Intl BD Index Fd ETF		None	J	T	Buy	12/11/15	J		
43. iShares BOXX Invst Grade Corp Bond ETF		None	J	T	Buy	12/16/15	J		
44.									
45. Columbia Aggressive Growth NY 529 Portfolio Class A	D	Int./Div.	M	T					
46.									
47. IRA #1 Rollover (H)									
48. - UBS Pace Money Market Investment Fund ClassP	A	Dividend	J	T					
49. - Calamos Convertible Fund Class A	A	Dividend	J	T					
50. - DWS Dreman Small Cap Value Fund Class A	A	Dividend	J	T					
51. - Putnam Voyager Fund Inc. C1 A	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - Olstein All Cap Value Fd Adviser	B	Dividend	K	T	Buy (add'l)	01/05/15	K		
53. - Oppenheimer Developing Markets Fund CL A	A	Dividend	J	T					
54. - Oppenheimer Equity Income Fund Inc. Cl A	A	Dividend	J	T					
55. - Calvert Short Duration Income Fund Class A	A	Dividend	J	T					
56. -JP Morgan Strategic (JSOAX)	A	Dividend	J	T					
57. - JP Morgan Strat (ISOSX)	A	Dividend	J	T					
58. - Lord Abett Short (LDLFX)	A	Dividend	J	T					
59. - 13D Activist Fund	A	Dividend	J	T					
60. - Tweedy Brown Global (TBGVX)		None	J	T					
61. - Lord Abett Short (LALDX)	A	Dividend	J	T					
62. - Franklin Small Cap Growth (MFSKS)	A	Dividend	J	T					
63. - Aberdeen Divers AL	A	Dividend	J	T					
64. - Prudential Short Dur	A	Dividend	J	T					
65. - Franklin Mutual European Fund	A	Dividend	J	T	Buy	01/21/15	J		
66. - Ivy Emerging Markets Equity Fd	A	Dividend	J	T	Buy	07/27/15	J		
67. - JP Morgan Large Cap Growth Fd Select	A	Dividend	K	T	Buy	03/31/15	K		
68. - Oakmark Intl Fund	A	Dividend	J	T	Buy	01/05/15	J		

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69. - Scout Mid Cap Fund	B	Dividend	K	T	Buy	01/05/15	K		
70. - Blackrock High Yield Bond		None	J	T	Buy	12/11/15	J		
71. - Eaton Vance Floating Rate Advantage	A	Dividend	J	T	Buy	12/11/15	J		
72. - Loomis Sayles LTD Term Govt and Agency Fd	A	Dividend	J	T	Buy	03/31/15	J		
73.					Buy (add'l)	12/11/15	J		
74. - Putnam Diversified Income Fd	A	Dividend	J	T	Buy	07/27/15	J		
75.					Buy (add'l)	12/11/15	J		
76. - Victory Incore Fund for Income	A	Dividend	J	T	Buy	03/31/15	J		
77.					Buy (add'l)	12/11/15	J		
78.									
79. UBS Bank USA Deposit Account	A	Interest	J	T					
80. UBS Select Prime Investor Fund	A	Interest	M	T					
81. RMA Money Mkt Portfolio		None	J	T					
82.									
83. IRA # 2 (H)									
84. - UBS Bank USA Deposit Account	A	Interest	J	T					
85. - Eaton Vance Richard Bernstein Equity	A	Dividend	J	T					

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86.									
87. IRA #3 ETF4 (H)									
88. - Alps ETF		None			Sold	12/14/15	J		
89. - iShares S&P 500 Value ETF		None	J	T					
90. - iShares S&P 500 Growth	A	Dividend	J	T	Buy (add'l)	07/27/15	J		
91.					Buy (add'l)	12/11/15	J		
92. - First Trust ISE-REV Nat Gas (Y)									
93. - JP MorganUSD EMB (Y)									
94. - SPDR S&P Emerging Markets ETF DE	A	Dividend	K	T					
95. - Vanguard Intl Equity Index FD Inc FSTE ALL	A	Dividend	J	T	Buy (add'l)	12/11/15	J		
96. - Vanguard Index Funds Vanguard Mid Cap ETF DE	A	Dividend	J	T	Sold (part)	12/16/15	J		
97. - Vanguard Index Funds Vanguard Small Cap ETF DE Sol	A	Dividend	J	T	Sold (part)	12/16/15	J		
98. - iShares US Real Estate ETF	A	Dividend			Sold	12/16/15	J		
99. - Powershares DB Multi Sector Commodity TR DB Agriculture Fund		None	J	T					
100. - Vanguard Short Term Corporate BD ETF DE	A	Dividend	J	T	Buy (add'l)	03/31/15	J		
101. - Vanguard Ftse All World	A	Dividend	J	T					
102. - iShares 1-3 Treas Bond	A	Dividend	J	T	Buy (add'l)	03/31/15	J		

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103.					Buy (add'l)	07/27/15	J		
104. - Powershares Senior Loan (BKLN)	A	Dividend	J	T	Sold (part)	12/16/15	J		
105. - iShares MSCI Japan ETF	A	Dividend	J	T	Buy	12/11/15	J		
106. - iShares S&P 500 Value ETF	A	Dividend	J	T	Buy	07/27/15	J		
107. - Vanguard FTSE Emerging Markets ETF	A	Dividend	J	T	Buy	07/27/15	J		
108. -Vanguard FTSE Europe ETF	A	Dividend	J	T	Buy	01/21/15	J		
109.					Sold (part)	12/16/15	J		
110. - iShares IBOXX Invst Grade Corporate Bond ETF	A	Dividend	J	T	Buy	12/11/15	J		
111. - iShares High Yield Corportate Bond ETF	A	Dividend	J	T	Buy	12/11/15	J		
112. - iShares Us Treas Bond ETF	A	Dividend	J	T	Buy	12/11/15	J		
113. - Vanguard Charlotte FDS Total Intl Bd Index Fd ETF	A	Dividend	J	T	Buy	12/11/15	J		
114.									
115. IRA #4 (H)									
116. - JRHOX	D	Int./Div.	M	T					
117.									
118.									
119.									

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Name of Person Reporting

Netburn, Sarah

Date of Report

08/10/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.									
121.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

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08/10/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sarah Netburn

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544