

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Marrero, Victor	2. Court or Organization U.S. District Court, S.D.N.Y.	3. Date of Report 06/07/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. District Court, SDNY 500 Pearl Street New York, New York 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	New York Public Library
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Marrero, Victor

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

salary, Bloomberg, LP

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JPMORGAN CHASE MONEY MARKET	A	Interest	M	T					
2. FIDELITY NY MUN. INC. FD.	C	Dividend	M	T					
3. FIDELITY ROLLOVER IRA: (H) (See Lines 4 through 37)									
4. Strategic Adv. Value Fund	D	Dividend	L	T	Sold (part)	03/27/15	J	B	
5.					Sold (part)	10/23/15	J	B	
6. Strategic Adv. Small Mid-Cap Fd	C	Dividend	L	T	Sold (part)	04/02/15	J	B	
7.					Buy (add'l)	08/28/15	J		
8. Strategic Adv. International Fund	D	Dividend	M	T	Sold (part)	01/06/15	K	B	
9.					Sold (part)	03/27/15	J	B	
10.					Buy (add'l)	10/01/15	J		
11.					Sold (part)	10/23/15	J	A	
12. Strategic Adv. Core Fund	D	Dividend	M	T	Sold (part)	01/28/15	J	A	
13.					Buy (add'l)	03/27/15	J		
14.					Sold (part)	07/29/15	J	A	
15.					Buy (add'l)	08/28/15	J		
16.					Sold (part)	08/28/15	J	A	
17.					Buy (add'l)	10/01/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	10/23/15	J	A	
19. Strategic Adv. Growth Fund	D	Dividend	L	T	Sold (part)	03/30/15	J	A	
20.					Sold (part)	10/23/15	J	B	
21. Strategic Adv. Emerging Markets	A	Dividend	K	T	Buy (add'l)	03/27/15	J		
22.					Buy (add'l)	04/02/15	J		
23.					Sold (part)	04/29/15	J	A	
24.					Buy (add'l)	08/28/15	J		
25.					Buy (add'l)	10/23/15	J		
26. Arden Alternative Strategies Class I	A	Dividend	J	T					
27. Fidelity GNMA Fund	A	Dividend	K	T	Sold (part)	09/29/15	J	A	
28. Strategic Adv. Core Income Fund	D	Dividend	N	T	Sold (part)	04/02/15	J	A	
29. Strategic Adv. Income Opportunities	C	Dividend	L	T					
30. FIMM MMKT Port Inst. CI	A	Dividend	J	T					
31. Strategic Adv. Short Duration Fund	B	Dividend	M	T	Sold (part)	08/28/15	J	A	
32.					Sold (part)	10/01/15	J	A	
33.					Buy (add'l)	10/23/15	J		
34. Templeton Global Bond Class A	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Blackstone Alternative Multi Mgr	A	Dividend	J	T					
36. Merger Fund	A	Dividend	K	T					
37. Fidelity Cash Reserves	A	Interest	J	T					
38.									
39. (S) BLOOMBERG LP. 401(K) PLAN. (See Lines 40 through 41)									
40. LifePath Index 2025 NL-G	B	Dividend	K	T	Buy	01/01/15	K		
41. Fidelity Contra Fund	B	Dividend	K	T	Buy	01/01/15	K		
42.									
43. (S) FIDELITY ROLLOVER IRA: (H) (See Lines 44 through 71)									
44. Strategic Adv. Value Fund	B	Dividend	K	T	Sold (part)	10/23/15	J	A	
45. Strategic Adv. Small-Mid Cap Fd	A	Dividend	K	T					
46. Strategic Adv. International Fund	B	Dividend	K	T	Sold (part)	03/27/15	J	A	
47.					Sold (part)	04/02/15	J	A	
48.					Buy (add'l)	10/01/15	J		
49.					Sold (part)	10/23/15	J	A	
50. Strategic Adv. Core Fund	C	Dividend	K	T	Buy (add'l)	09/28/15	J		
51.					Buy (add'l)	10/01/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. Strategic Advs. Growth Fund	B	Dividend	K	T	Sold (part)	10/23/15	J	A	
53. Strategic Advs. Emerging Markets	A	Dividend	J	T	Buy (add'l)	03/27/15	J		
54.					Buy (add'l)	04/02/15	J		
55.					Buy (add'l)	08/28/15	J		
56. Arden Alternative Strategies Class I	A	Dividend	J	T					
57. Fidelity GNMA Fund	A	Dividend	J	T					
58. Fidelity Total Bond	A	Dividend			Sold	03/27/15	J	A	
59. Strategic Advs. Core Income Fund	C	Dividend	L	T	Buy (add'l)	03/27/15	K		
60.					Buy (add'l)	03/30/15	J		
61.					Sold (part)	08/28/15	J	A	
62.					Sold (part)	10/01/15	J	A	
63.					Buy (add'l)	10/23/15	J		
64. Strategic Advs. Income Opportunities Fund	A	Dividend	J	T					
65. Strategic Advs. Short Duration Fund	A	Dividend	K	T	Sold (part)	08/28/15	J	A	
66. Pimco Total Return Adm Shs	A	Dividend			Sold	03/27/15	J	A	
67. Templeton Global Bond Class A	A	Dividend	J	T					
68. FIMM MMKT Port Inst CL	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. Blackstone Alternative Multi Mgr	A	Dividend	J	T					
70. Merger Fund	A	Dividend	J	T					
71. Fidelity Cash Reserves	A	Interest	J	T					
72.									
73. (S) FIDELITY SEP IRA: (H) (See Lines 74 through 98)									
74. Strategic Adv. Value Fund	B	Dividend	K	T	Sold (part)	10/23/15	J	A	
75. Strategic Adv. Small-Mid Cap Fund	A	Dividend	J	T					
76. Strategic Adv. International Fund	B	Dividend	K	T	Sold (part)	03/27/15	J	A	
77.					Sold (part)	04/02/15	J	A	
78.					Buy (add'l)	10/01/15	J		
79.					Sold (part)	10/23/15	J	A	
80. Strategic Adv. Core Fund	B	Dividend	K	T	Buy (add'l)	08/28/15	J		
81. Strategic Adv. Growth Fund	A	Dividend	K	T	Sold (part)	10/23/15	J	A	
82. Strategic Adv. Emerging Markets	A	Dividend	J	T	Buy (add'l)	03/27/15	J		
83.					Buy (add'l)	04/02/15	J		
84. Arden Alternative Strategies Class I	A	Dividend	J	T					
85. Fidelity GNMA Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. Strategic Adv. Short Duration Fund	A	Dividend	J	T					
87. Fidelity Total Bond	A	Dividend			Sold	03/27/15	J	A	
88. Strategic Adv. Core Income Fund	B	Dividend	L	T	Buy (add'l)	03/27/15	J		
89.					Buy (add'l)	03/30/15	J		
90.					Sold (part)	08/28/15	J	A	
91.					Sold (part)	10/01/15	J	A	
92.					Buy (add'l)	10/23/15	J		
93. Strategis Adv. Income Opportunities	A	Dividend	J	T					
94. FIMM MMKT Port Inst. Cl	A	Dividend	J	T	Buy (add'l)	08/28/15	J		
95. Pimco Total Retm Administrative Shs	A	Dividend			Sold	03/27/15	J	A	
96. Templeton Global Bond Class A	A	Dividend	J	T					
97. Blackstone Alternative Multi Mgr	A	Dividend	J	T					
98. Merger Fund	A	Dividend	J	T					
99.									
100. (J) FIDELITY JOINT MUTUAL FUND (See Lines 101 through 104)									
101. Fidelity New Markets Income	B	Dividend	L	T	Buy	05/15/15	L		
102. Fidelity Floating Rate High Income	B	Dividend	L	T	Buy	05/15/15	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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103. Fidelity High Income	C	Dividend	L	T	Buy	05/15/15	L		
104. Fidelity Global High Income	B	Dividend	L	T	Buy	05/15/15	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Victor Marrero**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544