

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2017**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Kelley, Susan V.	2. Court or Organization Bankruptcy Court ED Wis	3. Date of Report 05/07/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 517 East Wisconsin Avenue Milwaukee, WI 53202		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ **NONE** *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ **NONE** *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 3/27/17	Wolters Kluwer Law & Business, book royalties	\$11,979.78
2. 9/14/17	Wolters Kluwer Law & Business, book royalties	\$19,639.99
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Milwaukee Brewers Baseball Club LP - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. State Bar of Wisconsin	3/2	Kohler, WI	Bankruptcy Seminar	lunch
2. American Bankruptcy Institute	3/6 - 3/7	New York, NY	Moot Court Judge	two nights hotel, meals, airfare
3. American Bankruptcy Institute	11/10	Chicago, IL	Bankruptcy Seminar	one night hotel, lunch
4. State Bar of Wisconsin	11/15	Milwaukee, WI	Bankruptcy Seminar	lunch
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account 1									
2. Wells Fargo Large Co Core-Inv Fund	A	Int./Div.	J	T					
3. Wells Fargo Advantage Large Company Growth	A	Int./Div.	J	T					
4. Wells Fargo Advantage Opportunity Inv.	C	Int./Div.	K	T					
5. BMO Harris Bank Account	A	Interest	L	T					
6. Mutual of Omaha Whole Life Insurance Policies	A	Interest	K	T					
7. UBS Retirement Account									
8. IShares U.S. Technology ETF	A	Int./Div.	K	T					
9. IShares Trust Russell 2000 Index Fund	A	Int./Div.	K	T					
10. IShares Trust U.S. Basic Materials Sctr Index Fund	A	Int./Div.	K	T					
11. IShares Inc MSCI EMU Index Fund	B	Int./Div.	M	T					
12. IShares Trust Russell Midcap Index Fund	A	Int./Div.	K	T					
13. IShares Trust S&P Latin America 40 Index Fund	A	Int./Div.	K	T					
14. IShares Tr MSCI All Ctry Asia Ex Japan Index	A	Int./Div.	L	T					
15. Guggenheim Solar ETF	A	Int./Div.	J	T					
16. Deutsche X-trackers Harvest	A	Int./Div.	K	T					
17. Energy Sector SPDR Trust	A	Int./Div.			Sold	10/2/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. iShares US Financials ETF	A	Int./Div.	L	T	Buy	10/27/17	K		
19. iShares NASDAQ Biotechnology ETF	A	Int./Div.	K	T	Buy	6/7/17	K		
20. Nationwide Future Venue Annuity									
21. Drey Stk Indx SVC	A	Int./Div.	J	T					
22. Fnklnvtpt Tmp Forgn Vip 3	A	Int./Div.	J	T					
23. Fnklnvtptfnklnsmcp Val Vip 2	A	Int./Div.	J	T					
24. Wisconsin Retirement Employee Trust Fund	B	Distribution	K	T					
25. Morgan Stanley IRA									
26. Franklin India Growth	A	Int./Div.			Sold	1/4/17	K		
27. Loomis Growth C	B	Int./Div.	K	T					
28. Birtus Multi Sect Shttrm Edc	A	Int./Div.			Sold	3/2/17	J		
29. Andeavor	A	Int./Div.	K	T					
30. UNT AAM High Yield and Income	A	Int./Div.			Sold	10/3/17	K		
31. UNT AAM Global Covered Call and Income	A	Int./Div.	K	T					
32. UNT AAM Tactical Income	A	Int./Div.	K	T					
33. UNT AAM American Renaissance CIL 216	B	Int./Div.	K	T	Buy	1/4/17	K		
34. UNT Guggenheim Closed-end Equity and Income	A	Int./Div.	K	T	Buy	1/3/17	K		

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35. Snapchat, Inc.	A	Dividend	J	T	Buy	3/17/17	J		
36. Morgan Stanley Inv. Acc't									
37. National Gen'l Holdings Corp.	A	Int./Div.			Sold	1/04/17	J		
38. UNT AAM Global Tech/151	D	Int./Div.	K	T					
39. UNT AAM Nasdaq 50 Index 2015-2	A	Int./Div.			Sold	4/11/17	J		
40. UNT AAM European Select 2015-1Q	A	Int./Div.			Sold	5/18/17	K		
41. UNT AAM Global Basic Materials 15-1	B	Int./Div.	K	T					
42. UNT Guggenheim Health Care 21	B	Int./Div.	J	T	Sold (part)	8/9/17	J		
43. UNIT Guggenheim European High Dividend 7	A	Int./Div.	K	T	Buy	5/18/17	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VIII Line 29 - Tesoropetroleum Op - new name in 2017 is Andeavor.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Susan V. Kelley**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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