

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wehrman, J. Gregory	2. Court or Organization U.S. District Court, EDKY	3. Date of Report 08/08/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge, full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 1/1/2016 to 12/31/2016
7. Chambers or Office Address 35 W. 5th Street, Room 310 Covington, KY 41011		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE	PARTIES AND TERMS
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2. 2016	Kentucky Retirement Systems	\$2,675.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. _____

2. _____

3. _____

4. _____

5. _____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. _____

2. _____

3. _____

4. _____

5. _____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Huntington Bancshares, common stock	B	Dividend	M	T					
2. Fifth Third - bank acct	A	Interest	J	T					
3. Fifth Third - bank acct	A	Interest	J	T					
4. Caldwell Securities/Wedbush Morgan IRS									
5. - Wedbush Inc.	A	Int./Div.	L	T					
6. - Nasdaq Stock	B	Int./Div.	M	T					
7. - Qualstar Corp.					Sold	05/26/16	J	A	
8. - Barings Corp Investors (formerly Babson Capital 9-12)	D	Int./Div.	L	T					
9. - Capital Southwest Corp.	B	Int./Div.	L	T					
10. -CSW Industrials	A	Int./Div.	M	T					
11. - Bank of Utica	B	Int./Div.	K	T					
12. - Leadis Tech Inc.	A	Int./Div.	J	T					
13. -Sadlier William H. Inc.	A	Int./Div.	K	T					
14. - First Opportunity Fund	A	Int./Div.	J	T					
15. -Boulder Growth & Income	A	Int./Div.	J	T					
16. - Nicholas Financial Inc.	A	Int./Div.	J	T					
17. - Steel Partners Holdings					Sold	09/08/16	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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18. - HMG Courtland	A	Int./Div.	J	T					
19. - Meritage Hosp.	A	Int./Div.	K	T					
20. - Anchor Bancorp	A	Int./Div.	K	T					
21. - Regency Affiliates	A	Int./Div.	J	T					
22. - Siem	A	Int./Div.	J	T	Buy	07/29/16	J		
23. Summit Hills CC, Edgewood membership stock		None	J	U					
24. Ky Fed Savings & Loan	A	Interest	J	T					
25. Ky Retirement Systems - retirement	C	Interest	J	T					
26. Heritage Bank -common stock	A	Dividend	J	T					
27. Perry Park, Ky-house/lot int cnty ass'd 90,500 1/98 100%		None	K	S					
28. Fidelity Investment IRA									
29. -Columbia Cash Reserves	A	Dividend	K						
30. -Procter & Gamble Co.	A	Dividend	K						
31. Fidelity Investment IRA									
32. -Abbott Labs-common stock	C	Dividend	M	T					
33. -Hospira, Inc.-common stock	A	Dividend	J	T					
34. -Motorola - common stock	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -Casadian Therapeutics (formerly Oncothyreon, Inc.)	A	Dividend	J	T					
36. -Pfizer, Inc. -common stock	D	Dividend	M	T					
37. -Monsanto Co.-common stock	B	Dividend	L	T					
38. -Huntington Bancshares-common stock	A	Dividend	L	T					
39. -Fifth Third Bancorp-common stock	B	Dividend	L	T					
40. -US Bancorp-common stock	C	Dividend	M	T					
41. -Piper Jaffey Co-common stock	A	Dividend	J	T					
42. -P&G common stock	D	Dividend	M	T					
43. -Cash Reserves	A	Dividend	J	T					
44. -Abbvie, Inc.-common stock	D	Dividend	M	T					
45. Fifth Third Bank common stock	A	Dividend	J	T					
46. Perry Park, Ky, lot assessed 100%-\$18,000		None	K	S					
47. CBA Pharma, Inc. common stock		None	J	T					
48. Allstate Insurance common stock	B	Dividend	L	T					
49. US Bancorp common stock	C	Dividend	N	T					
50. Piper Jaffey Cos-common stock	A	Dividend	J	T					
51. Conestoga Energy Partners									

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2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 -More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. -Fifth Third Bank	B	Dividend	L	T					
53. -US Bancorp	C	Dividend	N	T					
54. -Huntington Baneshares	B	Dividend	L	T					
55. -First Energy	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **J. Gregory Wehrman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544