

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Netburn, Sarah	2. Court or Organization U.S. District Court, Southern District of New York	3. Date of Report 08/31/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 40 Foley Square Room 430 New York, New York 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Cuti Hecker Wang -- salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RMA ACCOUNT #1; XXX77 (H)									
2. iShares DJ Select Divid Index Fund		None			Sold	01/12/16	J		
3. iShares Floating Rate Bond Fund ETF		None			Sold	01/12/16	J		
4. iShares NASDAQ Biotechnology Index Fund		None			Sold	01/12/16	J		
5. iShares US Real Estate		None			Sold	01/12/16	J		
6. iShares JP Morgan USD Emerging Markets Bond ETF		None			Sold	01/12/16	J		
7. Pimco 1-5 Yr US Tips Index ETF		None			Sold	01/12/16	J		
8. Vanguard Index Funds Vanguard Small Cap ETF		None			Sold	01/12/16	J		
9. iShares Barclays 1-3 Yr Credit Bond Fund		None			Sold	01/12/16	J		
10. iShares Barclays 1-3 Yr Treas. Bond FD		None			Sold	01/12/16	J		
11. iShares Barclays TIPS Bond Fund		None			Sold	01/12/16	J		
12. iShares IBOXX High Yield Corp Bond		None			Sold	01/12/16	J		
13. iShares S&P 500 Value		None			Sold	01/12/16	J		
14. iShares Trust S&P 500 Growth Index Fund DE		None			Sold	01/12/16	J		
15. iShares S&P 100 Index Fund DE		None			Sold	01/12/16	J		
16. iShares TR FLTG Rate NT ETF		None			Sold	01/12/16	J		
17. iShares MSCI Japan ETF		None			Sold	01/12/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. JPM Chase Capital XXVII 7.200% Due 12/22/39 Callable		None			Sold	01/12/16	J		
19. Powershares Exchange Traded FD TR FINL PFD Portfolio		None			Sold	01/12/16	J		
20. Vanguard Short Term Corporate BD ETF		None			Sold	01/12/16	J		
21. Powershares ETF Trust II n/k/a Powershares Senior Loan (BLKN)		None			Sold	01/12/16	J		
22. SPDR Barclays Capital High Yield ETF		None			Sold	01/12/16	J		
23. SPDR Barclays Intl Treas Bond ETF		None			Sold	01/12/16	J		
24. SPDR Barclays S/T IRT Treas Bond ETF		None			Sold	01/12/16	J		
25. Powershares DB Commodity Index Tracking Fund		None			Sold	01/12/16	J		
26. Powershares DB Multi Sector Commodity TR DB Agriculture Fund		None			Sold	01/12/16	J		
27. SPDR S&P Emerging Markets ETF DE		None			Sold	01/12/16	J		
28. SPDR SER TR Barclays Cap Conv ETF		None			Sold	01/12/16	J		
29. Vanguard Index Funds MFD INC FSTE ALL		None			Sold	01/12/16	J		
30. Vanguard Index Funds Mid Cap		None			Sold	01/12/16	J		
31. Vanguard Intel Equity Index FD INC FSTE ALL-WORLD EX-U		None			Sold	01/12/16	J		
32. Vanguard Info Tech		None			Sold	01/12/16	J		
33. Vanguard FTSE Europe ETF		None			Sold	01/12/16	J		
34. Vanguard FTSE Emerging Markets		None			Sold	01/12/16	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. iShares US Treas Bond Fund ETF		None			Sold	01/12/16	J		
36. Vanguard Charlotte FDS Intl BD Index Fd ETF		None			Sold	01/12/16	J		
37. iShares BOXX Invst Grade Corp Bond ETF		None			Sold	01/12/16	J		
38.									
39. Columbia Aggressive Growth NY 529 Portfolio Class A	D	Int./Div.	M	T					
40.									
41. IRA #1 Rollover; XXX67 (H)									
42. - UBS Pace Money Market Investment Fund ClassP		None			Sold	10/21/16	J		
43. - Blackrock Health Sciences Fund		None			Buy	10/03/16	J		
44.					Sold	10/21/16	J		
45. - Calamos Convertible Fund Class A		None			Sold	10/21/16	J		
46. - DWS Dreman Small Cap Value Fund Class A		None			Sold	10/21/16	J		
47. - Delaware Value Fund Inst. Class I	A	Dividend			Buy	01/04/16	J		
48.					Sold (part)	01/25/16	J		
49.					Sold (part)	04/01/16	J		
50.					Buy (add'l)	07/05/16	J		
51.					Buy (add'l)	08/26/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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☐ NONE (No reportable income, assets, or transactions.)

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52.					Buy (add'l)	10/03/16	J		
53.					Sold	10/21/16	K		
54. - Putnam Voyager Fund Inc. Cl A		None			Sold	10/21/16	J		
55. - Olstein All Cap Value Fd Adviser		None			Buy (add'l)	01/04/16	J		
56.					Buy (add'l)	01/25/16	J		
57.					Sold (part)	04/04/16	J		
58.					Sold (part)	07/05/16	J		
59.					Buy (add'l)	08/26/16	J		
60.					Buy (add'l)	10/03/16	J		
61.					Sold	10/21/16	J		
62. - Oppenheimer Developing Markets Fund CL A		None			Sold	10/21/16	J		
63. - Oppenheimer Equity Income Fund Inc. Cl A		None			Sold	01/04/16	J		
64. - Calvert Short Duration Income Fund Class A		None			Sold	10/21/16	J		
65. - JP Morgan Strategic Income Opportunities	A	Dividend			Sold (part)	01/04/16	J		
66.					Sold (part)	01/25/16	J		
67.					Buy (add'l)	04/04/16	J		
68.					Sold (part)	07/05/16	J		

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69.					Buy (add'l)	08/26/16	J		
70.					Buy (add'l)	10/04/16	J		
71.					Sold	10/21/16	J		
72. - 13D Activist Fund		None			Sold (part)	01/05/16	J		
73.					Buy (add'l)	01/26/16	J		
74.					Sold (part)	04/05/16	J		
75.					Sold (part)	07/05/16	J		
76.					Sold (part)	08/29/16	J		
77.					Buy (add'l)	10/03/16	J		
78.					Sold	10/24/16	J		
79. - Tweedy Brown Global (TBGVX)		None			Sold (part)	01/04/16	J		
80.					Sold (part)	01/25/16	J		
81.					Buy (add'l)	04/04/16	J		
82.					Sold (part)	07/05/16	J		
83.					Buy (add'l)	08/26/16	J		
84.					Buy (add'l)	01/03/16	J		
85.					Sold	10/21/16	J		

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86. - Lord Abbett Short Duration Income Fund	A	Dividend			Buy (add'l)	01/04/16	J		
87.					Sold (part)	01/25/16	J		
88.					Buy (add'l)	04/04/16	J		
89.					Sold (part)	07/05/16	J		
90.					Buy (add'l)	08/26/16	J		
91.					Sold (part)	10/03/16	J		
92.					Sold	10/21/16	J		
93. - Franklin Small Cap Growth (MFFSKS)		None			Buy (add'l)	01/04/16	J		
94.					Buy (add'l)	01/25/16	J		
95.					Sold	04/04/16	J		
96. - Aberdeen Divers AL		None			Sold	10/21/16	J		
97. - Prudential Short Dur		None			Buy (add'l)	04/04/16	J		
98.					Sold (part)	07/05/16	J		
99.					Buy (add'l)	08/26/16	J		
100.					Buy (add'l)	10/03/16	J		
101.					Sold	10/21/16	J		
102. - Franklin Mutual European Fund		None			Sold (part)	01/04/16	J		

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103.					Buy (add'l)	01/25/16	J		
104.					Buy (add'l)	04/04/16	J		
105.					Sold	06/29/16	J		
106. - Ivy Emerging Markets Equity Fd		None			Sold (part)	01/04/16	J		
107.					Buy (add'l)	01/25/16	J		
108.					Sold (part)	04/04/16	J		
109.					Sold (part)	07/05/16	J		
110.					Sold (part)	08/26/16	J		
111.					Sold (part)	10/03/16	J		
112.					Sold	10/21/16	J		
113. - JP Morgan Large Cap Growth Fd Select		None			Buy (add'l)	01/04/16	J		
114.					Buy (add'l)	01/25/16	J		
115.					Buy (add'l)	04/01/16	J		
116.					Buy (add'l)	07/05/16	J		
117.					Buy (add'l)	08/26/16	J		
118.					Sold (part)	10/04/16	J		
119.					Sold	10/21/16	K		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Oakmark Intl Fund		None			Sold (part)	01/04/16	J		
121.					Buy (add'l)	01/25/16	J		
122.					Sold (part)	04/04/16	J		
123.					Buy (add'l)	07/05/16	J		
124.					Sold (part)	08/26/16	J		
125.					Buy (add'l)	10/04/16	J		
126.					Sold	10/21/16	J		
127. - Scout Mid Cap Fund	A	Dividend			Sold (part)	01/04/16	J		
128.					Sold (part)	01/25/16	J		
129.					Sold (part)	04/04/16	J		
130.					Sold (part)	07/05/16	J		
131.					Buy (add'l)	08/26/16	J		
132.					Sold (part)	10/03/16	J		
133.					Sold	10/21/16	K		
134. - Blackrock High Yield Bond	A	Dividend			Sold (part)	01/04/16	J		
135.					Sold (part)	01/25/16	J		
136.					Buy (add'l)	04/04/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	07/05/16	J		
138.					Buy (add'l)	08/26/16	J		
139.					Buy (add'l)	10/03/16	J		
140.					Sold	10/21/16	J		
141. - Eaton Vance Floating Rate Advantage	A	Dividend			Sold (part)	01/04/16	J		
142.					Buy (add'l)	10/04/16	J		
143.					Sold	10/21/16	J		
144. - Loomis Sayles LTD Term Govt and Agency Fd	A	Dividend			Sold (part)	01/05/16	J		
145.					Sold (part)	01/07/16	J		
146.					Sold (part)	01/25/16	J		
147.					Buy (add'l)	04/01/16	J		
148.					Sold (part)	07/05/16	J		
149.					Buy (add'l)	08/26/16	J		
150.					Sold (part)	10/03/16	J		
151.					Sold	10/21/16	J		
152. - Putnam Diversified Income Fd	A	Dividend			Buy (add'l)	01/04/16	J		
153.					Sold (part)	01/25/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	04/04/16	J		
155.					Sold (part)	07/05/16	J		
156.					Buy (add'l)	08/26/16	J		
157.					Sold (part)	10/03/16	J		
158.					Sold	10/21/16	J		
159. - T. Rowe Price Global Tech Fund		None			Buy	01/04/16	J		
160.					Buy (add'l)	01/25/16	J		
161.					Sold (part)	04/04/16	J		
162.					Sold (part)	07/05/16	J		
163.					Sold (part)	08/26/16	J		
164.					Sold	10/03/16	J		
165. - Victory Incore Fund for Income	A	Dividend			Buy (add'l)	01/05/16	J		
166.					Sold (part)	01/25/16	J		
167.					Buy (add'l)	04/04/16	J		
168.					Sold (part)	07/05/16	J		
169.					Buy (add'l)	08/26/16	J		
170.					Buy (add'l)	10/03/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	10/21/16	J		
172. - iShares S&P 500 Growth ETF	A	Dividend	J	T	Buy	10/26/16	J		
173.					Buy (add'l)	11/01/16	J		
174.					Buy (add'l)	11/09/16	J		
175.					Buy (add'l)	11/16/16	J		
176.					Buy (add'l)	11/28/16	J		
177.					Buy (add'l)	12/14/16	J		
178.					Buy (add'l)	12/28/16	J		
179. - iShares S&P 500 Value ETF	A	Dividend	J	T	Buy	10/26/16	J		
180.					Buy (add'l)	11/01/16	J		
181.					Buy (add'l)	11/09/16	J		
182.					Buy (add'l)	11/16/16	J		
183.					Buy (add'l)	11/28/16	J		
184.					Buy (add'l)	12/14/16	J		
185.					Buy (add'l)	12/28/16	J		
186. - iShares U.S. Healthcare ETF	A	Dividend	J	T	Buy	10/26/16	J		
187.					Buy (add'l)	11/01/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	11/09/16	J		
189.					Buy (add'l)	11/16/16	J		
190.					Buy (add'l)	11/28/16	J		
191.					Buy (add'l)	12/14/16	J		
192.					Buy (add'l)	12/28/16	J		
193. - Vanguard FTSE All World Ex-US ETF		None	J	T	Buy	12/28/16	J		
194. - Vanguard FTSE Emerging Markets ETF	A	Dividend	J	T	Buy	10/26/16	J		
195.					Buy (add'l)	11/01/16	J		
196.					Buy (add'l)	11/09/16	J		
197.					Buy (add'l)	11/16/16	J		
198.					Buy (add'l)	11/28/16	J		
199.					Buy (add'l)	12/14/16	J		
200.					Buy (add'l)	12/28/16	J		
201. - Vanguard Index Funds Vanguard Mid Cap ETF	A	Dividend	J	T	Buy	10/26/16	J		
202.					Buy (add'l)	11/01/16	J		
203.					Buy (add'l)	11/09/16	J		
204.					Buy (add'l)	11/16/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	11/28/16	J		
206.					Buy (add'l)	12/14/16	J		
207.					Buy (add'l)	12/28/16	J		
208. - Vanguard Index Funds Vanguard Small Cap ETF	A	Dividend	J	T	Buy	10/26/16	J		
209.					Buy (add'l)	11/01/16	J		
210.					Buy (add'l)	11/09/16	J		
211.					Buy (add'l)	11/16/16	J		
212.					Buy (add'l)	11/28/16	J		
213.					Buy (add'l)	12/14/16	J		
214.					Buy (add'l)	12/28/16	J		
215. - Vanguard Intl Equity Index Funds Inc. FSTE ALL	A	Dividend	J	T	Buy	10/26/16	J		
216.					Buy (add'l)	11/01/16	J		
217.					Buy (add'l)	11/09/16	J		
218.					Buy (add'l)	11/16/16	J		
219.					Buy (add'l)	11/28/16	J		
220.					Buy (add'l)	12/14/16	J		
221.									

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Account XXX68 (H)									
223. UBS Bank USA Deposit Account	A	Interest	K	T					
224. UBS Select Prime Investor Fund		None			Closed	04/12/13	J		
225. RMA Money Mkt Portfolio		None			Closed	12/06/13	J		
226.									
227. ROTH IRA # 2; XXX57 (H)									
228. - UBS Bank USA Deposit Account		None	J	T					
229. - Eaton Vance Richard Bernstein Equity	A	Dividend	J	T					
230.									
231. IRA #3 ETF4; XXX56 (H)									
232. - iShares Floating Rate Bond ETF	A	Dividend	J	T	Buy (add'l)	01/04/16	J		
233.					Sold (part)	01/25/16	J		
234.					Buy (add'l)	04/01/16	J		
235.					Sold (part)	07/05/16	J		
236.					Buy (add'l)	08/26/16	J		
237.					Buy (add'l)	10/03/16	J		
238. - iShares IBOXX Invst Grade Corporate Bond ETF		None			Sold	01/04/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - iShares IBOXX High Yield Corporate Bond ETF	A	Dividend	J	T	Sold (part)	01/25/16	J		
240.					Buy (add'l)	04/01/16	J		
241.					Sold (part)	07/05/16	J		
242.					Buy (add'l)	08/26/16	J		
243.					Buy (add'l)	10/03/16	J		
244. - iShares MSCI Japan ETF	A	Dividend			Buy (add'l)	01/04/16	J		
245.					Sold	01/22/16	J		
246. - iShares S&P 500 Growth ETF	A	Dividend	J	T	Buy (add'l)	01/04/16	J		
247.					Buy (add'l)	01/25/16	J		
248.					Buy (add'l)	07/05/16	J		
249.					Buy (add'l)	08/26/16	J		
250. - iShares S&P 500 Value ETF	A	Distribution	J	T	Buy (add'l)	01/04/16	J		
251.					Buy (add'l)	01/25/16	J		
252.					Sold (part)	04/01/16	J		
253.					Buy (add'l)	07/05/16	J		
254.					Buy (add'l)	08/26/16	J		
255.					Buy (add'l)	10/06/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. - iShares U.S. Healthcare ETF	A	Dividend	J	T	Buy	10/03/16	J		
257.					Buy (add'l)	11/01/16	J		
258. - iShares U.S. Real Estate ETF					Sold	01/04/16	J		
259. - iShares U.S. Treas Bond ETF	A	Dividend	J	T	Buy (add'l)	01/04/16	J		
260.					Sold (part)	01/25/16	J		
261.					Buy (add'l)	04/01/16	J		
262.					Sold (part)	07/05/16	J		
263.					Buy (add'l)	08/26/16	J		
264.					Buy (add'l)	10/03/16	J		
265. - iShares 1-3 Treas Bond	A	Dividend	J	T	Buy (add'l)	01/04/16	J		
266.					Sold (part)	01/25/16	J		
267.					Buy (add'l)	04/01/16	J		
268.					Sold (part)	07/05/16	J		
269.					Buy (add'l)	08/26/16	J		
270. - Powershares DB Multi Sector Commodity TR DB Agriculture Fund		None			Sold	03/02/15	J		
271. - Powershares Senior Loan (BKLN)	A	Dividend			Sold	01/04/16	J		
272. - SPDR S&P Emerging Markets ETF DE		None			Sold	07/30/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. - Vanguard Charlotte FDS Total Intl Bd Index Fd ETF		None			Sold	01/04/16	J		
274. - Vanguard FTSE Emerging Markets ETF	A	Dividend	J	T	Sold (part)	01/04/16	J		
275.					Buy (add'l)	01/25/16	J		
276.					Sold (part)	04/01/16	J		
277.					Sold (part)	07/05/16	J		
278.					Sold (part)	08/26/16	J		
279.					Buy (add'l)	10/03/16	J		
280. -Vanguard FTSE Europe ETF	A	Dividend			Buy (add'l)	01/04/16	J		
281.					Buy (add'l)	01/25/16	J		
282.					Buy (add'l)	04/01/16	J		
283.					Sold	06/29/16	J		
284. - Vanguard Index Funds Vanguard Mid Cap ETF DE	A	Dividend	J	T	Buy (add'l)	01/04/16	J		
285.					Buy (add'l)	01/25/16	J		
286.					Sold (part)	04/01/16	J		
287.					Sold (part)	07/05/16	J		
288.					Buy (add'l)	10/03/16	J		
289. - Vanguard Index Funds Vanguard Small Cap ETF DE Sol	A	Dividend	J	T	Buy (add'l)	01/04/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	01/25/16	J		
291.					Sold (part)	04/01/16	J		
292.					Buy (add'l)	07/05/16	J		
293. - Vanguard Intl Equity Index FD Inc FSTE ALL	A	Dividend	J	T	Buy (add'l)	01/25/16	J		
294.					Sold (part)	04/01/16	J		
295.					Sold (part)	07/15/16	J		
296.					Sold (part)	08/26/16	J		
297.					Buy (add'l)	10/03/16	J		
298. - Vanguard Sector Index FD Information Tech ETF	A	Dividend			Buy	01/04/16	J		
299.					Buy (add'l)	01/25/16	J		
300.					Sold (part)	04/01/16	J		
301.					Buy (add'l)	07/05/16	J		
302.					Sold (part)	08/26/16	J		
303.					Sold	10/03/16	J		
304. - Vanguard Short Term Corporate BD ETF DE	A	Dividend	J	T	Buy (add'l)	01/04/16	J		
305.					Sold (part)	01/25/16	J		
306.					Buy (add'l)	04/01/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold (part)	07/05/16	J		
308.					Buy (add'l)	08/26/16	J		
309.					Sold (part)	10/03/16	J		
310.									
311. IRA #4 (H)									
312. - JRHOX	D	Int./Div.	M	T					
313.									
314.									
315.									
316.									
317.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In the 2015 Report, I made the following errors: (1) SPDR S&P Emerging Markets ETF DE was listed on line 94 in the 2015 Report as an asset held at the end of 2015, when in fact it was sold in full on 07/30/15. It is listed on line 272 in this Report as sold in full on 07/30/15; (2) iShares US Real Estate ETF was listed on line 98 in the 2015 Report as sold in full on 12/16/15, when in fact it was sold in part on that date; it was sold in full on 01/04/16, as noted on line 258; (3) Powershares DB Multi Sector Commodity TR DB Agriculture Fun was listed on line 99 in the 2015 Report as an asset that was held at the end of 2015, when in fact it was sold in full on 03/02/15. It is listed on line 270 in this Report as sold in full on 03/02/15; (4) "Vanguard Intl Equity Index FD Inc FSTE ALL" is listed in one account on line 95 and "Vanguard Ftse All World" is listed in the same account on line 101 of the 2015 Report, when in fact these are one in the same; in this Report it is listed only as "Vanguard Intl Equity Index FD Inc FSTE ALL"; (5) "iShares S&P 500 Value ETF" is listed in one account on line 89 and 106 in the 2015 Report; in this Report this asset is listed only once; (6) "iShares Floating Rate Bond ETF" was first purchased on 1/14/14 into Account IRA #3 ETF4; XXX56, but it was not listed in prior reports. It is listed here at line 232; (7) "iShares High Yield Corporate Bond ETF" is listed on line 111 in the 2015 Report; the proper name is "iShares IBOX High Yield Corporate Bond ETF," and is listed as such in this Report; (8) In my 2015 Report, I list "JP Morgan Strat (ISOSX)" and "JP Morgan Strategic (JSOAX)." These assets are reflected in this Report under "JP Morgan Strategic Income Opportunities"; (9) In my 2015 Report, I list "Lord Abbett Short (LALDX)" and "Lord Abett Short (LDLFX)." These assets are reflected in this Report under "Lord Abbett Short Duration Income Fund."

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Netburn, Sarah

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sarah Netburn

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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