

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) UNGARO, URSULA	2. Court or Organization UNITED STATES DISTRICT COURT	3. Date of Report 05/04/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT COURT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2015 to 12/31/2015
7. Chambers or Office Address 400 N. MIAMI AVENUE ROOM 12-4 MIAMI, FLORIDA 33128		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

UNGARO, URSULA

Date of Report

05/04/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

UNGARO, URSULA

Date of Report

05/04/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

UNGARO, URSULA

Date of Report

05/04/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MetLife Vintage 3 Annuity		None	M	T					
2. Muni Cash Trust	A	Interest	K	T					
3. Jea Fla Elec Sys Rev Bond	A	Interest	K	T					
4. Regional Transn Dist Co Ref Fastracks Proj Bond	A	Interest	J	T					
5. Texas St Bond	A	Interest	J	T					
6. Houston, Tex. Util Sys Rev. Bond	A	Interest			Sold	10/15/15	J	A	
7. Pa.StHigher Ed Auth Rev Bond	A	Interest	K	T					
8. Wisc St Transp Rev Bond	A	Interest			Sold	02/20/15	K	A	
9. Alaska Mun Bd Bk Alaska Mun Bond	A	Interest			Sold	08/18/15	K	A	
10. Edgewood Tex Indpt Sch Dist Be Bond	A	Interest	K	T					
11. Harris Cnty Tex Bond	A	Interest	J	T					
12. Ivy Tech Cmnty College Ind Bond	A	Interest	K	T					
13. King Cnty Wash Sch Dist No 409 Bond	A	Interest	K	T					
14. King Cnty Was Swr Rev Bond	A	Interest	K	T					
15. Mesa Cnty Colo Vy Sch Dist No Bond	A	Interest	K	T					
16. New York St Dorm Auth Sales Ta Bond	A	Interest	K	T					
17. Northern Mun Pwr Agy Minn Elec Bond	A	Interest			Sold	10/15/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

UNGARO, URSULA

Date of Report

05/04/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Oregon St For Issues Drt Prior Bond	A	Interest	K	T					
19. Snohomish Cnty Wash Pub Util D Bond	A	Interest	K	T					
20. West Virginia St Wtr Dev Auth Bond	A	Interest	K	T					
21. Wisconsin St Health & Edl Bond	A	Interest	J	T	Buy	04/24/15	J		
22. New York St Dorm Auth St Bond	A	Interest	J	T	Buy	09/02/15	J		
23. Phoenix Ariz Civic Impt Corp Bond	A	Interest	K	T	Buy	11/18/15	K		
24. DFA US Core Equity 1 Fund	A	Dividend	K	T	Sold (part)	02/15/15	J	A	
25. DFA US Core Equity 1 Fund	A	Dividend	K	T	Buy (add'l)	10/05/15	J		
26. DFA US Core Equity 2 Fund	B	Dividend			Sold (part)	01/30/15	K	A	
27. DFA US Core Equity 2 Fund	B	Dividend			Sold (part)	02/13/15	J	A	
28. DFA US Core Equity 2 Fund	B	Dividend			Sold	10/05/15	K	B	
29. DFA US Large Company Fund	B	Dividend	L	T	Sold (part)	02/13/15	J	A	
30. DFA US Large Company Fund	B	Dividend	L	T	Sold (part)	11/18/15	J	A	
31. DFA US Large Company Fund	B	Dividend	L	T	Sold (part)	09/08/15	K	A	
32. DFA US Large Company Fund	B	Dividend	L	T	Buy (add'l)	10/09/15	L		
33. DFA US Micro Cap Fund	A	Dividend			Sold (part)	09/08/15	J	A	
34. DFA US Micro Cap Fund	A	Dividend			Sold	10/09/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

UNGARO, URSULA

Date of Report

05/04/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. DFA US Small Cap Fund	B	Dividend	K	T	Buy	10/19/15	K		
36. DFA International Core Equity Fund	B	Dividend			Sold (part)	01/30/15	J	A	
37. DFA International Core Equity Fund	B	Dividend			Sold (part)	02/13/15	J	A	
38. DFA International Core Equity Fund	B	Dividend			Sold (part)	02/19/15	J	A	
39. DFA International Core Equity Fund	B	Dividend			Sold (part)	09/08/15	L	A	
40. DFA International Core Equity Fund	B	Dividend			Sold	10/05/15	J	A	
41. DFA International Small Company Fund	C	Dividend	L	T	Buy	10/05/15	L		
42. DFA Emerging Markets Core Equity Fund	A	Dividend			Sold	09/08/15	K	A	
43. DFA Emerging Markets Small Cap Fund	A	Dividend	K	T	Buy	10/05/15	K		
44. DFA Global Real Estate Fund		None			Buy	01/30/15	K		
45. DFA Global Real Estate Fund		None			Sold	09/08/15	K	A	
46. TCW Emerging Markets Income Fund	B	Int./Div.	K	T	Buy (add'l)	05/11/15	J		
47. TCW Emerging Markets Income Fund	B	Int./Div.	K	T	Sold (part)	09/08/15	K	A	
48. TCW Emerging Markets Income Fund	B	Int./Div.	K	T	Buy (add'l)	10/09/15	K		
49. Nuveen High Yield Municipal Bond Fund	B	Int./Div.	K	T	Sold (part)	10/09/15	J	A	
50. Templeton Global Bond Fund	B	Int./Div.	L	T	Buy (add'l)	05/11/15	J		
51. Templeton Global Bond Fund	B	Int./Div.	L	T	Buy (add'l)	10/19/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

UNGARO, URSULA

Date of Report

05/04/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Templeton Global Bond Fund	B	Int./Div.	L	T	Sold (part)	09/08/15	L	A	
53. Templeton Global Bond Fund	B	Int./Div.	L	T	Buy (add'l)	10/09/15	L		
54. iShares JPMorgan EM Bond Fund	A	Dividend			Buy	09/08/15	K		
55. iShares JPMorgan EM Bond Fund	A	Dividend			Sold	10/09/15	K	A	
56. iShares MSCI EAFE Fund		None			Buy	09/08/15	L		
57. iShares MSCI EAFE Fund		None			Sold	10/05/15	L	A	
58. iShares MSCI EM Fund		None			Buy	09/08/15	K		
59. iShares MSCI EM Fund		None			Buy (add'l)	09/11/15	K		
60. iShares MSCI EM Fund		None			Sold	10/05/15	K	B	
61. iShares Russel 2000 Fund	A	Dividend			Buy	09/08/15	J		
62. iShares Russel 2000 Fund	A	Dividend			Sold	10/09/15	J	A	
63. iShares US Real Estate Fund	A	Dividend			Buy	09/08/15	K		
64. iShares US Real Estate Fund	A	Dividend			Sold	10/05/15	K	B	
65. SPDR S&P 500 Fund	A	Dividend			Buy	09/08/15	K		
66. SPDR S&P 500 Fund	A	Dividend			Sold	10/09/15	K	A	
67. Vanguard Total Int'l Bond Fund	A	Dividend			Buy	09/08/15	L		
68. Vanguard Total Int'l Bond Fund	A	Dividend			Sold (part)	10/09/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

UNGARO, URSULA

Date of Report

05/04/2016

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Vanguard Total Int'l Bond Fund	A	Dividend			Sold	10/16/15	K	A	
70.									
71.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

UNGARO, URSULA

Date of Report

05/04/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Although not required, I am reporting the following: I own a 1.1% limited partnership interest in Mt. Blue Ltd. Partners which owns a 2.73% interest in another entity, the Shopping Center Partnership. I did not reported this interest until 2010r because, during my entire tenure, it has never had a value of \$1,000 or more.

In other words, there has not been a secondary market for my interest at any time during my service as a District Judge. However, it carries the potential for a tax liability (the amount of which and timing I cannot predict). Although I am not obligated to report this interest as an asset, I am continuing to report it in an abundance of caution because under the current economic conditions, I am concerned that some tax liability could arise in connection with the interest. It should be noted, however, that I have no information from the general partner and no information regarding the partnership from any other source that suggests any current tax liability exposure; I am relying simply on my knowledge of current economic conditions, my understanding of the tax liabilities that can be associated with limited partnership interests generally and my knowledge of the history of these entities.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

UNGARO, URSULA

Date of Report

05/04/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ URSULA UNGARO**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544