

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pepper, Pamela	2. Court or Organization E.D. Wisconsin Bankruptcy	3. Date of Report 04/30/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 517 East Wisconsin Avenue Suite 140 Milwaukee, Wisconsin 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Ex-officio director	Eastern District of Wisconsin Bar Association
3.	Associate editor	American Bankruptcy Law Journal
4.	Member, board of directors	American Bankruptcy Institute
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 04/02/2013	American Bankruptcy Law Journal, pay for editing work	\$1,287.75
2. 07/01/2013	American Bankruptcy Law Journal, pay for editing work	\$1,287.75
3. 09/30/2013	American Bankruptcy Law Journal, pay for editing work	\$1,287.75
4. 12/15/2013	American Bankruptcy Law Journal, pay for editing work	\$1,287.75

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Engberg Anderson Design Partnership--salary
2. 2013	Village of Shorewood--Village Trustee compensation
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National CLE Conference Legal Education Institute	January 2-6, 2013	Snowmass, CO	Continuing legal education seminar--bankruptcy	Hotel, travel and meals
2. American Bankruptcy Institute	February 17-19, 2013	Kansas City, MO	Bankruptcy litigation instruction	Hotel, travel and meals
3. State Bar of Wisconsin	February 28-March 1, 2013	Kohler, WI	Bankruptcy seminar	Hotel, travel and meals
4. National Conference of Bankruptcy Judges	April 8-9, 2013	Phoenix, AZ	Mid-year meeting of board of governors	Hotel, travel and meals
5. American Bankruptcy Institute	April 18-20, 2013	National Harbor, MD	Annual Spring Meeting-- bankruptcy conference	Hotel, travel and meals

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6.	National Conference of Bankruptcy Judges	May 31, 2013	Chicago, IL	Site visit for annual conference planning	Meals and travel
7.	American Bankruptcy Institute	June 13-16, 2013	Traverse City, MI	Bankruptcy seminar	Hotel, meals and travel
8.	National Conference of Bankruptcy Judges	June 20-21, 2013	Chicago, IL	Site visit for annual conference planning	Hotel, meals and travel
9.	American Bankruptcy Institute	July 19-21, 2013	Amelia Island, FL	Bankruptcy seminar	Hotel, meals and travel
10.	Midwest Regional Bankruptcy Institute	August 22-23, 2013	Cincinnati, OH	Bankruptcy seminar	Hotel, meals and travel
11.	Minnesota CLE Seminars	October 8-9, 2013	Minneapolis, MN	Bankruptcy seminar	Hotel, meals and travel
12.	National Conference of Bankruptcy Judges	October 29- November 2, 2013	Atlanta, GA	Bankruptcy seminar/ conference	Hotel, meals and travel
13.	American Bankruptcy Institute	December 5-7, 2013	Rancho Palos Verdes, CA	Bankruptcy seminar	Hotel, meals and travel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Pershing, LLC	Loan from Profit Sharing Plan #1	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. American Funds High-Income Municipal Bond Fund	A	Dividend	K	T					
2. American Funds Growth Fund of America	A	Dividend	J	T					
3. Penn Mutual Variable Universal Life: Ssg Index 500 Fund	A	Interest	K	T					
4. Penn Mutual Variable Universal Life: T. Rowe Price Flexibly Mg'd Fund	A	Interest	K	T					
5. The Principal Adjustable Life	A	Dividend	K	T					
6. Profit Sharing Plan #1									
7. --Principal Investors Real Estate Sec.	A	Dividend	K	T					
8. --Principal Investors Diversified Int'l. Fund	A	Dividend	J	T					
9. ---Principal Investors Small Cap Blend	A	Dividend	J	T					
10. --Principal Investors Mid Cap Blend Fund	A	Dividend	L	T					
11. --Principal Capital Appreciation Fund	A	Dividend	K	T					
12. --Principal Equity Income Fund	A	Dividend	J	T					
13. --Principal Investors Money Market Fund	A	Interest	J	T					
14. IRA #1									
15. --Principal Mutual Funds MidCap	A	Dividend	K	T					
16. --Principal Mutual Funds Small Cap Blend	A	Dividend	J	T					
17. U.S. Savings Bonds, Series EE	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Trust #1									
19. --Equitable Bank cash accounts	A	Interest	J	T					
20. 401k #1									
21. --American Funds EuroPacific Growth	A	Dividend	K	T					
22. --American Funds Fundamental Investors Large Cap	A	Dividend	K	T					
23. --Nuveen Equity Index	A	Dividend	L	T					
24. PNC Bank cash accounts		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I have removed three (3) positions from Part I since last year, because I now understand that I was not required to report them.

VII(1) This is the same asset as the one reported in VII(1) of the 2012 report. I now understand that this is the correct, full name of the fund.

VII(2) This is the same fund that was listed as "American Funds, Pamela Pepper, SEP IRA--The Growth Fund" in VII(2) of the 2012 report. I now understand that this is the correct name of the fund.

VII(3) and (4) These are the same policies that were listed as "Penn Mutual Life Insurance" in VII(3), (4) and (5) of the 2012 report. This is the full description of the policy and the funds into which the premiums are invested.

VII(5) This is the same policy that was listed as "The Principal Life Insurance Company" in VII(6), (7) and (8) of the 2012 report. This is the correct name and description of the policy.

VII(6) This is the same fund that was listed as "Princor Financial Services" in VII(9) of the 2012 report. I now understand that I did not correctly identify it in that report.

VII(13) This money market fund is one of the funds contained in Profit Sharing Plan #1. I inadvertently left it out of the 2012 report; it was part of the portfolio in 2012.

VII(14) This is the same fund that was listed in VII(17) of the 2012 report. I now understand that I did not correctly identify it in the report.

VII(18) This is the same trust that was listed as "Revocable Trust" in VII(19) of the 2012 report. I now understand that I did not correctly identify it in the report.

VII(19) This represents the combined checking and savings accounts in Trust #1. In the 2012 report, the two cash accounts were listed separately in VII(20) and (25); I now understand they should have been listed as a combined cash account.

VII(20) This is the same fund that was listed in VII(21) of the 2012 report. I now understand that I did not correctly identify it in the report.

VII(23) This is the same fund that was listed as "First American Equity Index Fund" in VII(24) of the 2012 report. The name of the fund has since changed.

VII(24) This is my individual checking account; it is a new account since the 2012 report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Pamela Pepper**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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