

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gustafson, John P.	2. Court or Organization U.S. Bankruptcy Court, Ohio	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States Bankruptcy Court James M. Ashley and Thomas W.L. Ashley U.S. Courthouse 1716 Spielbusch Avenue, Rm. 113 Toledo, Ohio 43604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|--|---|
| 1. Member of the Board of Directors. | The NACTT Academy (01/24/2013 to 12/31/2016) non-profit educational 501(c)(3) |
| 2. Trustee for [REDACTED], Gustafson Trust | [REDACTED] Gustafson Trust; [REDACTED] trust, [REDACTED] beneficiary. |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----|--|
| 1. | |
| 2. | |
| 3. | |

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. University of Kentucky College of Law	03/23/16 to 03/24/16	Lexington, KY	Educational presentation made at Univ. of Kentucky Consumer Bankruptcy Conference	Hotel, meal and attendance fee.
2. Federal Judicial Center	04/17/16 to 04/21/16	Albuquerque, NM	Educational seminar for judges.	Travel (plane fare/mileage), meals and hotel.
3. Administrative Office of United States Courts	05/23/16 to 05/26/16	Louisville, KY	Attendance at Sixth Circuit Judicial Conference	Travel (mileage), hotel and meals.
4. American Bankruptcy Institute	06/16/16 to 06/18/16	Lake Geneva, WI	Educational presentations made at ABI Central States Bankruptcy Workshop	Travel (mileage), meals, hotel and attendance fee.

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5.	The NACTT Academy for Consumer Bankruptcy Education	07/20/16 to 07/23/16	Philadelphia, PA	Educational presentations made at NACTT Conference.	Travel (Plane fare/train fare/mileage), meals, hotel and attendance fee.
6.	National Conference of Bankruptcy Judges	10/23/16 to 10/28/16	San Francisco, CA	Attendance at National Conference of Bankruptcy Judges Conference.	Fixed partial reimbursement for hotel cost.
7.	Mid-South Commerical Law Institute	12/01/16 to 12/02/16	Nashville, TN	Educational presentation made at Mid-South Commercial Law Institute Seminar	Travel (mileage), meals, hotel and attendance fee.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IRA with Charles Schwab									
2. - Research Frontiers, Inc. (Symb. 'REFR') common stock in IRA		None	K	T					
3. - Global Diversfd Inds. New (Restricted Shares) in IRA, prob. defunct		None	J	W					
4. - Vanguard 500 Index Fund (VFINX) in IRA.	A	Int./Div.	J	T					
5. - Cash in IRA	A	Interest	M	T					
6. Family Trust									
7. - Research Frontiers (symbol REFR)		None	K	T					
8. - China Continental Inc. (Symbol CHCL) - probably defunct		None	J	W					
9. - Global Diversified Ind. - probably defunct		None	J	W					
10. - The Majestic Companies, LTD - (Symbol MJXX) probably defunct		None	J	W					
11. - Unitrend, Inc. probably defunct.		None	J	W					
12. State Teachers Retirement System of Ohio Retirement Account.		None	J	V					
13. Lot/structure in RV Resort, Zephyrhills, Pasco County, Florida.	C	Rent	L	W					
14.									
15.									
16.									
17.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

State Teachers Retirement System of Ohio is from teaching one class for two years at the University of Toledo more than a decade ago. The amount is minimal, and I chose "other" as the basis for valuation because the statement from STRS does not provide any information other than an amount and I relied on the STRS statement.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John P. Gustafson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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