

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Coogler, Lawrence S.	2. Court or Organization Northern District of Alabama	3. Date of Report 11/09/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Tuscaloosa Federal Building 2005 University Blvd. Tuscaloosa, AL 35401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	American Christian Academy
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1999	State of Alabama, Retirement Systems - Retirement Account - I have no control over this account.
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Coogler, Lawrence S.

Date of Report

11/09/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	University of Alabama - School of Law, Salary for teaching	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Mitzi H. Coogler, CPA, Income from accounting practice.
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

Coogler, Lawrence S.

Date of Report

11/09/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Larry Morris	Plane Ride to Washington DC and dinner	\$950.00
2.	Larry Morris	Flight to Alabama vs. Tennessee Football game and ticket	\$850.00
3.	Jose R. Rivas	Pheasant and Quail Hunts	\$1,000.00
4.	Jose R. Rivas	FN Herstal 5.7 semi automatic pistol	\$1,300.00
5.	Jose R. Rivas	Used 20 guage semi automatic browning shotgun	\$900.00
6.	Jose R. Rivas	Travel, accomodations, and entertainment during part of mission trip to Honduras	\$5,400.00

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	BBVA Compass Bank	Real Estate Mortgage (Greystone)	M
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Coogler, Lawrence S.

Date of Report

11/09/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property No. 2, Tuscaloosa, AL () HH	D	Rent	M	W					
2. Rental Property No. 3, Tuscaloosa, AL () Cap	D	Rent	M	W					
3. Coogler & Copeland, Jnt. Vnt. (33 ave Tuscaloosa, AL) 50% Int	D	Rent	L	W					
4. Checking Account #1 Bank of Tuscaloosa		None	J	T					
5. Checking Account #2 Bank of Tuscaloosa		None	J	T					
6. Savings Account - Compass Bank	A	Interest	J	T					
7. Money Market Account #1 - Bank of Tuscaloosa	A	Interest	J	T					
8. TD Waterhouse Bank Money Market Account ()	A	Interest	J	T	Distributed (part)	06/17/16	K	A	Part Transferred to Stifel
9. TD Waterhouse Bank Money Market Account (CHC)	A	Interest			Closed	06/17/16	J	A	Transferred to Stifel
10. TD Waterhouse Bank Money Market Account (HCC)	A	Interest			Closed	06/17/16	J	A	Transferred to Stifel
11. TD Waterhouse Money Market Account (ADC)	A	Interest			Closed	06/17/16	J	A	Transferred to Stifel
12. Checking Account- Life Insurance Trust - Bank of Tuscaloosa		None	J	T					
13. Real Estate Rental, Sherwood East, Ltd. 1/12		None	J	U					
14. IRA (LSC) -TransAmerica Asset Allocation Fund	A	Interest			Sold	04/15/16	L	D	
15. Prepaid College Tuition Plan, State of Alabama (HCC)	C	Distribution	J	W					
16. Prepaid College Tuition Plan, State of Alabama (ADC)	D	Distribution	J	W					
17. Money Market Account #3, Bank of Tuscaloosa (CHC)	A	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

Coogler, Lawrence S.

Date of Report

11/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Money Market Account #4, Bank of Tuscaloosa (HCC)	A	Interest	J	T					
19. Money Market Account #5, Bank of Tuscaloosa (ADC)	A	Interest	J	T					
20. Retirement Systems - State of Alabama	A	Interest	L	T					
21. Rental Property No. 4 -Tuscaloosa, AL (CC)	D	Rent	M	W					
22. Checking Account Compass Bank (CHC)		None	J	T					
23. Rental Property No. 5 (C. Creek) - Tuscaloosa, AL	D	Rent	M	W					
24. Checking Account Compass Bank (HCC)		None	J	T					
25. Rental Property No.6 (Greystone) Northport, AL	D	Rent	M	W					
26. Business Checking Account - Capstone Bank (Mitzi CPA)		None	K	T					
27. Business Savings Account- (Mitzi CPA)	A	Interest	L	T					
28. Rental Property No. 7 (Greystone #2) Northport, AL	B	Rent	M	W	Buy	06/27/16	M		Mr. and Mrs. Charles Smith
29. Stifel Money Market (LSC-MHC)	A	Interest	K	T	Open	06/17/16	K		
30. Stifel Money Market (CHC)	A	Interest	J	T	Open	06/17/16	J		
31. Stifel Money Market (HCC)	A	Interest	J	T	Open	06/17/16	J		
32. Stifel Money Market (ADC)	A	Interest	J	T	Open	06/17/16	J		
33. Pioneer Strategic Income Fund CL C (MC)	A	Dividend			Sold	06/21/16	J	A	
34. JP Morgan Government Bond /Fund CL C (MC)	A	Dividend			Sold	06/21/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

Coogler, Lawrence S.

Date of Report

11/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. BlackRock Large Cap Growth Fund C (MC)	A	Dividend			Sold	06/21/16	J	A	
36. Ivy Mid Cap Growth Fund CL C (MC)	A	Dividend			Sold	06/21/16	J	A	
37. Pioneer Disciplined Value Fund CL C (MC)	A	Dividend			Sold	06/21/16	J	A	
38. Oak Ridge Small Cap Growth Fund Class C (MC)	A	Dividend			Sold	06/21/16	J	A	
39. Oppenheimer International Growth Fd CL C (MC)	A	Dividend			Sold	06/21/16	J	A	
40. Pioneer Fund Class C (MC)	A	Dividend			Sold	06/21/16	J	A	
41. Franklin Sm Cap Val FD C (MC)	A	Dividend			Sold	06/21/16	J	A	
42. Pioneer Bond Fund C (MC)	A	Int./Div.	J	T	Buy	06/22/16	J		
43. Pioneer Bond Fund C (MC)					Buy (add'l)	10/14/16	J		
44. Pioneer Bond Fund C (MC)					Buy (add'l)	12/27/16	J		
45. Pioneer Classic Balanced Fund C (MC)	A	Int./Div.	K	T	Buy	06/22/16	J		
46. Pioneer Classic Balanced Fund C (MC)					Buy (add'l)	10/14/16	J		
47. Pioneer Classic Balanced Fund C (MC)					Buy (add'l)	12/27/16	J		
48. Pioneer Equity Income Fund C (MC)	B	Int./Div.	K	T	Buy	06/22/16	J		
49. Pioneer Equity Income Fund C (MC)					Buy (add'l)	10/14/16	J		
50. Pioneer Equity Income Fund C (MC)					Buy (add'l)	12/27/16	J		
51. Pioneer Fundamental Growth Fund C (MC)	A	Int./Div.	K	T	Buy	06/22/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

Coogler, Lawrence S.

Date of Report

11/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Pioneer Fundamental Growth Fund C (MC)					Buy (add'l)	10/14/16	J		
53. Pioneer Fundamental Growth Fund C (MC)					Buy (add'l)	12/27/16	J		
54. Pioneer Real Estate Shares C (MC)	A	Int./Div.	J	T	Buy	06/22/16	J		
55. Pioneer Real Estate Shares C (MC)					Buy (add'l)	10/14/16	J		
56. Pioneer Real Estate Shares C (MC)					Buy (add'l)	12/27/16	J		
57. Pioneer Strategic Income Fund C (MC)	A	Int./Div.	J	T	Buy	06/22/16	J		
58. Pioneer Strategic Income Fund C (MC)					Buy (add'l)	10/24/16	J		
59. Pioneer Strategic Income Fund C (MC)					Buy (add'l)	12/27/16	J		
60. Egshares Emerging Mkts Cons ETF(prev Emerging Global Sh)	A	Dividend			Sold	04/28/16	J	A	
61. Vaneck Vectors JPM Emer Mkts LOC Cur BD ETF(prv Mkt Vectors EMRG Mkts)	A	Dividend			Sold	05/24/16	J	A	
62. SPDR Barclays Cap High Yld Bd ETF (prv SPDR Barclays High Yld Bd ETF)	A	Dividend			Sold	05/24/16	J	A	
63. Pwrshares Emerg Mks Svrgn Debt ETF (prv Powershares Global Exchange)	A	Dividend			Sold	05/24/16	J	A	
64. ISHARES MSCI EAFE ETF		None			Sold	05/24/16	L	A	
65. ISHARES IBOXX SINVESTMENT GRADE CORP BOND ETF (MC)	A	Dividend	J	T					
66. ISHARES MBS ETF (MC)	A	Dividend	K	T					
67. ISHARES 3YR TREASURY BOND ETF (MC)	A	Dividend	K	T					
68. VANGUARD INTERMEDIATE TERM BOND ETF (MC)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

Coogler, Lawrence S.

Date of Report

11/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. VANGUARD SHORT TERM BOND ETF (MC)	A	Dividend	K	T					
70. SECTOR MATERIALS SELECT SECTOR SPDR ETF (MC)	A	Dividend	J	T					
71. SECTOR HEALTHCARE SELECT SECTOR SPDR ETF (MC)	A	Dividend	K	T					
72. SECTOR CONSUMER STAPLES SELECT SECTOR SPDR ETF (MC)	A	Dividend	K	T					
73. CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF (MC)	A	Dividend	K	T					
74. SECTOR ENERGY SELECT SECTOR SPDR ETF (MC)	A	Dividend	K	T					
75. SECTOR INDUSTRIAL SELECT SECTOR SPDR ETF (MC)	B	Dividend	K	T					
76. SELECT UTILITIES SELECT SECTOR SPDR ETF (MC)	A	Dividend	K	T					
77. VANGUARD VALUE ETF (MC)	A	Dividend	L	T	Buy	07/29/16	L		
78. VANGUARD GROWTH ETF (MC)	A	Dividend	K	T	Buy	07/29/16	L		
79. POWERSHARES PREFERRED ETF (prv Powershares Preferred Portfolio) (MC)	A	Dividend	J	T	Buy (add'l)	09/01/16	J		
80. ISHARES GOLD TRUST (MC)		None	J	T	Buy	09/01/16	J		
81. ISHARES TIPS BOND ETF (MC)	A	Dividend			Sold	09/01/16	J	A	
82. ISHARES CORE MSCI EMERG MKTS ETF (MC)	A	Dividend			Sold	09/01/16	J	A	
83. VANGUARD FINANCIALS ETF (MC)	A	Dividend	K	T					
84. VANGUARD INFORMATION (MC) TECHNOLOGY ETF	A	Dividend	K	T					
85. VANGUARD TELECOM SERVICES ETF (MC)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

Coogler, Lawrence S.

Date of Report

11/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86.	VANGUARD INTERMEDIATE TERM BOND ETF (LSC)	A	Dividend	J	T	Buy	05/24/16	J	
87.	VANGUARD INTERMEDIATE TERM BOND ETF (LSC)				Buy (add'l)	07/20/16	J		
88.	VANGUARD INTERMEDIATE TERM BOND ETF (LSC)				Buy (add'l)	09/01/16	J		
89.	VANGUARD FTSE DEVEL MKTS ETF (LSC)	A	Dividend	J	T	Buy	05/24/16	J	
90.	VANGUARD FTSE DEVEL MKTS ETF (LSC)				Buy (add'l)	09/01/16	J		
91.	VANGUARD FTSE EMERG MKTS ETF (LSC)	A	Dividend		Buy	05/24/16	J		
92.	VANGUARD FTSE EMERG MKTS ETF (LSC)				Sold	09/01/16	J	A	
93.	VANGUARD REIT INDEX ETF (LSC)	A	Dividend	J	T	Buy	05/24/16	J	
94.	VANGUARD GROWTH ETF (LSC)	A	Dividend	J	T	Buy	05/24/16	J	
95.	VANGUARD GROWTH ETF (LSC)				Buy (add'l)	07/20/16	J		
96.	VANGUARD VALUE ETF (LSC)	A	Dividend	K	T	Buy	05/24/16	J	
97.	VANGUARD VALUE ETF (LSC)				Buy (add'l)	07/20/16	J		
98.	VANGUARD SMALL CAP ETF (LSC)	A	Dividend	J	T	Buy	05/24/16	J	
99.									
100.									
101.									
102.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 12

Name of Person Reporting

Coogler, Lawrence S.

Date of Report

11/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.									
104.									
105.									
106.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

Coogler, Lawrence S.

Date of Report

11/09/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note:

The prepaid tuition plans are state plans that I have no control over. They are valued at their cost.

The incomes listed for the LLC's and the Ltd are K-1 incomes. The values for these assets are stated at my ownership percentage.

The amounts listed in Section V as gifts for travel and etc on lines 1, 2, and 6 were estimated because the persons providing the gifts are friends who would not disclose the actual amounts spent. In addition, I consulted travelocity in part, to obtain and estimate of the value of such travel. The other items listed in this section as gifts are estimated from comparable sales or information available to me.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Coogler, Lawrence S.

Date of Report

11/09/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Lawrence S. Coogler**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544