

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WERLEIN, JR., EWING	2. Court or Organization USDC/SO. DIST. TEXAS	3. Date of Report 06/12/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2016 to 12/31/2016
7. Chambers or Office Address 515 RUSK AVENUE, ROOM 11521 HOUSTON, TX 77002-2605		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director (and until 02/01/17, Chairperson of The Methodist Hospital Board)	The Methodist Hospital, Houston, Texas (d/b/a Houston Methodist Hospital)
2. Until 02/01/17, as Chairperson of TMH Board, director ex officio w/vote	Medvest Holdings, Inc.; Methodist Health Centers; Methodist International; (cont'd in Note (1) in Part VIII)
3. Until 02/01/17, as Chairman of TMH Board, director ex officio w/o vote:	Diagnostic Center Hospital Corp. of Texas; Medvest Inc. (cont'd in Note (2) in Part VIII)
4. Trustee	Trust No. 2
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Halliburton com.	B	Dividend	L	T					
2. Halliburton com.	A	Dividend	K	T					
3. BP PLC, ADR	C	Dividend	K	T					
4. Exxon Mobil com.	D	Dividend	N	T					
5. Anadarko Petroleum Corp. com.	A	Dividend	K	T					
6. Wal-Mart Stores com.	A	Dividend	J	T					
7. Exxon-Mobil com.	B	Dividend	L	T					
8. Johnson & Johnson, com.	D	Dividend	N	T					
9. Conoco Phillips, com.	B	Dividend	M	T					
10. Texas Capital Bankshares, com.		None	N	T					
11. HCP Inc., com.	A	Dividend	J	T					
12. CNL Lifestyle Props Inc. units	A	Distribution	J	V					See note (3) in Part VIII
13. Hines REIT, units	A	Dividend	J	V					See note (3) in Part VIII
14. AT&T, com.	C	Dividend	L	T					
15. Bristol-Myers Squibb, com.	A	Dividend	K	T					
16. Berkshire Hathaway CL B com.		None	L	T					
17. Cisco Systems, com.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Comcast Corp. Class A	A	Dividend	K	T					
19. HP, Inc. com	A	Dividend	J	T					
20. Hewlett Packard Enterprise Co., com.	A	Dividend	J	T					
21. Intel Corp., com.	B	Dividend	L	T					
22. IBM, com.	B	Dividend	K	T					
23. JP Morgan Chase, com.	A	Dividend	K	T					
24. Pepsico, Inc., com.	B	Dividend	K	T					
25. Target Corp., com.	B	Dividend	L	T					
26. Sector SPDR Financial, com.	A	Dividend	K	T	Buy (add'l)	12/13/16	K		
27. Phillips 66, com.	B	Dividend	L	T					
28. Enterprise Prdts Prtn., LP	B	Dividend	K	T					
29. --Kinder Morgan, com.	A	Dividend	K	T	Buy	02/24/16	K		
30. --Consumer Discretionary SPDR, com.	A	Dividend	K	T	Buy	12/09/16	K		
31. --Real Estate Select Sector SPDR	B	Dividend			Spinoff (from line 26)	09/22/16	J		
32.					Sold	12/12/16	J		
33. MUTUAL FUNDS:									
34. Fidelity MM Fund	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. Fidelity Low Price Stock	F	Dividend	P1	T					
36. Fidelity Contrafund	E	Dividend	O	T					
37. Fidelity Mid-Cap Stock	F	Dividend	O	T					
38. Loomis Sayles Bond Fund	B	Dividend	K	T					
39. Oakmark Class I Fund	B	Dividend	L	T					
40. Clipper Fund	C	Dividend	K	T					
41. FMI Large Cap Fund	C	Dividend	K	T					
42. Harbor Capital Appreciation Fund	B	Dividend	K	T					
43. Oakmark Global Sel Cl I Fund	A	Dividend	K	T					
44. Touchstone Sands Capital Select		None			Sold	04/27/16	L	E	
45. Vanguard Prime MM Fund	A	Dividend			Redeemed	06/03/16	M		
46. Invesco SMCP Value A		None			Sold	09/02/16	K	B	
47. Artisan Intl Val Invs	A	Dividend	K	T					
48. Fed Kaufmann LGCPI		None	K	T					
49. LPL: Ins'd bank cash dep. sweep	A	Interest	K	T					
50. John Hancock Global Absolute		None			Sold	06/23/16	K		
51. Keely Small Cap Div. Value	A	Dividend			Sold	06/27/16	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Western Asset Core Plus Bond	C	Dividend	L	T					
53. Blackrock Nat'l Mun. Instl. Cl.	A	Dividend	K	T	Buy	09/02/16	K		
54. John Hancock Bond Cl. 1	A	Dividend	K	T	Buy	06/23/16	K		
55. JP Morgan Large Cap Growth Sel.	C	Dividend	L	T	Buy	04/27/16	L		
56. New Perspective Fund 2	B	Dividend	K	T	Buy	09/02/16	K		
57. Nuveen All American Mun. Bd. Cl 1	A	Dividend	K	T	Buy	09/02/16	K		
58. BONDS and U.S. TREASURY NOTES:									
59. Austin ISD Tex. U/T Ref. Ser. A	A	Interest			Redeemed	08/01/16	K	A	
60. Univ Tex Perm Univ FD RFDG Ser. B	B	Interest	K	T					
61. ACCOUNTS IN FINANCIAL INST:									
62. Northern Trust Bank, Houston, TX (Accounts)	A	Interest	M	T					
63. Bank of America, Houston, TX (Accounts)	A	Interest	M	T					
64. Comerica Bank, Houston, TX (Accounts)	A	Interest	M	T					
65. ML Bank Deposit Program	A	Interest	J	T					
66. Allegiance Bank, Houston (Accounts)	B	Interest	M	T	Open	06/24/16	M		
67. REAL ESTATE:									
68. Condo-Larimer County, CO	E	Rent	N	W					

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69. Working Interest-Cabot U., Andrews County, TX	C	Royalty	J	W					
70. Royalty Interest, Wheeler County, TX	A	Royalty	J	W					
71. Royalty Interests, Martin and Dawson Counties, TX	D	Royalty	K	W					
72. Royalty Interest, Glasscock County, TX (Laredo Pet)	C	Royalty	K	W					
73. Fractional int. in real property, Kerr County, TX		None	L	W					
74. Royalty Interest, Howard County, TX	A	Royalty	J	W					
75. Royalty Interest, Howard County, TX	C	Rent	J	W					
76. RETIREMENT & IRA ACCOUNTS:									
77. IRA Acct: John Hancock Ind. Ret.Annuity		None	K	V					See Note (4) in Part VIII
78. IRA ROLLOVER ACCOUNT:									
79. --Pfizer Inc., com.	C	Dividend	L	T					
80. --Citibank FDIC Ins. Bank Deposit Sweep	A	Dividend	K	T					
81. --Comcast Corp. New Cl. A	B	Dividend	M	T					
82. --Berkshire Hathaway CL B		None	M	T					
83. --Home Depot, com.	D	Dividend	N	T					
84. --Microsoft, com.	B	Dividend	L	T					
85. --Stryker Corp., com.	B	Dividend	L	T					

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86. --Thermo Fish. Sc., com.	A	Dividend	L	T					
87. --ADT Corp., com.	A	Dividend			Sold	05/02/16	J	D	
88. --Fidelity Low Priced Stock	E	Dividend	O	T					
89. --Fidelity Small Cap Disc. Fund	B	Dividend	N	T					
90. --AMG Yacktman Fund	E	Dividend	O	T					
91. --Fidelity Focused Stock Fund	B	Dividend			Sold	12/30/16	M	E	
92. --Fidelity OTC Port	D	Dividend	N	T					
93. IRA ROLLOVER ACCT NO. 2:									
94. CORPORATE BONDS/NOTES IN IRA ROLLOVER ACCT #2:									
95. --JPMorgan Chase & Co. Notes due 01/15/16	B	Interest			Redeemed	01/15/16	L		
96. --State Street Corp. Notes due 3/7/16	B	Interest			Redeemed	03/07/16	L		
97. --Caterpillar Financial due 3/1/18	B	Interest	L	T					
98. --Coca Cola Notes due 4/1/18	B	Interest	L	T					
99. --Apple Inc. Notes due 5/3/18	A	Interest	L	T					
100. --Berkshire Hathaway Notes due 5/15/18	B	Interest	L	T					
101. --Amgen Notes due 5/22/17	B	Interest	M	T					
102. --Goldman Sachs Notes due 10/23/19	C	Interest	M	T					

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103. --Morgan Stanley Notes due 07/23/19	C	Interest	M	T					
104. --Wells Fargo Notes due 09/08/17	B	Interest	L	T					
105. --Fedl Home Loan Mgt Note due 09/28/17	A	Interest	L	T					
106. --Fedl Home Loan Bank Debenture due 11/2/16	A	Interest			Redeemed	11/02/16	L		
107. --AT&T Bonds due 06/30/20	B	Interest	L	T	Buy	11/09/16	M		
108. EQUITIES IN IRA ROLLOVER ACCT #2:									
109. --American Express, com.	A	Dividend	K	T					
110. --ChevronTexaco, com.	C	Dividend	M	T					
111. --KO, com.	C	Dividend	L	T					
112. --Exxon Mobil, com.	D	Dividend	M	T					
113. --GE, com.	B	Dividend	K	T					See Note (5) in Part VIII
114. --J.P. Morgan Chase, com.	B	Dividend	L	T					See Note (5) in Part VIII
115. --JNJ, com.	B	Dividend	L	T					
116. --Merck, com.	A	Dividend	K	T					
117. --PEP, com.	B	Dividend	K	T					
118. --Walgreen, com.	A	Dividend	K	T					
119. --S&P Global, com. (fka McGraw-Hill)	A	Dividend	K	T					Name change

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 ~\$25,000,001 - \$50,000,000 Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	P4 ~More than \$50,000,000 S ~Assessment W ~Estimated	T ~Cash Market	

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06/12/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Altria Group, com.	D	Dividend	M	T					
121. --Automatic Data Proc., com.	A	Dividend	K	T					
122. --Occidental Pete Corp. Cal., com.	B	Dividend	K	T					
123. --Caterpillar, Inc., com.	A	Dividend			Sold	02/01/16	J		
124. --Praxair Inc., com.	A	Dividend	K	T					
125. --Procter & Gamble., com.	B	Dividend	K	T					
126. --Target Corp., com.	A	Dividend	K	T					
127. --United Technologies Corp., com.	A	Dividend	K	T					
128. --Texas Instruments, com.	B	Dividend	L	T					
129. --Abbott Labs, com.	A	Dividend	K	T					
130. --McDonalds, com.	B	Dividend	K	T					
131. --Philip Morris Intl, com.	D	Dividend	M	T					
132. --Total SA., com.	A	Dividend	K	T					
133. --IBM, com.		None			Sold	02/01/16	K		
134. --Nestle Sponsored ADR, com.	A	Dividend	J	T					
135. --Roche Holdings Ltd. Spon. ADR, com.	B	Dividend	K	T					
136. --Franklin Resources, com.	A	Dividend			Sold	02/01/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --Walt Disney Co., com.	A	Dividend	K	T					
138. --Air Products & Chems., com.	A	Dividend	K	T					
139. --Black Rock, com.	B	Dividend	K	T					
140. --Novo Nordisk AS ADR, com.	A	Dividend	K	T					
141. --Time Warner Cable, com.	A	Dividend			Sold	02/01/16	K	E	
142. --Kinder Morgan, com.	A	Dividend	J	T					
143. --Abbvie Inc., com.	B	Dividend	K	T					
144. --Canadian Pac Ry Ltd., com.	A	Dividend	J	T					
145. --State Street Corp., com.	A	Dividend	J	T					
146. --Novartis AG Spon. ADR, com.	A	Dividend	K	T					
147. --Celgene, com.		None	J	T					
148. --Diageo PLC Spon. ADR New, com.	A	Dividend	J	T					
149. --Chubb Ltd., com (fka Ace Ltd.)	A	Dividend	K	T					Name change
150. --Intercontinental Exchange Group, com.	A	Dividend	K	T					
151. --VISA, com.	A	Dividend	K	T					
152. --Constellation Brands, com.	A	Dividend	K	T	Buy	02/01/16	K		
153. --Intuitive Surgical, com.		None	K	T	Buy	02/01/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --Microsoft, com.	B	Dividend	L	T	Buy	02/01/16	L		
155. --California Res., com.		None			Spinoff (from line 122)	03/29/16	J		
156.					Sold	05/13/16	J	A	
157. --Versum Matls, com.		None	J	T	Spinoff (from line 138)	10/03/16	J		
158. CASH ACCOUNTS IN IRA ROLLOVER ACCT NO. 2:									
159. --Raymond James Ins'd Bank Dep Program	A	Interest	L	T					
160. IRA ROLLOVER NO. 3:									
161. --Bank of America NA ins. cash account	A	Interest	J	T					
162. --ML cash account (Y)									
163. --Lord Abbett Short Fund	E	Dividend	O	T					
164. --iShares Barclays TIPS BO	B	Dividend	M	T					
165. --Oppenheimer Senior Floating Rate	C	Dividend	L	T					
166. --AT&T Inc GLB bonds due 3/1/19	B	Interest	L	T					
167. --Bear-Stearns GLB bonds due 2/1/18	C	Interest	K	T					
168. --BNP Paribas Ser. Mtn. bonds due 12/12/18	B	Interest	L	T					
169. --ConocoPhillips bonds due 2/1/19	C	Interest	L	T					
170. --Goldman Sachs Group Inc. bonds due 2/15/19	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --Hewlett Packard GLB bonds due 1/14/19	B	Interest	L	T					
172. --JPMorgan Chase & Co. bonds due 1/28/19	B	Interest	L	T					
173. --Travelers Cos. Sr. Notes due 5/15/18	C	Interest	L	T					
174. --USD Bank Nova Scotia bonds due 10/30/18	B	Interest	L	T					
175. --Verizon Comm. bonds due 4/1/19	B	Interest			Redeemed	04/08/16	L		
176. --AT&T Inc., com.	D	Dividend	M	T					
177. TRUST NO. 2:									
178. --Fidelity MM	A	Dividend	K	T					
179. --Fidelity Low Price Stock	E	Dividend	N	T					
180. --AMG Yacktman Fund	D	Dividend	M	T	Sold (part)	04/07/16	K	C	
181. --Fidelity Small Cap Discovery Fund	A	Dividend	M	T					
182. --Fidelity OTC Portfolio Fund	D	Dividend	M	T					
183. --Dr. Pepper Snapple, com.	A	Dividend	K	T					
184. --Kroger, com.	A	Dividend			Sold	12/12/16	K		
185. --Valero Energy, com.	A	Dividend			Sold	12/12/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$5,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

(1) Part I POSITIONS, line 2, also the following subsidiaries: The Methodist Hospital Foundation; The Methodist Hospital Research Institute, TMH Health Care Group, and TMH Medical Office Buildings Condominium Association. On and after 02/01/17, when no longer Chairperson of the Board, but as Senior Chair of The Methodist Hospital Board, I was a member with vote of the following subsidiaries only: Methodist Health Centers, The Methodist Hospital Foundation, The Methodist Hospital Research Institute, and TMH Health Care Group.

(2) Part I POSITIONS, line 3, also the following subsidiaries: Methodist Willowbrook Medical Office Bldgs Condominium Association; Methodist Willowbrook Medical Office Bldgs II Condominium Association; SJMH-Alexander Condominium Association; San Jacinto Methodist Hospital; SJMH Condominium Association; The Methodist Hospital Condominium Association; TMH Medical Office Buildings; and Methodist West Houston Medical Office Building Condominium Association; Houston Methodist St. Catherine Medical Office Building Condominium Association; and Houston Methodist The Woodlands Medical Office Building Condominium Association. On and after 02/01/17, when no longer Chairperson of The Methodist Hospital Board, I was no longer a director in any capacity of any of the foregoing named entities on line 3.

(3) Part VII, page 4, lines 12 & 13: The year-end values of CNL Lifestyle Props. Inc. units, and Hines REIT, which are real estate REITS that are not publicly traded, are derived from the portfolio manager through whom the asset was purchased.

(4) Part VII, page 8, line 77: The year-end value of IRA account in John Hancock Ind. Retirement Annuity, which is not publicly traded, was provided by John Hancock Life Ins. Co.

(5) Part VII, p. 10, between line 113 and line 114. My 2015 Report at this point mistakenly included "--INTC, com." which had all been sold on 08/06/15, not "part" as shown. Thus, this IRA Rollover account owned no INTC on 12/31/15. This Note makes that correction.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ EWING WERLEIN, JR.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544