

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WETTRE, LEDA D.	2. Court or Organization U.S. District Court, New Jersey	3. Date of Report 08/13/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Hon. Leda Dunn Wettre, U.S.M.J. U.S. District Court, District of New Jersey 50 Walnut Street Newark, NJ 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/13/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	W-SYSTEMS CORP (SALARY)
2. 2016	PROPEL BUSINESS SERVICES, INC (SALARY)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 16

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/13/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/13/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK OF AMERICA ACCOUNTS (CASH)	A	Interest	L	T					
2. CAPITAL ONE ACCOUNT (CASH)	A	Interest	K	T					
3. TD BANK ACCOUNT (CASH)	A	Int./Div.	K	T					
4. JP MORGAN CHASE BANK ACCOUNT (CASH)	A	Int./Div.	M	T					
5. METLIFE WHOLE LIFE POLICY		None			Closed	11/10/16	L	D	
6. W-SYSTEMS CORP. ADP 401K PLAN (cash)		None			Distributed	09/01/16	M		
7. ROBINSON, WETTRE & MILLER LLC PROFIT SHARING PLAN (cash) (Y)									
8. 529 #1 (H)									
9. CHARLES SCHWAB MODERATELY AGGRESSIVE TRACK: MODERATE PORTFOLIO	E	Int./Div.	M	T					
10. 529 #2 (H)									
11. CHARLES SCHWAB MODERATELY AGGRESSIVE TRACK: CONSERVATIVE PORTFOLIO	E	Int./Div.	M	T					
12. IRA #1 (H)									
13. AMERICAN FUNDS AMERICAN BALANCED FUND - A	A	Dividend			Redeemed	09/12/16	K		
14. AMERICAN FUNDS SMALLCAP WORLD FUND - A		None			Redeemed	09/12/16	J		
15. IRA #2 (H)									
16. AMERICAN FUNDS AMERICAN BALANCED FUND - A	A	Dividend			Redeemed	09/12/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 16

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17. AMERICAN FUNDS NEW WORLD FUND - A		None			Redeemed	09/12/16	J		
18. IRA #3 (H)									
19. CAMBIAR INTL EQUITY INC (CAMIX)		None	J	T	Buy	09/27/16	J		
20. CAUSEWAY EMERGING MKTS INST (CWMIX)		None	J	T	Buy	09/27/16	J		
21. DELAWARE VALUE INSTL (DDVIX)		None	J	T	Buy	09/27/16	J		
22. EDGEWOOD GROWTH INSTL (EGFIX)		None	J	T	Buy	09/27/16	J		
23. HARDING LOEVNER INTL EQTY INST (HLMIX)		None	J	T	Buy	09/27/16	J		
24. LAZARD INTL STRAT EQ PTF INST (LISIX)		None	J	T	Buy	09/27/16	J		
25. LYRICAL US VALUE EQUITY INSTL (LYRIX)		None	J	T	Buy	09/27/16	J		
26. METROPOLITAN WEST TOT RET BD I (MWTIX)	A	Dividend	J	T	Buy	09/27/16	J		
27. RIVERPARK/WEDGEWOOD INST (RWGIX)		None	J	T	Buy	09/27/16	J		
28. IRA #4 (H)									
29. MORGAN STANLEY BANK N.A. (CASH)	A	Interest	N	T	Open	09/08/16	O		
30. ALPS SECTOR DIVIDEND DOGS (SDOG)	A	Dividend	J	T	Buy	09/14/16	J		
31.					Buy (add'l)	09/27/16	J		
32.					Buy (add'l)	09/29/16	J		
33. FIRST TR MLD CP COR ALPHDX ETF (FNN)	A	Dividend	K	T	Buy	09/14/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 16

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34.					Buy (add'l)	09/27/16	J		
35.					Buy (add'l)	09/29/16	J		
36. FIRST TR VALU LN DIV IDX (FVD)	A	Dividend	K	T	Buy	09/14/16	J		
37.					Buy (add'l)	09/27/16	J		
38.					Buy (add'l)	09/29/16	J		
39. FIRST TRUST DJ GL SEL DVD (FGD)	A	Dividend	J	T	Buy	09/14/16	J		
40.					Buy (add'l)	09/27/16	J		
41.					Buy (add'l)	09/29/16	J		
42. FIRST TRUST DW FOCUS 5 INTL (IFV)	A	Dividend	J	T	Buy	09/14/16	J		
43.					Buy (add'l)	09/27/16	J		
44.					Buy (add'l)	09/29/16	J		
45. FIRST TRUST FNCL ALPHADDEX ETF (FXO)	A	Dividend	K	T	Buy	09/14/16	J		
46.					Buy (add'l)	09/27/16	J		
47.					Buy (add'l)	09/29/16	J		
48. FIRST TRUST IPOX 100 INDEX F (FPX)	A	Dividend	J	T	Buy	09/14/16	J		
49.					Buy (add'l)	09/27/16	J		
50.					Buy (add'l)	09/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 16

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

51. FIRST TRUST LARGE CAP CORE ETF (FEX)	A	Dividend	J	T	Buy	09/14/16	J		
52.					Buy (add'l)	09/27/16	J		
53.					Buy (add'l)	09/29/16	J		
54. FIRST TRUST NORTH AMERICAN E (EMLP)	A	Dividend	J	T	Buy	09/14/16	J		
55.					Buy (add'l)	09/27/16	J		
56.					Buy (add'l)	09/29/16	J		
57. OSHARES FTSE US QUALITY DIV (OUSA)	A	Dividend	K	T	Buy	09/14/16	J		
58.					Buy (add'l)	09/27/16	J		
59.					Buy (add'l)	09/29/16	J		
60. AB HIGH INCOME ADV (AGDYX)	A	Dividend	J	T	Buy	09/14/16	J		
61.					Buy (add'l)	09/27/16	J		
62.					Buy (add'l)	09/29/16	J		
63. AMG YACKTMAN SVC (YACKX)		None	J	T	Buy	09/14/16	J		
64.					Buy (add'l)	09/27/16	J		
65.					Buy (add'l)	09/29/16	J		
66. BLACKROCK EQUITY DIVIDEND I (MADVX)	A	Dividend	K	T	Buy	09/14/16	J		
67.					Buy (add'l)	09/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 16

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
68.					Buy (add'l)	09/29/16	J		
69. CLEARBRIDGE AGGRESSIVE GROWTH I (SAGYX)		None	K	T	Buy	09/14/16	J		
70.					Buy (add'l)	09/27/16	J		
71.					Buy (add'l)	09/29/16	J		
72. FIRST EAGLE GLOBAL I (SGIIX)		None	J	T	Buy	09/14/16	J		
73.					Buy (add'l)	09/27/16	J		
74.					Buy (add'l)	09/29/16	J		
75. JANUS BALANCED I (JBALX)	A	Dividend	K	T	Buy	09/14/16	J		
76.					Buy (add'l)	09/27/16	J		
77.					Buy (add'l)	09/29/16	J		
78. JANUS GLOBAL REAL ESTATE I (JERIX)	A	Dividend	J	T	Buy	09/14/16	J		
79.					Buy (add'l)	09/27/16	J		
80.					Buy (add'l)	09/29/16	J		
81. JANUS INTECH GLB INC MGD VLT I (JGDIX)	A	Dividend	J	T	Buy	09/14/16	J		
82.					Buy (add'l)	09/27/16	J		
83.					Buy (add'l)	09/29/16	J		
84. JANUS INTECH US MGD VOL I (JRSIX)		None	J	T	Buy	09/14/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 16

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

85.					Buy (add'l)	09/27/16	J		
86.					Buy (add'l)	09/29/16	J		
87. LAZARD INTL STRAT EQ PTF INST (LISIX)		None	J	T	Buy	09/14/16	J		
88.					Buy (add'l)	09/27/16	J		
89.					Buy (add'l)	09/29/16	J		
90. LORD ABBETT BOND DEB F (LBDFX)	A	Dividend	K	T	Buy	09/14/16	J		
91.					Buy (add'l)	09/27/16	J		
92.					Buy (add'l)	09/29/16	J		
93. LORD ABBETT CALIBRTD DIV GW F (LAMFX)	A	Dividend	J	T	Buy	09/14/16	J		
94.					Buy (add'l)	09/27/16	J		
95.					Buy (add'l)	09/29/16	J		
96. LORD ABBETT GROWTH LEADERS F (LGLFX)		None	J	T	Buy	09/14/16	J		
97.					Buy (add'l)	09/27/16	J		
98.					Buy (add'l)	09/29/16	J		
99. LORD ABBETT MULT ASET BAL OP F (BLAFX)	A	Dividend	J	T	Buy	09/14/16	J		
100.					Buy (add'l)	09/27/16	J		
101.					Buy (add'l)	09/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 16

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
102. LYRICAL US VALUE EQUITY INSTL (LYRIX)		None	J	T	Buy	09/14/16	J		
103.					Buy (add'l)	09/27/16	J		
104.					Buy (add'l)	09/29/16	J		
105. PRINCIPAL PREFERRED SEC INST (PPSIX)	A	Dividend	J	T	Buy	09/14/16	J		
106.					Buy (add'l)	09/27/16	J		
107.					Buy (add'l)	09/29/16	J		
108. PRINCIPAL SM MDCP DIV INC P (PMDPX)	A	Dividend	K	T	Buy	09/14/16	J		
109.					Buy (add'l)	09/27/16	J		
110.					Buy (add'l)	09/29/16	J		
111. PRUDENTIAL JENNISON HLTH SCI Z (PHSZX)		None	J	T	Buy	09/14/16	J		
112.					Buy (add'l)	09/27/16	J		
113.					Buy (add'l)	09/29/16	J		
114. WELLS FARGO DISC S CORE INST (EVSIX)		None	K	T	Buy	09/14/16	J		
115.					Buy (add'l)	09/27/16	J		
116.					Buy (add'l)	09/29/16	J		
117. WELLS FARGO SPECIAL MDCP VL I (WFMLX)		None	K	T	Buy	09/14/16	J		
118.					Buy (add'l)	09/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119.					Buy (add'l)	09/29/16	J		
120. BROKERAGE #1 (H)									
121. VANGUARD MID-CAP GR INX ADMIRAL (VMGMX)	A	Dividend	L	T					
122. VANGUARD SMALL-CAP GR INX ADMIRAL (VSGAX)	A	Dividend	L	T					
123. BROKERAGE #2 (H)									
124. ALPS SECTOR DIVIDEND DOGS (SDOG)		None	J	T	Buy	11/09/16	J		
125. FIRST TR VALU LN DIV IDX (FVD)		None	J	T	Buy	09/26/16	J		
126.					Buy (add'l)	09/27/16	J		
127.					Buy (add'l)	11/09/16	J		
128. FIRST TRUST DJ GL SEL DVD (FGD)		None	J	T	Buy	09/26/16	J		
129.					Buy (add'l)	09/27/16	J		
130.					Buy (add'l)	11/09/16	J		
131. FIRST TRUST NORTH AMERICAN E (EMLP)		None	J	T	Buy	09/26/16	J		
132.					Buy (add'l)	09/27/16	J		
133.					Buy (add'l)	11/09/16	J		
134. OSHARES FTSE US QUALITY DIV (OUSA)	A	Dividend	J	T	Buy	09/26/16	J		
135.					Buy (add'l)	09/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136.					Buy (add'l)	11/09/16	J		
137. AMERICAN FUNDS AMCAP FUND - A	A	Dividend	J	T	Sold (part)	11/09/16	J	B	
138. AMERICAN FUNDS AMERICAN BALANCED FUND (AMBFX)	A	Dividend	J	T	Sold (part)	11/09/16	J	B	
139. AMERICAN FUNDS CAPITAL INCOME BUILDER - A	A	Dividend			Sold	09/26/16	J	A	
140. AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND (WGIFX)	A	Dividend	J	T	Sold (part)	11/19/16	J	A	
141. AMERICAN FUNDS EUROPACIFIC GROWTH FUND - A		None			Sold	09/26/16	J	A	
142. AMERICAN FUNDS FUNDAMENTAL INVESTORS (FINFX)	A	Dividend	J	T	Sold (part)	11/09/16	J	B	
143. AMERICAN FUNDS THE GROWTH FUND OF AMERICA (GFFFX)		None	J	T	Sold (part)	11/09/16	J	B	
144. AMERICAN FUNDS THE INVESTMENT COMPANY OF AMERICA - A	A	Dividend			Sold	09/26/16	J	A	
145. AMERICAN FUNDS NEW WORLD FUND A		None			Sold	09/26/16	J	A	
146. AMERICAN FUNDS SMALLCAP WORLD FUND (SMCFX)		None	J	T	Sold (part)	11/09/16	J	A	
147. AMG YACHTMAN SV (YACKX)		None	J	T	Buy	09/26/16	J		
148.					Buy (add'l)	09/27/16	J		
149.					Buy (add'l)	11/09/16	J		
150. BLACKROCK EQUITY DIVIDEND I (MADVX)	A	Dividend	J	T	Buy	09/26/16	J		
151.					Buy (add'l)	09/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
152.					Buy (add'l)	11/09/16	J		
153. JANUS BALANCED I (JBALX)	A	Dividend	J	T	Buy	09/26/16	J		
154.					Buy (add'l)	09/27/16	J		
155.					Buy (add'l)	11/09/16	J		
156. JANUS INTECH GLB INC MGD VLT I (JGDIX)	A	Dividend	J	T	Buy	09/26/16	J		
157.					Buy (add'l)	09/27/16	J		
158.					Buy (add'l)	11/09/16	J		
159. LORD ABBETT BOND DEB F (LBDFX)	A	Dividend	J	T	Buy	09/26/16	J		
160.					Buy (add'l)	09/27/16	J		
161.					Buy (add'l)	11/09/16	J		
162. LORD ABBETT SHORT DURATION INC F (LDLFX)	A	Dividend	J	T	Buy	09/26/16	J		
163.					Buy (add'l)	09/27/16	J		
164.					Buy (add'l)	11/09/16	J		
165. PRINCIPAL PREFERRED SEC INST (PPSIX)	A	Dividend	J	T	Buy	09/26/16	J		
166.					Buy (add'l)	09/27/16	J		
167.					Buy (add'l)	11/09/16	J		
168. PRINCIPAL SM MDCP DIV INC P (PMDPX)	A	Dividend	J	T	Buy	09/26/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 16

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
169.					Buy (add'l)	09/27/16	J		
170.					Buy (add'l)	11/09/16	J		
171. 401(k) #1 (H)									
172. JANUS ENTERPRISE I		None	L	T	Buy	08/16/16	L		
173. TRP PERSONAL STRATEGY GROWTH		None	L	T	Buy	08/16/16	L		
174. 401(k) #2 (H)									
175. FRANKLIN MUTUAL GLOBAL DISC R		None			Sold	09/10/16	K		
176. COLUMBIA SM/MID CAP VALUE R		None			Sold	09/10/16	K		
177. NUVEEN MID CAP INDEX R3		None			Sold	09/10/16	K		
178. THE HARTFORD CAP APPRECIATION R4		None			Sold	09/10/16	K		
179. AMERICAN FUNDS GR FD OF AMERICA R1		None			Sold	09/10/16	L		
180. EATON VANCE LARGE CAP VALUE R	A	Dividend			Sold	09/10/16	K		
181. MM RETIRESMART 2030 R3		None			Sold	09/10/16	N		
182. MFS TOTAL RETURN R2	A	Dividend			Sold	09/10/16	K		
183. FRANKLIN STRATEGIC INCOME R	A	Dividend			Sold	09/10/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/13/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The 2016 report includes significant restructuring and repositioning of assets. This is not intended to reflect transactions, other than as specifically indicated herein.

Part VII, lines 174-183: Inadvertently omitted from the prior report.

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/13/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **LEDA D. WETTRE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544