

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Webber, E. Richard	2. Court or Organization Eastern District of Missouri	3. Date of Report 04/24/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. District Court 111 S. Tenth St., Suite 8 South St. Louis, MO 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Partner (see VIII)	Eureka Land Company (see VIII)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Webber, E. Richard

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Missouri State Judicial Retirement	\$67,966.37
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	David C. Pratt	St. Louis Cardinals Baseball Tickets	\$5,000.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Lincoln Financial Services	Lincoln MKS debt	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U.S. Savings Bonds	A	Interest	J	T					
2. Transamerica Life Ins. Co. Whole Life Insurance Policy	A	Interest	K	T					
3. Savings Acct U.S. Bank	A	Interest	K	T					
4. Franklin Templeton US Gov't Sec. Fund-Class A	B	Dividend	L	T					
5. 1/2 interest in real estate / Scotland County, Missouri	E	Inheritance	N	W					
6. Condominium Lake of the Ozarks, Camden County MO (See VIII)	C	Rent			Sold	11/22/16	L	A	
7. John Hancock Annuity Lifestyle Growth	C	Dividend	K	T					
8. Bank of Kirksville CD	A	Interest	K	T					
9. Bank of Kirksville CDs - 1/2 Interest (grouped)	A	Interest	J	T					
10. Alcoa Aluminum (Common Stock), See Section VIII	A	Dividend	J	T	Buy	03/31/16	J		
11. Alcoa Aluminum (Common Stock), See Section VIII	A	Dividend			Sold	07/12/16	J	A	
12. Alcoa Aluminum (Common Stock), See Section VIII	A	Dividend	J	T	Buy	08/15/16	J		
13. Alcoa Aluminum (Common Stock), See Section VIII	A	Dividend			Sold	10/31/16	J	A	
14. Alcoa Aluminum (Common Stock), See Section VIII	A	Dividend	J	T	Buy	11/30/16	J		
15. Apple (Common Stock)	A	Dividend	J	T					
16. Arconic (Common Stock)	A	Dividend	J	T	Buy	11/30/16	J		
17. AT&T (Common Stock)	A	Dividend	J	T	Buy	11/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Bank of New York Mellon (Common Stock)	A	Dividend	J	T					
19. Bank of New York Mellon (Common Stock)	A	Dividend	J	T	Buy	11/23/16	J		
20. Berkshire Hathaway (Common Stock - Class B)	A	Dividend	K	T					
21. Conoco Phillips (Common stock) UBS	A	Dividend	J	T	Sold (part)	07/12/16	J	A	
22. CSX (Common Stock)	A	Dividend	J	T					
23. Express Scripts (Common Stock)	A	Dividend	J	T	Buy	09/15/16	J		
24. Ford Motor Company (Common Stock)	A	Dividend	J	T	Buy	01/11/16	J		
25. Ford Motor Company (Common Stock)	A	Dividend	J	T	Buy	02/24/16	J		
26. Ford Motor Company (Common Stock)	A	Dividend			Sold	07/12/16	K	A	
27. Ford Motor Company (Common Stock)	A	Dividend	J	T	Buy	08/15/16	K		
28. General Electric (Common Stock)	A	Dividend	K	T					
29. General Motors (Common Stock)	A	Dividend	K	T					
30. Lowes Companies (Common Stock)	A	Dividend	K	T	Buy	11/23/16	J		
31. McDonald's (Common Stock)	A	Dividend	J	T	Buy	11/23/16	J		
32. Microsoft (Common Stock)	A	Dividend	J	T					
33. National Oilwell Varco (Common stock) UBS	A	Dividend	J	T	Sold (part)	07/12/16	J	A	
34. N O W Inc.com (Common Stock)	A	Dividend	J	T	Buy	05/31/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Potash Corp of Saskatchewan (Common Stock)	A	Dividend			Sold	07/12/16	J	A	
36. Potash Corp of Saskatchewan (Common Stock)	A	Dividend	J	T	Buy	08/15/16	J		
37. Wells Fargo Company (Common Stock)	A	Dividend	K	T					
38. Yum Brands (Common Stock)	A	Dividend	J	T	Buy	11/23/16	J		
39. Centene Co (Common Stock)	A	Dividend	J	T	Buy	11/17/16	J		
40. Conoco Phillips	A	Dividend			Sold	07/12/16	J	A	
41. Danaher Corp	A	Dividend	J	T	Buy	11/06/16	J		
42. Home Depot (Common Stock)	A	Dividend	J	T	Buy	11/17/16	J		
43. National Oilwell Varco	A	Dividend			Sold	07/12/16	J	A	
44. Newell Brands Mutual Fund	A	Dividend	J	T	Buy	11/17/16	J		
45. State Farm Whole Life (64)	A	Dividend	J	T					
46. State Farm Whole Life (67)	A	Dividend	J	T					
47. Lincoln Financial Insurance (whole life)	A	Dividend	J	T					
48. Pimco Income Fund (Bond Fund)	A	Interest	K	T	Sold (part)	11/27/16	J	A	
49. Pimco Income Fund (Bond Fund)	A	Interest	J	T	Buy	02/10/16	J		
50. Pimco Income Fund (Bond Fund)	A	Interest	J	T	Buy	05/31/16	J		
51. FMI International Mutual Fund	A	Dividend	J	T	Buy	05/31/16	K		

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52. T Rowe Price Global Technology Mutual Fund	A	Dividend	J	T	Buy	05/31/16	J		
53. T Rowe Price Global Technology Mutual Fund	A	Dividend	J	T	Buy	12/08/16	J		
54. T Rowe Price QM Small Cap Growth Mutual Fund	A	Dividend	J	T	Buy	05/31/16	J		
55. T Rowe Price QM Small Cap Growth	A	Dividend	J	T	Buy	12/08/16	J		
56. Sun America Mutual Fund (Now AIG Focused Strategy)	A	Dividend	J	T	Buy	05/31/16	J		
57. Alger Capital Appreciations Fund Mutual Fund	A	Dividend	J	T	Buy	05/31/16	J		
58. Glenmeade Large Cap Growth Mutual Fund	A	Dividend	J	T	Buy	05/31/16	K		
59. Bogle Investment Mgt Small Cap	A	Interest	J	T	Sold (part)	05/27/16	J	A	
60. Dodge and Cox International Fund	A	Dividend	J	T	Sold (part)	01/19/16	J	A	
61. Dodge and Cox International Fund	A	Dividend	J	T	Sold (part)	05/27/16	J	A	
62. Oakmark Fd Class 1	A	Dividend	K	T	Sold (part)	05/27/16	K	A	
63. TCW Total Return Bond Fund	A	Int./Div.			Sold	12/05/16	K	C	
64. Third Avenue Real Estate Mutual Fund	A	Dividend			Sold	05/27/16	K	A	
65. Eaton Vance Atlanta Capital SMID Cap Fund (Mutual Fund)	B	Interest	K	T	Sold (part)	05/27/16	J	A	
66. Eaton Vance Atlanta Capital SMID Cap Fund (Mutual Fund)	B	Interest	K	T	Buy	12/08/16	J		
67. Templeton Global Bond Fund	A	Dividend			Sold	05/27/16	K	A	
68. Euro Pacific Growth (Mutual Fund)	A	Dividend			Sold	05/27/16	J	A	

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69. Pimco Foreign Bond Fund	A	Interest	J	T	Buy	05/31/16	J		
70. Vanguard Intermediate Fund (Bond Fund)	A	Interest	J	T	Buy	12/08/16	J		
71. Victory Sycamore Establishment Value Fund (Mutual Fund)	A	Dividend	J	T	Buy	12/08/16	J		
72. Fidelity Real Estate Investment Portfolio (Mutual Fund)	A	Dividend	J	T	Buy	05/31/16	J		
73. Fidelity Real Estate Investment Portfolio (Mutual Fund)	A	Dividend	J	T	Buy	12/08/16	J		
74. Nuveen All American Bond Fund	A	Int./Div.	J	T	Buy	12/14/16	K		
75. Pimco Income Fund International (Bond Fund)	A	Interest	J	T	Buy	05/31/16	J		
76. Fidelity Advisor New Insights Fund Class C (Mutual Fund)	A	Dividend			Sold	12/05/16	J	B	
77. Franklin Templeton Tax Free Inc. (Mutual Fund)	A	Interest	J	T					
78. Fidelity Advisor Materials Fd Class A	A	Dividend	J	T	Buy	12/08/16	J		
79. Oakmark Select	A	Dividend			Sold	05/27/16	J	A	
80. Mainstay Unconstrained Bond Fund	A	Interest			Sold	05/27/16	J	A	
81. Mairs and Power Growth Fund (Mutual Fund)	A	Dividend	J	T					
82. American Balanced Fund (Mutual Fund)	A	Int./Div.	J	T					
83. FMI Large Cap Fund (Mutual Fund)	A	Dividend			Sold	05/27/16	J	A	
84. Oakmark International Fund (Mutual Fund)	A	Dividend			Sold	05/27/16	K	A	
85. RS Select Growth Fund (Now Vickery RS Select Growth Fund)	A	Dividend	J	T	Sold (part)	01/19/16	J	A	

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86. Vanguard Strategic Equity Fund (Mutual Fund)	A	Dividend	K	T					
87. EuroPacific Fd GWA 529 (Mutual Fund)	A	Dividend	K	T					
88. American Fund AMCAP GWA 529 (Mutual Fund)	A	Dividend	K	T					
89. EuroPacific Fd IWM 529 (Mutual Fund)	A	Dividend	K	T					
90. American Fund AMCAP IWM 529 (Mutual Fund)	A	Dividend	K	T					
91. Western Asset Managed Muni C (Legg Mason) (Mutual Fund)	A	Interest	L	T					
92. Western Asset Short Duration Muni C (S&W 1/2 interest) (Legg Mason)	A	Interest	J	T					
93. Legg Mason - Western Asset Managed Muni C (S&W 1/2 interest)	A	Interest	K	T					
94. American Funds ICAA (Mutual Fund)	A	Dividend	J	T					
95. American Funds - New Economy (Mutual Fund)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Personal Information: Name - Ernest R. Webber, Jr. (a/k/a E. Richard Webber)

Section I: Partner, Eureka Land Company - Approximately thirty-five years ago, I and four other men purchased eighty (80) acres of low productive land by each contributing \$3000.00. It is used for hunting and farming. The annual income to me is around \$500.00. There are no officers. There is a small old barn on the premises. I have no leadership role among the five owners.

On 10/31/16 There was an unsolicited reverse split of 1472 shares of Alcoa Aluminium. Shares became 168 shares of Alcoa Aluminum and 490 shares of Arconic.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ E. Richard Webber

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544