

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Albright, Alan D.	2. Court or Organization Texas Western District Court	3. Date of Report 06/03/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 800 Franklin Waco Texas 76701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Waco Inn of Court
2.	Member	Texas Bar Foundation
3.	Member	American Bar Foundation
4.	Member	American College of Trial Lawyers
5.	Member	Austin IP Inn of Court
6.	Member	American Board of Trial Attorneys
7.	Partner	Bracewell LLP

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Bracewell LLC	\$346,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Century Short Duration Fund Class A	A	Dividend			Sold	04/12/18	P1		
2. artisan global opp Fd advisor shares	A	Dividend	J	T					
3. Bridgeway aggressive investor I portfolio	A	Dividend	J	T					
4. Capital World Growth & Income Fund Class A	A	Dividend	K	T					
5. Charles Schwab Bank cash account	A	Interest	K	T					
6. cohen & Steers PFD SEC&Inc CL 1	A	Dividend	J	T					
7. Dodge & Cox international stock fund	A	Dividend	K	T					
8. double line total return fund instl	A	Dividend			Sold (part)	02/05/18	J		
9.	A	Dividend			Sold	11/27/18	J		
10. Dreyfus General Money Market Fund Class B	A	Dividend	K	T					
11. Dreyfus Insured Deposit Program NML Brokerage money market	A	Interest	K	T					
12. Fidelity Advisors New Insights Fund	A	Dividend	K	T					
13. Fundamental investors F2	A	Dividend	J	T					
14. Fundamental Investors Class A	A	Dividend	L	T					
15. Goldman Sachs Strategic Income CL 1	A	Dividend			Sold (part)	02/05/18	J		
16.					Sold	11/27/18	J		
17. Guggenheim Macro Opportunites Fund Class Institutional	A	Dividend			Sold (part)	02/05/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.	A	Dividend			Sold	11/27/18	J		
19. Invesco Balanced Risk Allocation Fund Y	A	Dividend			Sold (part)	2/5/18	J		
20.	A	Dividend			Sold	11/27/18	J		
21. invesco emerging markets sovereign debt etf	A	Dividend			Sold	06/22/18	K	C	
22. isahres CORE MSCI EAFE ETF	A	Dividend			Sold	09/26/18	J		
23. ishares CORE msci emerging markets etf	A	Dividend			Sold	09/26/18	K		
24. ishares MSCI EAFE ETF	A	Dividend	L	T					
25. ishares treasury bond etf	A	Dividend			Sold (part)	02/23/18	J	A	
26.					Sold (part)	09/21/18	J		
27.					Sold	12/17/18	J		
28. ishares US treasury bond etf	A	Dividend			Sold (part)	2/23/18	J		
29.					Sold (part)	09/21/18	J		
30.					Sold	9/26/18	J		
31. Invesco emerging markets sovereign debt etf	A	Dividend			Sold (part)	06/22/18	J	C	
32.	A	Dividend			Sold	09/26/18	J		
33. invesco balanced risk allocation Fund Y	A	Dividend			Sold	11/27/18	J		
34. JPMORGAN strategic income opportunitieis fund class 1	A	Dividend			Sold (part)	02/23/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35.					Sold	11/27/18	J		
36. Loomis Sayles Bond Inst (f/k/a Loomis Sayles Bond Fund Retail Class)	A	Dividend	K	T					
37. Metropolitan West Total Return Bond Fund Class 1	A	Dividend	K	T					
38. MFS Intl New Discovery Fund 1	A	Dividend	K	T					
39. Micron	A	Dividend	J	T					
40. New Perspective Fund-A	A	Dividend	L	T					
41. new world funds f2	A	Dividend	J	T					
42. northwestern mutual life IRA	A	Dividend	J	T					
43. northwestern mutual life adjustable complife	A	Dividend	M	T					
44. northwestern mutual life variable whole life	A	Dividend	L	T					
45. northwestern mutual life variable whole life	A	Dividend	L	T					
46. northwestern mutual life variable whole life	A	Dividend	J	T					
47. oarkmark international fund class 1	A	Dividend	J	T					
48. oppenheimer developing markets fund	A	Dividend	J	T					
49. PGIM absolute return bond CL Z	A	Dividend	J	T	Sold (part)	02/05/18	J		
50.					Sold (part)	11/27/18	J		
51. Pimco Income Fund Class P	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Pimco total return fund class p	A	Dividend	J	T					
53. Pioneer Dynamic credit fund class Y	A	Dividend	J	T	Sold (part)	02/05/18	J		
54.					Sold (part)	11/27/18	J		
55. Pioneer Multi asset ultrashorts income income fund class y	A	None			Sold (part)	02/05/18	K		
56.	A	None			Sold	11/27/18	J		
57. Putnam short Duration Income Fund Y	A	Dividend	J	T	Sold (part)	02/05/18	K		
58.					Sold (part)	11/27/18	J		
59. schwab US large Cap value etf	A	Dividend			Sold	09/26/18	J	B	
60. schwab US broad MKT ETF	A	Dividend			Sold (part)	06/22/18	J	A	
61.					Sold (part)	09/21/18	J	A	
62.					Sold	09/26/18	J	B	
63. SPDR nuveen bloomberg barclays municipal bond etf	A	Dividend			Sold	09/26/18	J		
64. SPDR Portfolio Inter Term Corporate Bond ETF	A	Dividend			Sold	11/09/18	J		
65. T. Rowe Price Emerging Markets	A	Dividend	J	T					
66. UBS Traditional IRA	A	Interest	J	T					
67. UBS bank USA deposit balance		None	K	T					
68. van eck index y (f/k/a Van Eck Vectors JPMorgan EM lcl curr bond etf	A	Dividend			Sold	02/23/18	J		

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69. Vanguard equity income funds admiral shares	A	Dividend	K	T					
70. vanguard inflation protected fund admiral shares	A	Dividend	J	T					
71. vanguard large cap index fund admiral shares	A	Dividend	K	T					
72. vanguard limited term tax exempt fund	A	Dividend	J	T					
73. vanguard mid cap index inv fund	A	Dividend	K	T					
74. Vanguard Mid-Cap Stock Index	A	Dividend	K	T					
75. vanguard reit index fund admiral shares	A	Dividend	J	T					
76. Vanguard Small Cap Index Inv	A	Dividend	K	T					
77. vanguard small cap index inv	A	Dividend	J	T					
78. vanguard short term investment grade A	A	Dividend	K	T					
79. vanguard target retirement 2025	A	Dividend	M	T					
80. Vanguard Total Stock MKT etf	A	Dividend			Sold	09/26/18	K	C	
81. vanguard total world stk etf	A	Dividend	J	T					
82.									
83.									
84.									
85.									

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86.									
87.									
88.									
89.									
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92.									
93.									
94.									
95.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alan D. Albright

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544