

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) West, David L.	2. Court or Organization District Court, Colorado	3. Date of Report 04/17/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U S Magistrate Judge, part time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address P O Box 2906 Durango, CO 81302		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	owner	Western Business Investments Inc
2.	owner	TAWB Inc
3.	owner	Cedarstrand Inc
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of Colorado	business loan	O
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RMC Distributing	G	Dividend	P2	W					
2. Tawb Inc	C	Dividend	J	W					
3. Old 1st National Bk Bldg	F	Dividend	P1	W					
4. Western Business Invest.	F	Dividend	M	W					
5. Blue Eagle LLC	A	Dividend	J	W					
6. Caterpillar	C	Dividend	M	T					
7. Emerson Electric	D	Dividend	N	T					
8. Harley Davidson	B	Dividend	M	T					
9. Kimberly Clark	D	Dividend	K	T					
10. Microsoft	B	Dividend	M	T					
11. SBC Communications	A	Dividend	M	T					
12. Tenant Co	C	Dividend	M	T					
13. Union Pacific	B	Dividend	M	T					
14. Zimmer Holdings	A	Dividend	K	T					
15. Schlumberger	E	Dividend	P1	T					
16. Abbott Lab	A	Dividend	K	T					
17. Marshall tl common stock	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Diebold	A	Dividend	J	T					
19. Puerto Rico Commonwealth RFDG SER bond	A	Int./Div.	J	T					
20. Johnson and Johnson	A	Dividend	J	T					
21. Qualcomm Inc	A	Dividend	L	T					
22. Electrosorce Inc	A	Dividend	J	T					
23. Carrier	A	Dividend	J	T					
24. Sure Shot Intl	A	Dividend	J	T					
25. Citicorp	D	Dividend	N	T					
26. Traveleers PPTY CLA	A	Dividend	J	T					
27. Travelers PPTY CLB	A	Dividend	J	T					
28. Transocean	A	Dividend	J	T					
29. Henry Jack & Associates Inc	A	Dividend	L	T					
30. Piper Jaffrey Prime UBS	A	Dividend	J	T					
31. Berkshire Hathaway	A	Dividend	O	T					
32. Schwab Money Market	A	Interest	J	T					
33. Mannatech	A	Dividend	J	T					
34. Martha Stewart common stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Nasdaq 100 Trust	A	Dividend	J	T					
36. Wells Fargo Index	B	Dividend	L	T					
37. Scripps NTWK Interac	A	Dividend			Sold	04/15/16	L	A	
38. IBM	B	Dividend	K	T					
39. Chevron Texaco	A	Dividend	K	T					
40. Wisconsin Energy	A	Dividend	J	T					
41. T Nasdaq Bio	A	Dividend	J	T					
42. Ishares Russell 1000 mutual fund	A	Dividend	K	T					
43. ishares S&P Global mutual fund	A	Dividend	J	T					
44. ishares Trust Dow Jones mutual fund	A	Dividend	J	T					
45. ishares RS 2000 Growth mutual fund	A	Dividend	J	T					
46. ishares Trust Dow Jones mutual fund	A	Dividend	J	T					
47. urban outfitters	F	Dividend			Buy	01/29/16	L		
48.					Sold	03/17/16	L	E	
49. Jefferson Cnty Co SFMR bond	A	Int./Div.			Sold	03/01/16	M	A	
50. Section 14 MD JEFFSN bond	B	Int./Div.	M	T					
51. SAB Miller	B	Dividend	K	T					

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52. Southern	A	Dividend	J	T					
53.									
54. Quest Diag	B	Dividend			Sold	10/20/16	K	D	
55.									
56. Marshall Ilsley	C	Dividend	M	T					
57.									
58. Exxon Mobil	G	Dividend	J	T					
59. Gannett Co	A	Dividend	K	T					
60. Graco Inc	A	Dividend	J	T					
61. Grainger	A	Dividend	K	T					
62.									
63. Intel Corp	A	Dividend	J	T					
64. Kellogg	B	Dividend			Sold	01/20/16	M	E	
65. Microsoft	A	Dividend	J	T					
66. Blackrock Muni Inc mutual fund	A	Dividend	J	T					
67. Eaton Vance Municipal Bond Fund II bond	A	Dividend	K	T					
68. Nuveen Insured Qual Muni bond	A	Dividend	K	T					

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69. Abbott Lab	A	Dividend	J	T					
70. Mead Johnson	A	Dividend	L	T					
71.									
72.									
73.									
74.									
75.									
76.									
77.									
78.									
79.									
80.									
81.									
82.									
83.									
84.									
85.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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86.									
87.									
88.									
89.									
90.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

additonal information VII

6 is now 16. sold all 4/16/13 but mistakenly reported partial sale.....my mistake. 2015 line 74, 89 were sold in 2014

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ David L. West

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544