

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) GRAHAM, DONALD L.	2. Court or Organization Southern District of Florida	3. Date of Report 08/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 400 North Miami Avenue Chambers13-4 Miami, FL 33128-1812		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

GRAHAM, DONALD L.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	National Institute for Trial Advocacy	03/08/19 - 03/17/19	Accra, Ghana	Trainer	Transportation, lodging and food
2.	George Mason University	04/14/19 - 04/16/19	Arlington, VA	Participant	Transportation, lodging and food
3.	Atty Gen Alliance/Africa Alliance Partnership & Judiciary Training Institute	09/04/19 - 09/16/19	Nairobi, Kenya	Trainer	Transportation, lodging and food
4.	George Mason University	10/16/19 - 10/20/19	Santa Fe, New Mexico	Participant	Transportation, lodging and food
5.	George Mason University	12/7/19 - 12/14/19	Honolulu, Hawaii	Participant	Transportation, lodging and food

Name of Person Reporting	Date of Report
GRAHAM, DONALD L.	08/11/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

GRAHAM, DONALD L.

Date of Report

08/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Four Kings, Inc.		None	K	T					
2. Merrill Lynch Direct Deposit	A	Interest	K	T					
3. Merrill Lynch Preferred Deposit	A	Interest	N	T	Open	03/13/19	N		
4. Merrill Lynch Bank Deposit Program	D	Interest	O	T	Distributed (part)	03/13/19	N	A	
5. Miami Federal Credit Union	A	Interest	L	T					
6. Abbott Labs (Common)	A	Dividend	L	T					
7. ABBVIE INC SHS	C	Dividend	L	T					
8. First Eagle Global Class C	E	Dividend	N	T					
9. Hewlett Packard Inc (HPQ)(Common)	A	Dividend	J	T					
10. Hewlett Packard Enterprises (HPE)	A	Dividend	J	T					
11. DXC Technology	A	Dividend	J	T					
12. PRSP	A	Dividend	K	T					
13. Micro Focus Int'l	A	Dividend	J	T					
14. Microsoft Corporation (Common)	C	Dividend	K	T					
15. AIG Focused Dividend Strategy FD C	D	Dividend	N	T					
16. USAA Aggressive Growth Fund	E	Dividend			Sold	11/26/19	N	E	
17. USAA IRA	A	Dividend	M	T	Open	06/24/19	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

GRAHAM, DONALD L.

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Polk Cty FL School District Municipal Bond	A	Interest	K	T	Buy	06/16/19	K		
19. Brookhaven GA Dev Auth Muni Bond	A	Interest	J	T	Buy	08/20/19	J		
20. Mich State Grant Muni Bond	A	Interest	J	T	Buy	08/14/19	J		
21. Lake Central School Corp IND Muni Bond	A	Interest			Buy	06/12/19	J		
22. Lake Central School Corp IND Muni Bond	A	Interest			Sold	07/30/19	J	A	
23. Miami Dade FL Expwy Muni Bond	A	Interest			Buy	05/02/19	J		
24. Miami Dade FL Expwy Muni Bond	A	Interest			Sold	07/19/19	J	A	
25. Wyandotte CNTY KANS Muni Bond	A	Interest			Buy	04/16/19	K		
26. Wyandotte CNTY KANS Muni Bond	A	Interest			Sold	05/22/19	K	A	
27. Rhode Island St Comm Muni Bond	A	Interest	K	T	Buy	05/02/19	K		
28. Baltimore CNTY MD CTFS PARTN Muni Bond	A	Interest			Buy	04/02/19	K		
29. Baltimore CNTY MD CTFS PARTN Muni Bond	A	Interest			Sold	05/02/19	K	A	
30. Surry CNTY N C LTD OBLIG Muni Bond	A	Interest	K	T	Buy	05/02/19	K		
31. Charlotte NC CTFS PARTN Muni Bond	A	Interest	K	T	Buy	04/25/19	K		
32. Richardson TEX COMB TAX REV Muni Bond	A	Interest	K	T	Buy	04/25/19	K		
33. Gaston CNTY N C LTD OBLIG Muni Bond	A	Interest	K	T	Buy	04/24/19	K		
34. Ferndale MICH LTD TAX CAP Muni Bond	A	Interest	K	T	Buy	04/09/19	K		

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Mississippi DEV BK SPL OBLIG Muni Bond	A	Interest	K	T	Buy	04/09/19	K		
36. Travis CNTY Texas CTFS Muni Bond	A	Interest	K	T	Buy	04/08/19	K		
37. Birdville TEXAS INDPT School Muni Bond	A	Interest	K	T	Buy	04/01/19	K		
38. Michigan State Hospital FIN AUTH Muni Bond	A	Interest	K	T	Buy	03/28/19	K		
39. First Republic Bank San Francisco, CA CD	A	Interest			Buy	09/06/19	M		
40. First Republic Bank San Francisco, CA CD	A	Interest			Redeemed	10/04/19	M	A	
41. State Bank of India NYNY CD	B	Interest			Buy	05/30/19	M		
42. State Bank of India NYNY CD	B	Interest			Redeemed	08/30/19	M	B	
43. Commercial Bank Dallas TX CD	A	Interest			Buy	04/30/19	M		
44. Commercial Bank Dallas TX CD	A	Interest			Redeemed	07/30/19	M	A	
45. Stifel Bank & Trust St. Louis, MO CD	A	Interest			Buy	03/27/19	M		
46. Stifel Bank & Trust St. Louis, MO CD	A	Interest			Redeemed	06/27/19	M	A	
47. Beal Bank USA Las Vegas, NV CD	B	Interest			Buy	05/29/19	M		
48. Beal Bank USA Las Vegas, NV CD	B	Interest			Redeemed	09/25/19	M	B	
49. Santander Bank NA Wilmington, DE CD	B	Interest			Buy	02/20/19	M		
50. Santander Bank NA Wilmington, DE CD	B	Interest			Redeemed	05/20/19	M	B	
51. Bank of India NYNY CD	A	Interest			Buy	03/21/19	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Bank of India NYNY CD	A	Interest			Redeemed	04/24/19	M	A	
53. Mizrani Tefahot Bank Los Angeles, CA CD	B	Interest			Buy	02/19/19	M		
54. Mizrani Tefahot Bank Los Angeles, CA CD	B	Interest			Redeemed	05/20/19	M	B	
55.									
56.									
57.									
58.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ DONALD L. GRAHAM**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544