

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schanzle-Haskins, Thomas P.	2. Court or Organization United States District Court Central District of Illinois	3. Date of Report 04/28/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (full-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 600 East Monroe, Rm 124 Springfield, IL 62701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1-12/2019	State of Illinois Retirement
2. 10/2019	Family Farm Rental
3. 1-12/2019	Midwest Region Organizing Comm, LIUNA
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1. U.S. Bank, Springfield, Illinois

Unsecured line of credit

J

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent , or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. John Hancock Life Insurance Policy		None	K	T					
2. Interest bearing check acct, Illinois National Bank, Springfield, IL	A	Interest	J	T					
3. Wells Fargo Savings Account	A	Interest	J	T					
4. CAIBX Capital Income Builder	B	Dividend			Sold	11/15/19	K	B	
5. AGTHX Gr Fd of Am	A	Dividend	L	T					
6. AMECX Income Fd of Am	C	Dividend	L	T					
7. AIVSX Investment Co. of Am	B	Dividend	L	T					
8. ANWPX New Perspective	A	Dividend	L	T					
9. SMCWX Small Cap World FD	A	Dividend			Sold	10/02/19	K	E	
10. ASBAX Short Term BD FD	A	Interest	J	T					
11. AMZN Amazon Com Inc.		None	J	T					
12. AMZN Amazon Com Inc.		None	J	T					
13. NEWFX New World Fd	A	Dividend	J	T					
14. LSWWX Loomis S GI Alloc	A	Dividend	K	T	Sold (part)	10/18/19	J	A	
15. LSWWX Loomis S GI Alloc	B	Dividend	K	T					
16. QQQ Nasdaq 100 ETF	A	Dividend	K	T	Sold (part)	10/18/19	J	A	
17. DFIEX DFA Intl Core Eqty Port Instl		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. DFUVX DFA Large Cap Value III Portfolio		None	J	T					
19. DISVX DFA Intl Small Cap Value Port Instl		None	J	T					
20. DFCEX DFA Emerging Mkts Core Eqty Port Instl		None	J	T					
21. BOSVX Bridgeway Omni Sm Cap Value Fd		None	K	T					
22. DFVIX DFA Intl Value III Port		None	J	T					
23. DFXIX DFA Diversified Fixed Incm Port Inst		None	M	T					
24. DFQTX DFA US Core Eqty 2 Port Instl		None	K	T					
25. Schwab Money Market	C	Int./Div.	J	T					
26. FNSXX Fidelity Money Market	A	Dividend	K	T	Sold (part)	11/05/19	J	A	
27. CI Cigna Corp	A	Dividend			Sold	03/05/19	J	A	
28. STZ Constellation Brands	A	Dividend			Sold	02/04/19	J	A	
29. IHI IShares Med Devices	A	Dividend			Sold	04/22/19	J	A	
30. MA Mastercard	A	Dividend	J	T	Sold (part)	10/08/19	J	A	
31. SYU Sysco		None			Sold	08/06/19	J	A	
32. GILHX Guggenheim Ltd Dur	A	Interest			Sold	01/08/19	K	A	
33. HRS Harris Corp	A	Dividend	J	T	Sold (part)	10/29/19	J	A	
34. AWSHX Washington Mutual	A	Dividend	K	T	Buy	10/02/19	K		

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35. JBALX Janus Hend Bal FD	A	Dividend	K	T	Buy	06/18/19	K		
36. RPBAX T Rowe Price Bal	A	Dividend			Buy	01/09/19	K		
37. RPBAX T Rowe Price Bal	A	Dividend			Sold	06/18/19	K	B	
38. AMZN Amazon		None	J	T	Buy	03/18/19	J		
39. MA Mastercard	A	Dividend	J	T	Buy	03/18/19	J		
40. VBK Vanguard Sm Cap	A	Dividend	J	T	Buy	02/04/19	J		
41. VBK Vanguard Sm Cap	A	Dividend			Sold	08/15/19	J	A	
42. VBK Vanguard Sm Cap	A	Dividend	J	T	Buy	11/05/19	J		
43. XMMO Invesco Mid Cap	A	Dividend	J	T	Buy	02/28/19	J		
44. MSFT Microsoft		None	J	T	Buy	10/29/19	J		
45. DIS Disney	A	Dividend	J	T	Buy	10/18/19	J		
46. CMCSA Comcast	A	Dividend	J	T	Buy	03/18/19	J		
47. CMCSA Comcast	A	Dividend	J	T	Sold (part)	10/18/19	J	A	
48. JBHT JB Hunt Trans		None			Buy	04/22/19	J		
49. JBHT JB Hunt Trans		None			Sold	05/28/19	J	A	
50. UNP Union Pacific RR		None			Buy	05/28/19	J		
51. UNP Union Pacific RR		None			Sold	08/06/19	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas P. Schanzle-Haskins**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544