

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Seabright, J Michael	2. Court or Organization District Court - Hawaii	3. Date of Report 05/09/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 300 Ala Moana Blvd. Room C-409 Honolulu, HI 96850		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Seabright, J Michael

Date of Report

05/09/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	State of Hawaii salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Seabright, J Michael	05/09/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Honolulu Federal Credit Union Accounts	A	Interest	L	T					
2. State of Hawaii Deferred Compensation Plan	A	Dividend	K	T					
3. First Foundation Bank Certificate of Deposit	A	Interest	M	T	Buy	10/27/19	L		
4. Home Street Bank Certificate of Deposit	B	Interest			Buy	02/19/19	L		
5.					Matured	09/19/19	M	B	
6. Ameritrade Certificate of Deposit (with BMO Harris Bank)	C	Interest	M	T	Buy	01/28/19	M		
7. Schwab Cash Account	A	Interest	M	T					
8. Ishares S&P 500 ETF (IVV)	A	Dividend	L	T	Buy (add'l)	02/05/19	M		
9.					Sold	03/07/19	M	A	
10.	A				Buy	07/24/19	L		
11.					Buy (add'l)	12/20/19	K		
12. iShares US Treasury Bond ETF (GOVT)	A	Dividend	K	T	Sold (part)	01/09/19	J		
13. iShares US Aggregate Bond ETF (AGG)		None			Sold	01/09/19	M		
14. Accenture PLC Class A (ACN)	A	Dividend	K	T	Buy	04/18/19	J		
15. Amazon.com, Inc. (AMZN)		None	K	T	Buy (add'l)	06/07/19	K		
16. Berkshire Hathaway Class B (BRKB)		None	K	T					
17. Costco Wholesale Co (COST)	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Home Depot, Inc. (HD)	A	Dividend	K	T					
19.					Buy (add'l)	09/06/19	K		
20. Intuitive Surgical (ISRG)		None	J	T	Buy (add'l)	01/09/19	J		
21.					Sold (part)	01/25/19	J	B	
22. Ishares 1-3 Year Treasurty Bond ETF (SHY)	A	Dividend	J	T	Sold (part)	12/20/19	J	A	
23. SPDR S&P 500 ETF (SPY)	A	Dividend	K	T					
24. Ishares 20 Plus Year Treasury Bond ETF (TLT)	A	Dividend	K	T	Sold (part)	09/06/19	J	B	
25.					Sold (part)	11/08/19	J	A	
26. Adobe, Inc. (ADBE)		None	K	T	Buy	09/06/19	J		
27. Amgen, Inc. (AMGN)	A	Dividend			Buy	01/09/19	K		
28.					Sold	05/07/19	K		
29. Brookfield Asset Managment (BAM)	A	Dividend	J	T	Buy	09/06/19	J		
30. Clorox Compnay (CO)	A	Dividend	J	T	Buy	09/06/19	J		
31. Cummins, Inc. (CMI)		None			Buy	04/24/19	J		
32.					Sold	05/07/19	J		
33. Salesforce.com (CRM)		None			Buy	03/07/19	J		
34.					Sold	12/02/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Seabright, J Michael

Date of Report

05/09/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Chevron, Corp. (CVX)	A	Dividend			Buy	02/04/19	K		
36.					Sold	08/05/19	K		
37. Walt Disney, Co. (DIS)	A	Dividend	K	T	Buy	06/07/19	J		
38.					Buy (add'l)	07/05/19	J		
39. Freeport-McMoran, Inc. (FCX)	A	Dividend	J	T	Buy	08/05/19	J		
40. General Dynamics, Co. (GD)	A	Dividend	J	T	Buy	06/07/19	J		
41. Graco, Inc. (GGG)		None	J	T	Buy	12/20/19	J		
42. Goldman Sachs Group (GS)		None	J	T	Buy	12/20/19	J		
43. Huntington Ingalls (HII)	A	Dividend			Buy	02/01/19	K		
44.					Sold	08/05/19	K		
45. Illinois Tool Works (ITW)		None			Buy	04/24/19	K		
46.					Sold	05/07/19	K		
47. Ishares Core MSCI Total Int'l Stock ETF (IXUS)		None	K	T	Buy	12/20/19	K		
48. Johnson & Johnson (JNJ)	A	Dividend			Buy	04/18/19	J		
49.					Sold	05/29/19	J		
50. The Coca-Cola Company (KO)	A	Dividend	K	T	Buy	08/05/19	K		
51. Mastercard Inc. Class A (MA)	A	Dividend	J	T	Buy	01/09/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Microsoft Corp. (MSFT)	A	Dividend	J	T	Buy	04/18/19	J		
53. Northrop Grumman Co. (NOC)	A	Dividend	K	T	Buy	04/18/19	J		
54. Charles Schwab, Corp. (SCHW)	A	Dividend			Buy	05/07/19	J		
55.					Sold	08/05/19	J		
56. Stryker Corp. (SYK)	A	Dividend	J	T	Buy	05/07/19	J		
57. Sysco Corp. (SYY)	A	Dividend	K	T	Buy	04/24/19	J		
58. Visa, Inc. Class A (V)	A	Dividend	K	T	Buy	04/18/19	J		
59. Vanguard Real Estate ETF (VNQ)	A	Dividend	J	T	Buy	07/24/19	J		
60. Verizon Communicaton (VZ)	A	Dividend	K	T	Buy	04/24/19	J		
61.					Buy (add'l)	07/25/19	J		
62. Walgreens Boots (WBA)	A	Dividend			Buy	01/09/19	J		
63.					Sold	07/18/19	J		
64. SPDR Consumer Discretionary ETF (XLY)	A	Dividend	K	T	Buy	09/06/19	K		
65. Alphabet Inc. Class C (GOOG)		None	J	T	Buy	06/07/19	J		
66. iShares Gold ETF (IAU)		None	K	T	Buy	01/09/19	J		
67. U.S. Treasury Note 2.65%	A	Interest	K	T					
68. U.S. Treasury Note 2%	A	Interest	K	T	Buy	01/09/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

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R =Cost (Real Estate Only)

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. U.S. Treasury Strip		None	K	T	Buy	01/09/19	K		
70. U.S. Treasury Note (Inflation Index .125%)	A	Interest	K	T	Buy	01/09/19	K		
71.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Seabright, J Michael

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ J Michael Seabright

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544