

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                        |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>DiGirolamo, Thomas M.                                                                                                                | <b>2. Court or Organization</b><br><br>U.S. District Court - Maryland                                                                                                                                                                  | <b>3. Date of Report</b><br><br>05/11/2020                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>Magistrate Judge - Full-time                                                  | <b>5a. Report Type (check appropriate type)</b><br><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final | <b>6. Reporting Period</b><br><br>01/01/2019<br>to<br>12/31/2019 |
|                                                                                                                                                                                                           | <b>5b.</b> <input type="checkbox"/> Amended Report                                                                                                                                                                                     |                                                                  |
| <b>7. Chambers or Office Address</b><br><br>U.S. Courthouse<br>6500 Cherrywood Lane<br>Greenbelt, Maryland 20770                                                                                          |                                                                                                                                                                                                                                        |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                        |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☐ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-----------------|------------------------------------|
| 1. Trustee      | Family Trust #1                    |
| 2.              |                                    |
| 3.              |                                    |
| 4.              |                                    |
| 5.              |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

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Name of Person Reporting

DiGirolamo, Thomas M.

Date of Report

05/11/2020

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

### A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*



NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>               |
|-------------|--------------------------------------|
| 1. 2019     | self-employed development consultant |
| 2.          |                                      |
| 3.          |                                      |
| 4.          |                                      |

## IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*



NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| DiGirolamo, Thomas M.    | 05/11/2020     |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. WELLS FARGO ADVISORS #1                                                                                                 |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 2. STANDARD BANK DEPOSIT                                                                                                   | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 3.                                                                                                                         |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 4. WELLS FARGO ADVISORS #2                                                                                                 |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 5. STANDARD BANK DEPOSIT                                                                                                   | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 6. TAX EXEMPT BOND FUND OF<br>AMERICA FUND CLASS F1                                                                        | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 7.                                                                                                                         |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 8. WELLS FARGO ADVISORS MUNICIPAL<br>ACCOUNT                                                                               |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 9. STANDARD BANK DEPOSIT                                                                                                   | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 10. PRINCE GEORGES CNTY MD CONS<br>PUB IMPT SER A G/O LTD B/E DUE<br>9/15/24                                               | A                                      | Interest                               |                                                |                                    | Sold                                      | 02/26/19         | K                        | B                       |                                                            |
| 11. WASHINGTON SUBN SAN DIST MD<br>CONS PUB IMPT G/O UNLTD B/E DUE<br>6/1/22                                               | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 12. BALTIMORE MD CONS PUB IMPT SER<br>A G/O UNLTD B/E DUE 10/15/25                                                         | A                                      | Interest                               |                                                |                                    | Sold                                      | 03/28/19         | K                        | C                       |                                                            |
| 13. MARYLAND ST ST & LOC FACS 1ST<br>SER A BOOK ENTRY G/O DUE 3/1/25                                                       | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 14. TALBOT CNTY MD RFDG PUB IMPT G/<br>O LTD B/E DUE 12/15/25                                                              | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 15. ANNE ARUNDEL CNTY MD CONS WTR<br>& SWR G/O LTD B/E DUE 4/1/26                                                          | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 16. MD ST HLTH & HIGH EFA REV RFDG<br>JOHNS HOPKINS UNIV SER A B/E DUE<br>7/1/26                                           | B                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 17. HARFORD CNTY MD GO CONS PUB<br>IMPT BDS 2018 G/O UNLTD B/E DUE<br>9/15/26                                              | B                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 18. FREDERICK CNTY MD FOR ISSUES<br>DTD PR TO 6/29/16 SEE 355694 DUE<br>2/1/27                                             | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 19. SEQUOIA CA UN HIGH SCH DIST<br>ELECTION 2014 G/O B/E DUE 7/1/28                                                        | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 20. WASHINGTON ST FOR ISSUES DTD<br>PRIOR TO 2/5/13 RFDG MTR VEH F<br>DUE 7/1/28                                           | B                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 21. MARYLAND ST DPT TRANSN CONS<br>TRANSN REV B/E DUE 11/1/28                                                              | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 22. BOSSIER CTY LA PUB IMPT SALES &<br>USE TAX REV SER ST B/E DUE 12/1/28                                                  | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 23. BALTIMORE MD REV RFDG<br>WASTEWATER PJS SER B B/E DUE<br>7/1/29                                                        | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 24. PRINCE GEORGES CNTY MD GO CONS<br>PUB IMPT BDS G/O UNLTD B/E DUE<br>7/15/29                                            | B                                      | Interest                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 25. NY ST DORM AUTH ST PERS INCOME<br>TAX REV GEN PURP SER A B/E DUE<br>2/15/31                                            | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 26. ALBUQUERQUE BERN CNTY WTR<br>UTIL AUTH NM JT WTR & SWR SYS<br>REV DUE 7/1/31                                           | B                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 27. ANNE ARUNDEL CNTY MD CONS WTR<br>SWR GO BDS 2018 G/O LTD B/E DUE<br>10/1/34                                            | B                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 28. DISTRICT OF COLUMBIA WTR & SWR<br>AUTH PUB UTIL REV DUE 10/1/35                                                        | B                                      | Interest                               | K                                              | T                                  | Buy                                       | 03/08/19         | K                        |                         |                                                            |
| 29.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 30. WELLS FARGO ADVISORS IRA #1                                                                                            |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 31. STANDARD BANK DEPOSIT                                                                                                  | A                                      | Interest                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
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| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 32. ISHARES ETF RUSSELL 2000                                                                                               | A                                      | Dividend                               | K                                              | T                                  | Sold<br>(part)                            | 10/11/19         | J                        |                         |                                                            |
| 33.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/14/19         | K                        |                         |                                                            |
| 34. ISHARES CORE MSCI ETF EAFE ETF                                                                                         | A                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 07/22/19         | J                        |                         |                                                            |
| 35.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/11/19         | J                        |                         |                                                            |
| 36. ISHARES CORE S&P MID ETF ETF                                                                                           | A                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 07/22/19         | J                        |                         |                                                            |
| 37.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/11/19         | J                        |                         |                                                            |
| 38. ISHARES CORE S&P 500 ETF S&P 500<br>INDEX FD                                                                           | C                                      | Dividend                               | M                                              | T                                  | Buy<br>(add'l)                            | 01/08/19         | K                        |                         |                                                            |
| 39.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/11/19         | J                        |                         |                                                            |
| 40.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/14/19         | J                        |                         |                                                            |
| 41. ISHARES CORE U.S. ETF AGGREGATE<br>BOND                                                                                | B                                      | Dividend                               | K                                              | T                                  | Sold<br>(part)                            | 01/08/19         | J                        |                         |                                                            |
| 42.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/22/19         | J                        |                         |                                                            |
| 43.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/11/19         | J                        |                         |                                                            |
| 44.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/14/19         | J                        |                         |                                                            |
| 45. ISHARES EDGE MSCI ETF MIN VOL<br>USA ETF                                                                               | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 07/22/19         | J                        |                         |                                                            |
| 46.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/11/19         | J                        |                         |                                                            |
| 47. ISHARES CORE MSCI ETF EMERGING<br>MARKETS ETF                                                                          | B                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 07/22/19         | J                        |                         |                                                            |
| 48.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/11/19         | J                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 49. DWS ENHANCED COMMODITY STRATEGY FUND INST                                                                              | A                                      | Dividend                               |                                                |                                    | Sold                                      | 07/22/19         | J                        |                         |                                                            |
| 50. DODGE & COX STK FD                                                                                                     | C                                      | Dividend                               | K                                              | T                                  | Sold (part)                               | 01/08/19         | J                        |                         |                                                            |
| 51.                                                                                                                        |                                        |                                        |                                                |                                    | Sold (part)                               | 07/22/19         | K                        |                         |                                                            |
| 52.                                                                                                                        |                                        |                                        |                                                |                                    | Buy (add'l)                               | 10/14/19         | K                        |                         |                                                            |
| 53. INVESCO OPPENHEIMER GLOBAL OPPORTUNITIES FUND CLASS Y                                                                  | B                                      | Dividend                               | K                                              | T                                  | Buy                                       | 10/14/19         | K                        |                         |                                                            |
| 54. INVESCO OPPENHEIMER DEVELOPING MARKETS FUND CLASS Y                                                                    | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 10/14/19         | K                        |                         |                                                            |
| 55. DODGE & COX FDS INTL STK FD                                                                                            |                                        | None                                   |                                                |                                    | Sold (part)                               | 10/11/19         | J                        |                         |                                                            |
| 56.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 10/14/19         | J                        |                         |                                                            |
| 57. HARTFORD GROWTH OPPORTUNITIES FUND CLASS 1                                                                             |                                        | None                                   |                                                |                                    | Sold (part)                               | 01/15/19         | J                        |                         |                                                            |
| 58.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 07/22/19         | K                        |                         |                                                            |
| 59. MAINSTAY MACKAY HIGH YIELD CORPORATE BOND FUND CLASS 1                                                                 | A                                      | Dividend                               | K                                              | T                                  | Buy (add'l)                               | 07/22/19         | J                        |                         |                                                            |
| 60.                                                                                                                        |                                        |                                        |                                                |                                    | Sold (part)                               | 10/11/19         | J                        |                         |                                                            |
| 61. MFS SER TR X EMERGING MKTS DEBT FD CLASS 1                                                                             | A                                      | Dividend                               | J                                              | T                                  | Buy (add'l)                               | 07/22/19         | J                        |                         |                                                            |
| 62.                                                                                                                        |                                        |                                        |                                                |                                    | Sold (part)                               | 10/11/19         | J                        |                         |                                                            |
| 63. JPMORGAN TR II SMALL CAP GROWTH FUND CL L                                                                              | B                                      | Dividend                               | K                                              | T                                  | Buy                                       | 10/15/19         | K                        |                         |                                                            |
| 64. JPMORGAN TR I GROWTH ADVANTAGE FD I CL                                                                                 | C                                      | Dividend                               | K                                              | T                                  | Buy                                       | 10/15/19         | K                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

DiGirolamo, Thomas M.

Date of Report

05/11/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 65. PRINCIPAL INV FUND REAL ESTATE SECS FD CL INSTL                                                                        | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 10/14/19                | J                               |                                |                                                                   |
| 66. VANGUARD WORLD FD INTL GROWTH FD ADMIRAL SHS                                                                           | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 10/14/19                | J                               |                                |                                                                   |
| 67. BROOKFIELD INVT FUNDS GLOBAL LISTED REAL ESTATE FD CL Y                                                                | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 07/22/19                | K                               |                                |                                                                   |
| 68. SMALLCAP WORLD FD INC CL F2                                                                                            |                                        | None                                          |                                                |                                           | Sold (part)                                     | 01/15/19                | J                               |                                |                                                                   |
| 69.                                                                                                                        |                                        |                                               |                                                |                                           | Sold (part)                                     | 10/11/19                | J                               |                                |                                                                   |
| 70.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 10/14/19                | K                               |                                |                                                                   |
| 71. TEMPLETON INCOME TR GLOBAL BD FD ADVISOR CL                                                                            | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 07/22/19                | J                               |                                |                                                                   |
| 72. UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L                                                                         |                                        | None                                          |                                                |                                           | Sold (part)                                     | 01/15/19                | J                               |                                |                                                                   |
| 73.                                                                                                                        |                                        |                                               |                                                |                                           | Sold (part)                                     | 10/11/19                | J                               |                                |                                                                   |
| 74.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 10/15/19                | J                               |                                |                                                                   |
| 75. VAN ECK FUNDS EMERGING MARKETS FUND CLASS Y                                                                            |                                        | None                                          |                                                |                                           | Sold (part)                                     | 10/11/19                | J                               |                                |                                                                   |
| 76.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 10/14/19                | K                               |                                |                                                                   |
| 77. GOLDMAN SACHS ETF TR ETF ACTIVEBETA US LARGE CAP EQUITY ETF                                                            | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 07/22/19                | K                               |                                |                                                                   |
| 78.                                                                                                                        |                                        |                                               |                                                |                                           | Sold (part)                                     | 10/11/19                | J                               |                                |                                                                   |
| 79.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 10/14/19                | K                               |                                |                                                                   |
| 80. JENSSSEN PORTFOLIO INC CLASS I SHS                                                                                     | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 07/22/19                | K                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

DiGirolamo, Thomas M.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 81.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/11/19         | J                        |                         |                                                            |
| 82.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 10/14/19         | K                        |                         |                                                            |
| 83.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 84. WELLS FARGO ADVISORS IRA #2                                                                                            |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 85. STANDARD BANK DEPOSIT                                                                                                  | A                                      | Interest                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 86. DWS ENHANCED COMMODITY<br>STRATEGY FUND INST                                                                           | A                                      | Dividend                               |                                                |                                    | Buy<br>(add'l)                            | 05/29/19         | J                        |                         |                                                            |
| 87.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/05/19         | J                        |                         |                                                            |
| 88.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 08/06/19         | J                        |                         |                                                            |
| 89. BLAIR WILLIAM FDS INTL GROWTH<br>FD CL 1                                                                               |                                        | None                                   |                                                |                                    | Sold                                      | 03/19/19         | J                        |                         |                                                            |
| 90. CARILLON SER TR EAGLE SMALL CAP<br>GROWTH FD CL I                                                                      | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 05/29/19         | J                        |                         |                                                            |
| 91.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/05/19         | J                        |                         |                                                            |
| 92.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/06/19         | J                        |                         |                                                            |
| 93. DODGE & COX FDS INTL STK FD                                                                                            | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 03/20/19         | J                        |                         |                                                            |
| 94.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/30/19         | J                        |                         |                                                            |
| 95.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/05/19         | J                        |                         |                                                            |
| 96. EATON VANCE SER II INCOME FUND<br>BOSTON CL 1                                                                          | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 05/29/19         | J                        |                         |                                                            |
| 97.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/05/19         | J                        |                         |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
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| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |

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Name of Person Reporting

DiGirolamo, Thomas M.

Date of Report

05/11/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 98. AMG TIMESSQUARE MID CAP GROWTH FD CL I                                                                                 | C                                      | Dividend                                      | K                                              | T                                         | Buy (add'l)                                     | 05/29/19                | J                               |                                |                                                                   |
| 99.                                                                                                                        |                                        |                                               |                                                |                                           | Buy (add'l)                                     | 08/05/19                | J                               |                                |                                                                   |
| 100.                                                                                                                       |                                        |                                               |                                                |                                           | Buy (add'l)                                     | 08/06/19                | J                               |                                |                                                                   |
| 101. GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS FD INSTL CL                                                                 | A                                      | Dividend                                      | J                                              | T                                         | Buy (add'l)                                     | 05/29/19                | J                               |                                |                                                                   |
| 102.                                                                                                                       |                                        |                                               |                                                |                                           | Buy (add'l)                                     | 08/05/19                | J                               |                                |                                                                   |
| 103. HANCOCK JOHN CAP SER CLASSIC VALUE FD CL 1                                                                            | A                                      | Dividend                                      | K                                              | T                                         | Buy (add'l)                                     | 05/29/19                | J                               |                                |                                                                   |
| 104.                                                                                                                       |                                        |                                               |                                                |                                           | Buy (add'l)                                     | 08/05/19                | J                               |                                |                                                                   |
| 105. HOTCHKIS & WILEY FDS MID CAP VALUE FD CL 1                                                                            |                                        | None                                          |                                                |                                           | Sold                                            | 02/21/19                | J                               |                                |                                                                   |
| 106. AMERICAN FUNDS WASHINGTON MUTUAL FD F2                                                                                | B                                      | Dividend                                      | K                                              | T                                         | Buy (add'l)                                     | 05/29/19                | J                               |                                |                                                                   |
| 107.                                                                                                                       |                                        |                                               |                                                |                                           | Buy (add'l)                                     | 08/05/19                | J                               |                                |                                                                   |
| 108. INVESCO OPPENHEIMER DEVELOPING MARKETS FUND CLASS Y                                                                   | A                                      | Dividend                                      | K                                              | T                                         | Buy (add'l)                                     | 05/30/19                | J                               |                                |                                                                   |
| 109.                                                                                                                       |                                        |                                               |                                                |                                           | Buy (add'l)                                     | 08/05/19                | J                               |                                |                                                                   |
| 110.                                                                                                                       |                                        |                                               |                                                |                                           | Buy (add'l)                                     | 08/06/19                | J                               |                                |                                                                   |
| 111. LAZARD FDS INC EMERGING MKTS PORT INSTL SHS                                                                           | A                                      | Dividend                                      | K                                              | T                                         | Buy (add'l)                                     | 05/29/19                | J                               |                                |                                                                   |
| 112.                                                                                                                       |                                        |                                               |                                                |                                           | Buy (add'l)                                     | 08/05/19                | J                               |                                |                                                                   |
| 113.                                                                                                                       |                                        |                                               |                                                |                                           | Buy (add'l)                                     | 08/06/19                | J                               |                                |                                                                   |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
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| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

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Name of Person Reporting

DiGirolamo, Thomas M.

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 114. MFS SER TR I VALUE FD CL I                                                                                            | A                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 05/29/19         | J                        |                         |                                                            |
| 115.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/05/19         | J                        |                         |                                                            |
| 116. MFS SER TR X EMERGING MKTS DEBT<br>FD CLASS 1                                                                         | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 05/29/19         | J                        |                         |                                                            |
| 117. MAINSTAY LARGE CAP GROWTH<br>FUND CLASS 1                                                                             | B                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 05/29/19         | J                        |                         |                                                            |
| 118.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/05/19         | J                        |                         |                                                            |
| 119. WELLS FARGO EMERGING GROWTH<br>FUND CLASS INST                                                                        | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 05/29/19         | J                        |                         |                                                            |
| 120.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/05/19         | J                        |                         |                                                            |
| 121.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/06/19         | J                        |                         |                                                            |
| 122. PRINCIPAL GLOBAL REAL ESTATE<br>SECURITIES FUND INSTITUTIONAL<br>CLASS SHS                                            | A                                      | Dividend                               |                                                |                                    | Buy<br>(add'l)                            | 05/29/19         | J                        |                         |                                                            |
| 123.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/05/19         | J                        |                         |                                                            |
| 124.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 08/06/19         | J                        |                         |                                                            |
| 125. T ROWE PRICE REAL EST FUND INC                                                                                        | A                                      | Dividend                               |                                                |                                    | Buy<br>(add'l)                            | 05/29/19         | J                        |                         |                                                            |
| 126.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/05/19         | J                        |                         |                                                            |
| 127.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 08/06/19         | J                        |                         |                                                            |
| 128. T ROWE PRICE INTL FD OVERSEAS<br>STK FD                                                                               | A                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 05/29/19         | J                        |                         |                                                            |
| 129.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/05/19         | J                        |                         |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
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| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 130. VIRTUS ASSET TR CEREDEx MID CAP<br>VALUE EQUITY FUND CL I                                                             | B                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 02/21/19                | J                               |                                |                                                                   |
| 131.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 05/29/19                | J                               |                                |                                                                   |
| 132.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 08/05/19                | J                               |                                |                                                                   |
| 133.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 08/06/19                | J                               |                                |                                                                   |
| 134.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 08/07/19                | J                               |                                |                                                                   |
| 135. VICTORY SYCAMORE SMALL CO<br>OPPTY FD CL 1                                                                            | B                                      | Dividend                                      | K                                              | T                                         | Buy<br>(add'l)                                  | 05/29/19                | J                               |                                |                                                                   |
| 136.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 08/06/19                | J                               |                                |                                                                   |
| 137.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 08/07/19                | J                               |                                |                                                                   |
| 138. VOYA FUNDS VOYA LARGE CAP<br>GROWTH FUND CLASS 1                                                                      | A                                      | Dividend                                      | K                                              | T                                         | Buy<br>(add'l)                                  | 05/29/19                | J                               |                                |                                                                   |
| 139.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 08/05/19                | J                               |                                |                                                                   |
| 140. WELLS FARGO FDS TR CORE BOND<br>FUND CLASS INST                                                                       | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 05/29/19                | J                               |                                |                                                                   |
| 141.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 08/05/19                | J                               |                                |                                                                   |
| 142.                                                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 143. WELLS FARGO SEP IRA                                                                                                   |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 144. STANDARD BANK DEPOSIT                                                                                                 | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 145. ABERDEEN FDS EMERGING MARKETS<br>FUND INSTITUTIONAL CLASS 1                                                           | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 04/03/19                | J                               |                                |                                                                   |
| 146. DIAMOND HILL FDS LARGE CAP FD<br>CL 1 SHS                                                                             |                                        | None                                          |                                                |                                           | Sold                                            | 02/08/19                | J                               |                                |                                                                   |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

DiGirolamo, Thomas M.

Date of Report

05/11/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 147. EDGEWOOD FUNDS GROWTH FUND INSTL CLASS                                                                                | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 148. GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS FD INSTL CL                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 149. AMERICAN FUNDS GROWTH FUND OF AMERICA CL F2                                                                           | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 04/03/19         | J                        |                         |                                                            |
| 150. HARTFORD MUTL FDS INC MIDCAP FUND CLASS 1                                                                             | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 151. INVESCO BALANCED RISK COMMODITY STRATEGY Y                                                                            |                                        | None                                   |                                                |                                    | Sold                                      | 07/23/19         | J                        |                         |                                                            |
| 152. AMERICAN FUNDS WASHINGTON MUTUAL FD F2                                                                                | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 02/08/19         | J                        |                         |                                                            |
| 153.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/03/19         | J                        |                         |                                                            |
| 154. LAZARD FDS INC INTL EQUITY PORT INSTL SHS                                                                             | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 04/03/19         | J                        |                         |                                                            |
| 155. MERIDIAN FD INC SMALL CAP GROWTH FD INVESTOR CL                                                                       | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 156. METROPOLITAN WEST FDS TOTAL RETURN BD FD CL 1                                                                         | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 04/03/19         | J                        |                         |                                                            |
| 157. PRINCIPAL GLOBAL REAL ESTATE SECURITIES FUND INSTITUTIONAL CLASS SHS                                                  | A                                      | Dividend                               |                                                |                                    | Sold                                      | 07/23/19         | J                        |                         |                                                            |
| 158. PIMCO FDS PAC INVT MGMT SER EMERGING MKTS BD FD INSTL CL                                                              | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 159. TOUCHSTONE FDS GROUP VID CAP VALUE FUND CLASS Y                                                                       | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 160. DEAN SMALL CAP VALUE FUND CL 1                                                                                        | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 161. VIRTUS FUNDS VIRTUS VONTOBEL EMG MKTS OPPORTUNITIES FUND CLASS 1                                                      | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 162.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
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| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

DiGirolamo, Thomas M.

Date of Report

05/11/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 163. TIAA-CREF RETIREMENT ACCOUNTS                                                                                         |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 164. TIAA TRADITIONAL ACCOUNT                                                                                              | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 165. CREF STOCK ACCOUNT                                                                                                    | D                                      | Interest                                      |                                                |                                           | Closed                                          | 05/21/19                | L                               |                                |                                                                   |
| 166. CREF GROWTH ACCOUNT                                                                                                   | D                                      | Interest                                      |                                                |                                           | Closed                                          | 05/21/19                | L                               |                                |                                                                   |
| 167. CREF GLOBAL EQUITIES ACCOUNT                                                                                          | D                                      | Interest                                      |                                                |                                           | Closed                                          | 05/21/19                | M                               |                                |                                                                   |
| 168. TIAA REAL ESTATE                                                                                                      | A                                      | Interest                                      |                                                |                                           | Closed                                          | 05/21/19                | K                               |                                |                                                                   |
| 169. TIAA ACC LFCYCLE 2030                                                                                                 | B                                      | Interest                                      |                                                |                                           | Closed                                          | 05/21/19                | K                               |                                |                                                                   |
| 170.                                                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 171. VALIC ANNUITY ACCOUNTS                                                                                                |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 172. LARGE CAP ACCOUNT                                                                                                     | D                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 173. FIXED INCOME ACCOUNT                                                                                                  | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 174.                                                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 175. BANK OF AMERICA ACCOUNT                                                                                               |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 176.                                                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 177.                                                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 178.                                                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 179.                                                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |

|                         |                                 |                            |                               |                                |                        |
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 180.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 181.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

DiGirolamo, Thomas M.

Date of Report

05/11/2020

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

### VII. Investments and Trusts

1. The account shown on line 6 of the 2019 FDR was exchanged for the account reflected on line 6 of the 2018 FDR.
2. The name of the account shown on line 97 of the 2018 FDR was changed and is now reflected on line 108 of the 2019 FDR.

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Name of Person Reporting

DiGirolamo, Thomas M.

Date of Report

05/11/2020

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas M. DiGirolamo**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544