

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Chuang, Theodore D.	2. Court or Organization United States District Court, District of Maryland	3. Date of Report 05/03/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address United States Courthouse 6500 Cherrywood Lane Greenbelt, MD 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Advisor	Asian Pacific American Bar Association of Maryland
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

Chuang, Theodore D.

Date of Report

05/03/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	National Immigration Forum - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Chuang, Theodore D.	05/03/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Cenlar	Mortgage on rental property, Brookline, MA	M
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

Name of Person Reporting

Chuang, Theodore D.

Date of Report

05/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Rental Property, Brookline, MA	E	Rent	O	W					
2. Citibank Cash Accounts	A	Interest	M	T					
3. Emigrant Direct Cash Account	A	Interest	K	T					
4. Fidelity Dividend Growth Fund	C	Dividend	L	T					
5. Lord Abbett Affiliated A Fund	D	Dividend	N	T					
6. Brokerage Account #1 (H)									
7. - BNY Mellon International Stock Index Fund (formerly Dreyfus)	A	Dividend	K	T					
8. - BNY Mellon Mid Cap Index Fund (formerly Dreyfus)	D	Dividend	K	T					
9. - Janus Henderson Global Research Fund	B	Dividend	K	T					
10. - Janus Henderson Growth & Income Fund	B	Dividend	K	T					
11. - Loomis Sayles Bond Fund	B	Dividend	K	T					
12. - Oakmark Fund	D	Dividend	M	T					
13. - Schwab S&P 500 Fund	B	Dividend	L	T					
14. - Schwab Small Cap Index Fund	C	Dividend	L	T					
15. Brokerage Account #2 (H)									
16. - Schwab 1000 Fund	C	Dividend	M	T					
17. - Schwab Core Equity Fund	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 16

Name of Person Reporting

Chuang, Theodore D.

Date of Report

05/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - AT&T	A	Dividend			Sold	12/27/19	J	A	
19. Brokerage Account #3 (H)									
20. - Morgan Stanley Bank (X)	A	Interest	J	T					
21. - Cisco Systems stock	A	Dividend	J	T					
22. - Invesco Diversified Dividend A	B	Dividend	K	T					
23. - Microsoft Corporation stock	A	Dividend	K	T					
24. - Invesco QQQ Trust (QQQ)	A	Dividend	K	T					
25. IRA #1 (H)									
26. - Janus Henderson Growth & Income Fund	A	Dividend	J	T					
27. - Oakmark Fund	A	Dividend	J	T					
28. - Schwab 1000 Fund	A	Dividend	K	T					
29. IRA #2 (H)									
30. - Columbia Energy & Natural Resources Fund	A	Dividend	J	T					
31. - Oakmark Fund	B	Dividend	K	T					
32. - Schwab 1000 Fund	B	Dividend	K	T					
33. - Schwab Small Cap Index Fund	A	Dividend	J	T					
34. IRA #3 (H)									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. -Fidelity Freedom 2030	D	Dividend	M	T					
36. Fidelity Cash Reserves	A	Int./Div.	J	T					
37. IRA#4 (H)									
38. -Fidelity Freedom 2030	A	Dividend	K	T					
39. -Fidelity Freedom 2035	C	Dividend	K	T					
40. IRA#5 (H)									
41. - Vanguard Life Strategy Growth Fund	B	Dividend	L	T					
42. My 529 Age Based Aggressive Global	D	Dividend	N	T	Sold (part)	07/22/19	K	E	
43. Maryland College Investment Plan Portfolio 2024		None	N	T					
44. Northwestern Mutual Whole Life Insurance Policy	A	Dividend	K	T					
45. U.S. Govt. Securities Series EE Bonds		None	L	T					
46. 401(k) #1 (H)									
47. John Hancock Multimanager 2035 Lifetime		None	M	T					
48. IRA#6 (H)									
49. Schwab Bank	A	Interest	L	T					
50. AT&T	A	Dividend			Sold	12/27/19	J	A	
51. Agilent Technologies	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 16

Name of Person Reporting

Chuang, Theodore D.

Date of Report

05/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Comcast Corporation	A	Dividend	J	T					
53. Ford Motor Co.	A	Dividend	J	T					
54. General Electric Co.	A	Dividend	J	T					
55. Keysight Tech, Inc.		None	J	T					
56. Microsoft Corp.	A	Dividend	J	T					
57. Oracle Corp.	A	Dividend	J	T					
58. Walt Disney Co.	A	Dividend	J	T					
59. Aberdeen Int'l Equity Fund	A	Dividend	J	T					
60. Federated Kaufmann Fund	D	Dividend	K	T					
61. Schwab S&P 500 Index Fund	A	Dividend	K	T					
62. Schwab Small Cap Index Fund	A	Dividend	J	T					
63. IRA#6A (H)									
64. CGM Mutual Fund (LOMMX) (X)	A	Dividend	J	T					
65. IRA #7 (H)									
66. Morgan Stanley Private Bank	A	Interest	J	T					
67. Morgan Stanley Bank	A	Interest	N	T					
68. Healthcare Select Sector SPDR Fund (XLV)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 16

Name of Person Reporting

Chuang, Theodore D.

Date of Report

05/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Cons Discret Select Sector SPDR Fund (XLY)	A	Dividend	J	T	Buy (add'l)	09/06/19	J		
70. The Financial Select Sector SPDR Fund (XLF)	A	Dividend	J	T	Buy	02/15/19	J		
71.					Buy (add'l)	03/13/19	J		
72. The Technology Select Sector SPDR Fund (XLK)	A	Dividend	J	T	Buy	03/21/19	J		
73. Invesco QQQ TR (QQQ)	A	Dividend	J	T	Buy	03/21/19	J		
74. SPDR S&P 500 ETF Trust (SPY)	A	Dividend	J	T					
75. Wells Fargo & Co New (WFC)	A	Dividend	J	T					
76. IShares MSCI Emerging Mkts ETF (EEM)	A	Dividend	J	T	Buy (add'l)	01/31/19	J		
77.					Buy (add'l)	06/27/19	J		
78. SPDR S&P Dividend Fund (SDY)	A	Dividend	J	T	Buy (add'l)	01/09/19	J		
79.					Buy (add'l)	02/06/19	J		
80.					Buy (add'l)	03/21/19	J		
81.					Sold (part)	11/04/19	J		
82. SPDR S&P Regional Banking ETF (KRE)	A	Dividend	J	T	Buy	02/15/19	J		
83.					Buy (add'l)	03/13/19	J		
84. IShares MSCI China ETF (MCHI)	A	Dividend	J	T	Buy (add'l)	03/13/19	J		
85.					Buy (add'l)	06/27/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 16

Name of Person Reporting

Chuang, Theodore D.

Date of Report

05/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. IShares US Aerospace & Def ETF (ITA)	A	Dividend	J	T	Buy	02/06/19	J		
87.					Buy (add'l)	03/13/19	J		
88. First Trust DJ Internet (FDN)		None	J	T	Buy	03/21/19	J		
89. Alibaba Group HLDG Ltd. common stock (BABA)		None	J	T					
90. Amgen, Inc. common stock (AMGN)	B	Dividend	L	T					
91. Apple, Inc. common stock (AAPL)	A	Dividend	J	T					
92. Applied Materials, Inc. common stock (AMAT)	A	Dividend	K	T					
93. Cisco common stock (CSCO)	A	Dividend	J	T					
94. Energy Select Sector SPDR Fund (XLE)	A	Dividend			Buy	03/21/19	J		
95.					Sold	08/12/19	J		
96. Invesco Prem US Govt MNY Inst.(IUGXX)	A	Dividend			Sold	02/15/19	J		
97. Cons Staples Sel Sect SPDR Fund (XLP)	A	Dividend	J	T					
98. IShares MSCI Brazil ETF (EWZ)	A	Dividend	J	T	Buy (add'l)	01/09/19	J		
99.					Buy (add'l)	06/27/19	J		
100. Comm Serv Select Sector SPDR (XLC)		None	J	T	Buy	02/15/19	J		
101.					Buy (add'l)	03/13/19	J		
102. Utilities Sel Sect SPDR Fund (XLU)	A	Dividend	J	T	Buy (add'l)	02/06/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 16

Name of Person Reporting

Chuang, Theodore D.

Date of Report

05/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. Walgreens Boots Alliance, Inc. common stock (WBA)	A	Dividend			Sold	03/05/19	J	A	
104. Lord Abbett Ultra Short Bond Fund (LUBFX)	A	Dividend	J	T	Buy	01/09/19	L		
105.					Sold (part)	02/15/19	J	A	
106.					Sold (part)	08/12/19	J	A	
107.					Sold (part)	09/06/19	J	A	
108.					Sold (part)	11/04/19	J	A	
109. IShares MSCI India ETF (INDA)	A	Dividend	J	T	Buy	01/24/19	J		
110. Starbucks common stock (SBUX)	A	Dividend	J	T	Buy	01/31/19	J		
111. Amazon common stock (AMZN)	A	Dividend	J	T	Buy	01/31/19	J		
112.					Buy (add'l)	02/15/19	J		
113. IShares MSCI Hong Kong ETF (EWH)	A	Dividend			Buy	01/31/19	J		
114.					Sold	08/12/19	J		
115. IShares MSCI Indonesia ETF (EIDO)		None			Buy	01/31/19	J		
116.					Sold	05/16/19	J		
117. Alphabet, Inc. Class A common stock (GOOGL)		None	J	T	Buy	01/31/19	J		
118. Microsoft Corp common stock (MSFT)	A	Dividend	J	T	Buy	01/31/19	J		
119. IShares Russell 2000 Growth ETF (IWO)	A	Dividend	J	T	Buy	02/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

Chuang, Theodore D.

Date of Report

05/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	03/13/19	J		
121. Industrial Sel Sec SPDR Fund (XLI)	A	Dividend	J	T	Buy	02/15/19	J		
122.					Buy (add'l)	03/13/19	J		
123. IShares PHLX Semiconductor (SOXX)	A	Dividend	J	T	Buy	02/15/19	J		
124.					Buy (add'l)	03/13/19	J		
125. IShares Russell Midcap G ETF (IWP)	A	Dividend	J	T	Buy	02/15/19	J		
126.					Buy (add'l)	03/13/19	J		
127. SPDR S&P Homebuilders (XHB)	A	Dividend	J	T	Buy	02/15/19	J		
128.					Buy (add'l)	03/13/19	J		
129. First Trust AMEX Biotech (FBT)		None	J	T	Buy	02/15/19	J		
130.					Buy (add'l)	03/13/19	J		
131. MasterCard, Inc. Class A common stock (MA)	A	Dividend	J	T	Buy	03/21/19	J		
132. SPDR Gold Tr Gold SHS (GLD)		None	J	T	Buy	08/12/19	J		
133. IShares Silver Shares (SLV)		None	J	T	Buy	08/12/19	J		
134. JPMorgan Chase common stock (JPM)	A	Dividend	J	T	Buy	09/06/19	J		
135. First Trust Rising Div Achiev (RDVY)	A	Dividend	J	T	Buy	09/06/19	J		
136.					Buy (add'l)	10/02/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

Chuang, Theodore D.

Date of Report

05/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Brokerage Account #4J (H)									
138. Schwab Bank (X)	A	Interest	J	T					
139. Invesco FTSE RAFI Dev Mkts (PDN) (X)	A	Dividend	J	T					
140. Invesco FTSE FAFI (Emerging Mkts) (PXF) (X)	A	Dividend	J	T					
141. Invesco FTSE RAFI US 1000 (PRF) (X)	A	Dividend	J	T					
142. Invesco FTSE RAFI US 1500 (PRFZ) (X)	A	Dividend	J	T					
143. Invesco FTSE RAFI Dev Mkts Ex-US (X) (PXF) (X)		None			Sold	08/06/19	J		
144. iShares Core MSCI (IEMG) (X)	A	Dividend	J	T					
145. iShares Core US REIT (USRT) (X)	A	Dividend	J	T					
146. iShares Gold ETF (IAU) (X)		None	J	T					
147. Schwab Fundamental INL (FNDF) (X)	A	Dividend	J	T	Buy	08/06/19	J		
148. Schwab Intermediate Term (SCHR) (X)	A	Dividend	J	T					
149. Schwab International Small Cap (SCHC) (X)	A	Dividend	J	T					
150. Schwab US Small Cap ETF (SCHA) (X)	A	Dividend	J	T					
151. Schwab US TIPS ETF (SCHP) (X)	A	Dividend	J	T					
152. SPDR Bloomberg Barclays (EBND) (X)	A	Dividend	J	T					
153. Vanguard FTSE Developed (VEA) (X)	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

Chuang, Theodore D.

Date of Report

05/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Vanguard Mortgage Backed (VMBS) (X)	A	Dividend	J	T					
155. Vanguard S&P 500 ETF (VOO) (X)	A	Dividend	J	T					
156. XTrackers Inter Real Estate (HAUZ) (X)	A	Dividend	J	T	Buy	05/21/19	J		
157. XTrackers USD High Yield (HYLB) (X)	A	Dividend	J	T					
158. IShares Intl Devel Real Estate (IFGL) (X)		None			Sold	05/21/19	J	A	
159. Brokerage Account #4C (H)									
160. Schwab Bank (X)	A	Interest	J	T					
161. Schwab US REIT (SCHH) (X)	A	Dividend	J	T					
162. Schwab Emerging Markets (SCHE) (X)	A	Dividend	J	T					
163. Schwab Funda Emg Markets (FNDE) (X)	A	Dividend	J	T					
164. Schwab Fundamental INL Large (FNDF) (X)	A	Dividend	J	T					
165. Schwab Fundamental INTL Small (FNDC) (X)	A	Dividend	J	T					
166. Schwab Fundamental US Large (FNDX) (X)	A	Dividend	J	T					
167. Schwab Fundamental US Small (FNDA) (X)	A	Dividend	J	T					
168. Schwab International (SCHF) (X)	A	Dividend	J	T					
169. Schwab International Small Cap (SCHC) (X)	A	Dividend	J	T					
170. Schwab US Large Cap EFT (SCHX) (X)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 16

Name of Person Reporting

Chuang, Theodore D.

Date of Report

05/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Schwab US Small Cap ETF (SCHA) (X)	A	Dividend	J	T					
172. Brokerage Account #4K (H)									
173. Schwab Bank (X)	A	Interest	J	T					
174. Schwab US REIT (SCHH) (X)	A	Dividend	J	T					
175. Schwab Emerging Markets (SCHE) (X)	A	Dividend	J	T					
176. Schwab Funda Emg Markets (FNDE) (X)	A	Dividend	J	T					
177. Schwab Fundamental INL Large (FNDF) (X)	A	Dividend	J	T					
178. Schwab Fundamental INTL Small (FNDC) (X)	A	Dividend	J	T					
179. Schwab Fundamental US Large (FNDX) (X)	A	Dividend	J	T					
180. Schwab Fundamental US Small (FNDA) (X)	A	Dividend	J	T					
181. Schwab International (SCHF) (X)	A	Dividend	J	T					
182. Schwab International Small Cap (SCHC) (X)	A	Dividend	J	T					
183. Schwab US Large Cap EFT (SCHX) (X)	A	Dividend	J	T					
184. Schwab US Small Cap ETF (SCHA) (X)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting Chuang, Theodore D.	Date of Report 05/03/2020
--	---

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Chuang, Theodore D.

Date of Report

05/03/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Theodore D. Chuang**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544