

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gorman, Mary P.	2. Court or Organization Central District of Illinois	3. Date of Report 05/08/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 600 East Monroe St. #235 Springfield, Illinois 62701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1. Brother-in-law is settlor. Sole beneficiaries are my sister, nieces and nephews.
2. Trustee	Trust #2. Brother -in-law is sttlor. Sole beneficiaries are my sister, nieces and nephews.
3. Board member and treasurer - position ended September 2019	Rail Meadows Homeowners Association, Springfield, Illinois. Non-profit corporation.
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Gorman, Mary P.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Gorman, Mary P.	05/08/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. [REDACTED] Trust #1- checking account at JP Morgan Chase		None	J	T					
2. [REDACTED] Trust #2 - checking account at JP Morgan Chase		None	J	T					
3. [REDACTED] Trust #1- whole life policy with Gen. Amer. Life	A	Dividend	K	T					
4. [REDACTED] Trust #2 - whole life policy with Gen. Amer. Life	A	Dividend	O	T					
5. Whole life policy with Northwestern Mutual Life Ins.	A	Dividend	K	T					
6. AMG Funds Timessquare Mid Cap Gr.	B	Dividend	K	T					
7. American Funds Euro Pac.Growth Fd.	B	Dividend	K	T	Buy	07/23/19	J		
8.					Buy (add'l)	08/12/19	K		
9.					Buy (add'l)	08/13/19	J		
10. Artisan Partners Fds. Small Cap Fd.	B	Dividend	J	T					
11. Blackrock Funds Core Bond	A	Dividend			Sold	08/13/19	J	A	
12. BlackrockGlobal Alloc. Instit.	B	Dividend	K	T					
13. Blackrock Global Long/Short Credit Fd.		None			Buy	02/13/19	J		
14.					Sold	08/12/19	J	A	
15. Delaware Group Equity FDS II Value Fd.	B	Dividend	K	T					
16. Deutsche Sec. Enhanced Commodity Strat. Fd. (DWS)	A	Dividend			Sold	08/12/19	J	A	
17. Deutsche Sec. Global Real Est. Secs. Fd. (DWS)	A	Dividend			Sold	07/23/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Table with 2 columns: Name of Person Reporting (Gorman, Mary P.), Date of Report (05/08/2020)

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A (Description of Assets), B (Income during reporting period), C (Gross value at end of reporting period), D (Transactions during reporting period), and sub-columns for each. Rows include various funds like BNY Mellon, Eaton Vance, Fidelity, and Goldman Sachs.

1 Income Gain Codes: A=\$1,000 or less, B=\$1,001 - \$2,500, C=\$2,501 - \$5,000, D=\$5,001 - \$15,000, E=\$15,001 - \$50,000
2 Value Codes: F=\$50,001 - \$100,000, G=\$100,001 - \$1,000,000, H1=\$1,000,001 - \$5,000,000, H2=More than \$5,000,000
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Name of Person Reporting

Gorman, Mary P.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold (part)	04/12/19	J	A	
36.					Sold (part)	07/12/19	J	A	
37. Hotchkis & Wiley Mid Cap Value Fund		None			Sold	02/26/19	J	B	
38. Hartford Mut. Funds FDS- Midcap Funds	A	Dividend	K	T	Buy (add'l)	08/13/19	J		
39. Jensen Portfolio Income	A	Dividend	J	T	Buy	07/23/19	J		
40. John Hancock Classic Value Fund	A	Dividend	J	T	Buy (add'l)	07/23/19	J		
41. John Hancock Funds Absolute Return Currency	A	Dividend	J	T	Sold (part)	12/16/19	J	A	
42. John Hancock Funds III Disciplined Value Cap Fd	A	Dividend	J	T	Buy	02/26/19	J		
43.					Buy (add'l)	07/23/19	J		
44. JP Mprgan Tr. I US Equity Fund	A	Dividend	J	T					
45. JP Morgan Chase - bank account		None	L	T					
46. JP Morgan Chase- bank account	A	Interest	K	T					
47. JP Morgan US Large Cap Core Plus Fd.		None			Sold	02/13/19	K	A	
48. Lazard Fds. Intl. Equity Port Instl		None			Sold	08/12/19	K	A	
49. LoCorr Invt. Trust Macro Strategies Fund		None	J	T	Buy	12/06/19	J		
50. Mainstay Large Cap Growth Fd Cl. I	C	Dividend	K	T	Buy (add'l)	08/12/19	J		
51. Meridian FD Inc. Sm Cap Growth Fds	B	Dividend	K	T	Buy (add'l)	08/13/19	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. Metropolitan West Funds Total Return Bond Fund	A	Dividend	K	T	Buy	08/12/19	K		
53. MFS Ser. TR III Municipal High Inc. Fund CL I	A	Dividend	J	T					
54. MFS Ser. TR X Emerging Mkts Class I	A	Dividend	J	T					
55. MFS Ser. Tr.I Value Fund	A	Dividend	K	T	Buy	03/21/19	K		
56.					Buy (add'l)	03/22/19	J		
57. Natixis Fds. ASG Global Alternatives Fd. (Managed Futures)	A	Dividend	J	T	Sold (part)	08/13/19	J	A	
58. Nuveen Invest. Fds. R.E. Sec. Fds Class I	A	Dividend			Sold	08/12/19	J	A	
59. Invesco Oppenheimer Dev. Mkts Fd. (name change)	A	Dividend	K	T					
60. Invesco Oppenheimer Intl. Growth Fund (name change)	A	Dividend			Sold	07/23/19	J	C	
61. InvescoOppenheimer Global Fund (name change)	A	Dividend	J	T	Sold (part)	01/11/19	J	A	
62.					Sold (part)	04/12/19	J	A	
63.					Sold (part)	07/12/19	J	A	
64. Pimco All Asset Fund	A	Dividend	K	T	Sold (part)	08/29/19	J	A	
65. Pimco Funds Pacific Investment	A	Dividend	J	T					
66. Principal Funds High Yield Fd.	A	Dividend	J	T					
67. Principal Funds Inc. Global Multi-Strategy Fd		None			Sold	02/13/19	J	A	
68. Principal Fds Global R.E. Sec. Funds	A	Dividend			Sold (part)	07/23/19	J	A	

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69.					Sold	08/12/19	J	A	
70. Putnam Absolute Return		None	J	T					
71. Russell Invt. Co. Global Infrastructure Fd.	A	Dividend			Sold	10/23/19	J	A	
72. Russell Invt. Co. Commodity Strat. Fund		None			Sold	10/23/19	J	A	
73. Russell Invt. Co Emerging Mkts. Fd	B	Dividend	L	T					
74. Russell Invt. Co. Developed Mkts Fd.	B	Dividend	L	T					
75. Russell Invt. Co. Strategic Bond Fd.	A	Dividend	K	T	Buy (add'l)	10/24/19	J		
76. Russell Invt. Co. US Small Cap Equity Fd.	C	Dividend	L	T	Buy (add'l)	10/23/19	K		
77. Russell Invt. Co. US Strat. Equity Fd.	D	Dividend	M	T	Buy (add'l)	10/23/19	K		
78. Russell Multi Asset Growth Strategy Fd.	C	Dividend			Sold (part)	10/11/19	J	A	
79.					Sold	10/23/19	K	A	
80. T. Rowe Price Blue Chip Growth Fund		None			Sold	07/23/19	J	C	
81. T. Rowe Price IntrnlFund-Overseas Stock	A	Dividend	K	T	Buy (add'l)	07/23/19	J		
82. T. Rowe Price Summit Mun. Fds.	A	Dividend	J	T					
83. Thornburg Inv. Tr. Global Opportunities Fund	A	Dividend	J	T					
84. TCW Funds Relative Value Large Cap		None			Sold	03/21/19	K	A	
85. Tributary Fds. Sm Co. Fund	A	Dividend	J	T	Sold (part)	07/23/19	J	A	

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86. Undiscovered Managers Behavioral Value Fund	A	Dividend	K	T	Buy	08/12/19	J		
87. Victory Portfolios Small Co Opportunity Fd.	B	Dividend	K	T	Buy (add'l)	07/23/19	J		
88. Virtus Emerging Mkts Opp. Ff n/k/a Virtus Opportunities	A	Dividend	J	T	Sold (part)	08/12/19	J	A	
89. Wells Fargo Fds. Emerging Mkts Equity Fund	A	Dividend	K	T					
90. Wells Fargo Global Sm Cap	A	Dividend	J	T	Buy (add'l)	07/23/19	J		
91. Wells Fargo Funds Spec. Mid Cap Value	A	Dividend	K	T	Buy (add'l)	08/14/19	J		
92. Wells Fargo Absolute Return Fund	B	Dividend	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary P. Gorman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544