

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FRENSLEY , JEFFERY S.	2. Court or Organization U. S. DISTRICT COURT, TENNESSEE	3. Date of Report 05/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE - FULL TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U. S. COURTHOUSE 801 BROADWAY NASHVILLE, TENNESSEE 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. EXECUTOR	ESTATE #1 (No Reportable Assets)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

Name of Person Reporting	Date of Report
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	TEMPLE UNIVERSITY	TUITION	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. SUNTRUST BANK	A	Int./Div.	K	T					
2. CRITERION CAPITAL ADVISORS									
3. SGOIX FIRST EAGLE FUNDS OVERSEAS	B	Dividend	K	T					
4. ANWFX AMERICAN FUNDS NEW PERSPECTIVE	A	Dividend			Redeemed	05/17/19	K	C	
5. NFFFX AMERICAN FUNDS NEW WORLD FUND	A	Dividend			Redeemed	5/17/19	K	B	
6. WMFFX AMERICAN FUNDS WASHINGTON MUTUAL	B	Dividend			Redeemed	05/17/19	K	C	
7. WEIMX TETON WESTWOOD EQUITY	A	Dividend	J	T					
8. FDRXX Fidelity Gov. Reserves	A	Dividend	J	T					
9. PGWFX American Funds GLBL Growth PORT CL	B	Dividend	K	T					
10. Ishares TR EAFE SML Cap Equity I	A	Dividend			Sold	01/03/19	J	A	
11. FMACX AMCAP Fund	B	Dividend	L	T	Buy	05/17/19	K		
12. FNPFX New Perspective Fund F3	C	Dividend	L	T	Buy	05/17/19	L		
13. FNWFX American New World Fund F3	A	Dividend	K	T	Buy	05/17/19	K		
14. SFCWX American Smallcap World Fund F3	B	Dividend	K	T	Buy	05/17/19	K		
15. FWMIX Washington Mutual Investors FDCL F3	C	Dividend	L	T	Buy	05/17/19	L		
16. ROTH IRA									
17. VAFAX INV AMER FRANCH CL A	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. UTMA									
19. RAYMOND JAMES BANK DEPOSIT PROGRAM	A	Interest	J	T					
20. ICSH IShares TR ULTRA SH TRM BD	A	Dividend	L	T	Buy	01/09/19	L		
21. 529									
22. AAFXX American VA 529 U.S. Governement Money Market	B	Dividend	K	T					
23.					Sold (part)	1/4/19	K	A	
24.					Sold (part)	8/26/19	K	A	
25. IRA #1									
26. FDRXX Fidelity Gov. Reserves	A	Dividend	J	T					
27. ASPZX Alger Spectra CLZ	A	Dividend	J	T					
28. CMNIX Calamos Mkt Neutral Income	A	Dividend	J	T					
29. SGOIX First Eagle Overseas	A	Dividend	J	T					
30. WOBDX JP Morgan Core Bond	A	Dividend	K	T					
31. TTRZX Templeton Global Total RTADV	A	Dividend	K	T					
32. AZMIX Allianzgi NFS Emerg Mrkts Value Ins H	A	Dividend	J	T	Buy	05/16/19	J		
33. OAYIX Oakmark INTL Advisor Fund	A	Dividend	J	T	Buy	01/23/19	J		
34.					Buy	05/16/19	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. BPAIX Boston Partners All Cap Value INSTL	A	Dividend	J	T	Buy	05/16/19	J		
36. LLDYX Lord Abbett Short Duration Income	A	Dividend	K	T	Buy	09/25/19	K		
37. General Investment Account									
38. FDRXX Fidelity Gov. Reserves	A	Dividend	J	T					
39. ASPZX Alger Spectra CLZ	A	Dividend	J	T					
40. AZMIX Allianzgi NFJ Emerg Mrkts	A	Dividend	J	T					
41. CMNIX Calamos Mkt Neutral Income	A	Dividend	J	T	Sold (part)	6/21/19	J	A	
42. OAYIX Oakmark Intl Advisor Fund	A	Dividend	J	T					
43. BPAIX Boston partners ALL CAP	A	Dividend	J	T					
44. TTRZX Templeton Global Total RTADV	B	Dividend	K	T	Buy	09/26/19	J		
45. WOBDX JP Morgan Core Bond	A	Dividend			Sold	01/31/19	K	A	
46. SHY IShares Trust 1-3 yr Treasury Bond	A	Dividend			Sold	09/25/19	K	A	
47. FDZXX Fidelity MMKT	A	Interest	K	T					
48. LLDYX Lord Abbett Short Duration Income	A	Dividend	K	T	Buy	09/25/19	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- I. 1. Estate #1 is the estate of a family member. There are no reportable assets in the estate.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JEFFERY S. FRENSLEY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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