

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Davis, Mark S.                                                                                                                       | <b>2. Court or Organization</b><br><br>U.S. District Court, Eastern District of Virginia                                                                                                                                                                                                 | <b>3. Date of Report</b><br><br>5/26/2020                        |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>United States District Judge                                                  | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2019<br>to<br>12/31/2019 |
| <b>7. Chambers or Office Address</b><br><br>United States District Court<br>Walter E. Hoffman United States Courthouse<br>600 Granby Street, Suite 132<br>Norfolk, VA 23510                               |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☒ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-----------------|------------------------------------|
| 1.              |                                    |
| 2.              |                                    |
| 3.              |                                    |
| 4.              |                                    |
| 5.              |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☐ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u>                                                                                    |
|-------------|-------------------------------------------------------------------------------------------------------------|
| 1. 2003     | Virginia Retirement System (reflects continued assets in VRS from service as Virginia judge from 2003-2008) |
| 2.          |                                                                                                             |
| 3.          |                                                                                                             |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Davis, Mark S.

Date of Report

5/26/2020

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                         | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------------------------------|----------------------------------------|
| 1. 2019     | Virginia Retirement System/retirement benefits | \$12,282.84                            |
| 2.          |                                                |                                        |
| 3.          |                                                |                                        |
| 4.          |                                                |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1. 2019     | BB&T retirement        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Davis, Mark S.           | 5/26/2020      |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

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Name of Person Reporting

Davis, Mark S.

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5/26/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Wells Fargo Account                                                                                                     | A                                      | Interest                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 2. Towne Bank Account                                                                                                      | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 3. BB&T Bank Account                                                                                                       | A                                      | Interest                               | O                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 4. Nationwide Life Insurance Whole Life Policy                                                                             | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 5. Lincoln National Variable Annuity (H)                                                                                   |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 6. -American Funds Growth & Income Fund                                                                                    | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 7. IRA #1 (H)                                                                                                              |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 8. -T. Rowe Price Equity Income Fund                                                                                       | C                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 9. -T. Rowe Price International Discovery Fund                                                                             | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 10. -T. Rowe Price New America Growth Fund                                                                                 | C                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 11. -T. Rowe Price New Horizons Fund                                                                                       | C                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 12. -T. Rowe Price Small Cap Value Fund                                                                                    | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 13. -T. Rowe Price Spectrum International Fund                                                                             | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 14. -T. Rowe Price Government Money Fund                                                                                   | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 15. IRA #2 (H)                                                                                                             |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 16. -T. Rowe Price New America Growth                                                                                      | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 17. IRA #3 (H)                                                                                                             |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. -T. Rowe Price New Horizons Fund                                                                                       | D                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 19. -T. Rowe Price Small Cap Value Fund                                                                                    | B                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 20. -T. Rowe Price Government Money Fund                                                                                   | A                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 21. Retirement #1 (H)                                                                                                      |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 22. - Deposit Account (BB&T)                                                                                               | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 23. - Sterling LCV al Divid.                                                                                               | D                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 24. - Fidelity Contrafund                                                                                                  | D                                      | Dividend                                      | N                                              | T                                         | Buy<br>(add'l)                                  | 07/23/19                | J                               |                                |                                                                   |
| 25. - Legal & General S&P 500                                                                                              | A                                      | Dividend                                      | O                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 26. - Sterling Mid-Cap Value                                                                                               | D                                      | Dividend                                      |                                                |                                           | Sold                                            | 10/10/19                | M                               |                                |                                                                   |
| 27. - Vanguard Mid-Cap Value                                                                                               | B                                      | Dividend                                      | M                                              | T                                         | Buy                                             | 10/10/19                | M                               |                                |                                                                   |
| 28. - Sterling Special Opps Equity                                                                                         | D                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 29. - T. Rowe Price Inst. Mid-Cap Equity Gro<br>Fund                                                                       | E                                      | Dividend                                      | O                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 30. - American Europacific Gr                                                                                              | C                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 31. BB&T Stock                                                                                                             | D                                      | Dividend                                      | N                                              | T                                         | Donated<br>(part)                               |                         |                                 |                                |                                                                   |
| 32. Raymond James Financial Services (H)                                                                                   |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 33. - Capital Income Builder Fund Class A-<br>American Funds M/F                                                           | A                                      | Dividend                                      |                                                |                                           | Donated                                         |                         |                                 |                                |                                                                   |
| 34. - Fundamental Investors Fund Class A-<br>American Funds M/F                                                            | A                                      | Dividend                                      |                                                |                                           | Donated                                         |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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☐

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. - Growth Fund of America Class A-<br>American Funds M/F                                                                | A                                      | Dividend                               |                                                |                                    | Donated                                   |                  |                          |                         |                                                            |
| 36. - Income Fund of America Class A-<br>American Funds M/F                                                                | A                                      | Dividend                               |                                                |                                    | Donated                                   |                  |                          |                         |                                                            |
| 37. - Smallcap World Fund Class A-American<br>Funds M/F                                                                    | A                                      | Dividend                               |                                                |                                    | Donated                                   |                  |                          |                         |                                                            |
| 38. Trust #1 (H)                                                                                                           |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 39. - T. Rowe Price Virginia Tax Free Bond #1                                                                              | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 40. - Federated Virginia Muni Money Market                                                                                 | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 41. Virginia 529 #1, Stock Index                                                                                           | A                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 12/30/19         | J                        |                         |                                                            |
| 42. Virginia 529 #2, Stock Index                                                                                           | A                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 12/30/19         | J                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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Date of Report

5/26/2020

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

### VII. INVESTMENTS and TRUSTS

Line 30 - American Europacific Gr is correctly identified in this report as an investment under "Retirement #1 (H)." The 2018 Financial Disclosure Report did not include the necessary hyphen to correctly identify the investment as part of the Retirement #1 heading.

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| Name of Person Reporting | Date of Report |
|--------------------------|----------------|
| Davis, Mark S.           | 5/26/2020      |

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mark S. Davis**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544