

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kennelly, Matthew F.	2. Court or Organization Northern District of Illinois	3. Date of Report 05/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - active status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address United States District Court 219 South Dearborn Street Room 2188 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2. Director	Richard Linn American Inn of Court (bar organization)
3. Director	Federal Bar Association, Chicago Chapter (bar organization)
4. Director	HFS Chicago Scholars (not for profit scholarship fund)
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	City of Evanston, Illinois
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Duke Law School	3/13/2019-3/15/2019	Durham, NC	Speaker at seminar	transportation, lodging and meals
2. American Law Institute	7/24/2019-7/27/2019	Santa Fe, NM	Speaker at seminar	transportation, lodging and meals
3. New York University Law School	9/26/2019-9/27/2019	New York, NY	Speaker at seminar	transportation, lodging and meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Union League Club of Chicago	Privilege holder (< dues than member; privilege of using facilities @ standard fees)	\$1,800.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Am. Funds Europacific Growth Fund mut. fund	D	Dividend	N	T					
2. Am. Funds Fundamental Investors mut. fund	E	Dividend	N	T					
3. Am. Funds Growth Fund of Am. mut. fund	E	Dividend	O	T					
4. Am. Funds Investment Co. of Am. mut. fund	E	Dividend	N	T					
5. MFS Municipal Ltd Maturity Bond Fund mut fund	A	Dividend	K	T	Sold (part)	12/30/19	J	A	
6. Am. Funds New Perspective mut. fund	D	Dividend	N	T					
7. Am. Funds Smallcap World Fund mut. fund	D	Dividend	M	T					
8. Am. Funds Tax Exempt Bond Fund of Am. mut. fund	B	Dividend	L	T					
9. Dreyfus Insured Deposit - money mkt. fund	A	Dividend	K	T					
10. Fidelity Cash Reserves - money mkt. fund	B	Dividend	M	T					
11. Fidelity Growth & Income mut. fund	A	Dividend	K	T					
12. JP Morgan Chase bank accounts	A	Interest	J	T					
13. JP Morgan Chase checking account		None	J	T					
14. Am. Funds AMCAP Fund mut. fund (IRA)	D	Dividend	M	T					
15. Am. Century Diversified Bond Fund (IRA)	A	Dividend	L	T					
16. Am. Century Small Cap Growth mut. fund (IRA)	D	Dividend	M	T					
17. Am. Century Heritage Fund mut. fund (IRA)	E	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Am. Corporate Bond Fund (IRA)	C	Dividend	M	T					
19. Am. High Income Trust mut. fund (IRA)	C	Dividend			Sold	09/23/19	L		
20. Am. Funds Bond Fund of Am. mut. fund (IRA)	D	Dividend	N	T	Buy (add'l)	09/23/19	L		
21. Am. Funds Europacific Growth Fund mut. fund (IRA)	A	Dividend			Sold	09/23/19	K	D	
22. Am. Funds Growth Fund of Am. mut. fund (IRA)		None			Sold	09/23/19	L	E	
23. Am. Funds Investment Co. of Am. mut. fund (IRA)	C	Dividend	L	T	Sold (part)	09/23/19	J	A	
24. Am. Funds New World Fund (IRA)	D	Dividend	N	T	Buy (add'l)	09/23/19	M		
25. Russell Global Real Estate Secs. mut. fund (IRA) (name chng)	D	Dividend	M	T					
26. Am. Funds Smallcap World Fund mut. fund (IRA)		None			Sold	09/23/19	J	A	
27. Am. Funds Wash. Mut. Investors mut. fund (IRA)	D	Dividend	M	T					
28. AB Global Bond Fund mut. fund (IRA)	D	Dividend	M	T					
29. Brown Cap. Mgmt. Small Co. Fund mut. fund (IRA)	C	Dividend	K	T					
30. Credit Suisse Commod. Return Strategy Fund (IRA)	A	Dividend			Sold	09/23/19	K		
31. Delaware Emerging Mkts. mut. fund (IRA)	A	Dividend	K	T	Sold (part)	09/23/19	K	C	
32. DWS Enhanced Commod. Strategy Fund (IRA)	B	Dividend	L	T					
33. John Hancock Disiplined Value Mid Cap Fund (IRA)	B	Dividend	K	T					
34. Oppenheimer Developing Mkts. Fund mut. fund (IRA)	B	Dividend	K	T	Sold (part)	09/23/19	K	C	

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Pimco Commod. Real Return Fund mut. fund. (IRA)	B	Dividend	L	T					
36. Silverpepper Commod. Strategy Global Fund mut. fund. (IRA)	A	Dividend	L	T	Buy	09/23/19	L		
37. Dreyfus Insured Deposit money mkt. fund (IRA)	A	Interest	J	T					
38. Northwestern Mutual Extra Ordinary Life whole life ins. policy	C	Dividend	N	T					
39.									
40.									
41.									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
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P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
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K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Matthew F. Kennelly**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544