

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                        |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Bolton, Susan R.                                                                                                                     | <b>2. Court or Organization</b><br><br>U.S. District Court, Arizona                                                                                                                                                                    | <b>3. Date of Report</b><br><br>05/28/2020                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>U.S. District Judge - Senior                                                  | <b>5a. Report Type (check appropriate type)</b><br><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final | <b>6. Reporting Period</b><br><br>01/01/2019<br>to<br>12/31/2019 |
|                                                                                                                                                                                                           | <b>5b.</b> <input checked="" type="checkbox"/> Amended Report                                                                                                                                                                          |                                                                  |
| <b>7. Chambers or Office Address</b><br><br>401 W. Washington St., SPC 50<br>Suite 522<br>Phoenix, AZ 85003                                                                                               |                                                                                                                                                                                                                                        |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                        |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |
| 4. |  |
| 5. |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|         |                                                                                                       |
|---------|-------------------------------------------------------------------------------------------------------|
| 1. 1989 | Arizona Elected Officials Retirement Plan (pension plan from prior employment as a state court judge) |
| 2.      |                                                                                                       |
| 3.      |                                                                                                       |

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Name of Person Reporting

Bolton, Susan R.

Date of Report

05/28/2020

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

### A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                    | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|-------------------------------------------|----------------------------------------|
| 1. 2019     | Arizona Elected Officials Retirement Plan | \$71,289.00                            |
| 2.          |                                           |                                        |
| 3.          |                                           |                                        |
| 4.          |                                           |                                        |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☒

NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

## IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☒

NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Bolton, Susan R.         | 05/28/2020     |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

| CREDITOR                             | DESCRIPTION     | VALUE CODE |
|--------------------------------------|-----------------|------------|
| 1. Merrill Lynch Single Premium Life | Cash value loan | J          |
| 2.                                   |                 |            |
| 3.                                   |                 |            |
| 4.                                   |                 |            |
| 5.                                   |                 |            |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Bank of America cash-equivalent                                                                                         | A                                      | Interest                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 2.                                                                                                                         |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 3. Merrill Lynch Single Premium Life-Insurance                                                                             |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 4. U.S. Savings Bonds                                                                                                      |                                        | None                                   | O                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 5. TA Retirement Plan fka ML Retirement Plus-Annuity(variable)(H)                                                          |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 6. --AB GLBL THMTC GR PRT                                                                                                  |                                        |                                        | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 7. --Blackrock High Yield                                                                                                  |                                        |                                        | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 8. --BLK Basic Value VI                                                                                                    |                                        |                                        | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 9. --BLK GLocal Alloc VI                                                                                                   |                                        |                                        | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 10. --BR ADV US TTL MKT VI                                                                                                 |                                        |                                        | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 11. --Invesco VI Core Eqty                                                                                                 |                                        |                                        | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 12. Transamerica Landmark Annuity (variable) (H)                                                                           |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 13. --TA Jennison Growth                                                                                                   |                                        |                                        |                                                |                                    | Sold                                      | 11/01/19         | L                        |                         |                                                            |
| 14. --TA Aegon High Yield Bond                                                                                             |                                        |                                        | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 15. --Fidelity-VIP Contrafund Portfolio                                                                                    |                                        |                                        | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 16. --Fidelity-VIP Mid Cap Portfolio                                                                                       |                                        |                                        | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 17. --TA Morgan Stanley Capital Growth                                                                                     |                                        |                                        | L                                              | T                                  | Buy                                       | 11/01/19         | L                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Bolton, Susan R.

Date of Report

05/28/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. --TA Asset Allocation - Moderate                                                                                       |                                        |                                               | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 19. --AB Growth and Income Portfolio                                                                                       |                                        |                                               | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 20. Allianz Franklin-Annuity (variable)(H)                                                                                 |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 21. --AZL Small Cap Index                                                                                                  |                                        |                                               | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 22. --AZL Mid Cap Index                                                                                                    |                                        |                                               | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 23. --Templeton Growth VIP Fund                                                                                            |                                        |                                               | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 24. --AZL International Index                                                                                              |                                        |                                               | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 25. --AZL Russell 1000 Index                                                                                               |                                        |                                               | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 26. --AZL T.Rowe Price Capital App                                                                                         |                                        |                                               | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 27. --BlackRock Global Allocation                                                                                          |                                        |                                               | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 28. --Franklin Income VIP Fund                                                                                             |                                        |                                               | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 29. Keyport Keyannuity (fixed rate)                                                                                        | D                                      | Interest                                      | N                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 30. Keyport Keyannuity (fixed rate)                                                                                        | C                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 31. IRA #1 (H)                                                                                                             |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 32. --Invesco S&P ULT Divd Rev                                                                                             | B                                      | Dividend                                      | L                                              | T                                         | Buy                                             | 05/07/19                | L                               |                                |                                                                   |
| 33. --Invesco Aerospace                                                                                                    | C                                      | Dividend                                      | M                                              | T                                         | Buy                                             | 08/20/19                | M                               |                                |                                                                   |
| 34. --Wisdomtree US Midcap                                                                                                 | C                                      | Dividend                                      | L                                              | T                                         | Buy                                             | 05/07/19                | L                               |                                |                                                                   |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

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Name of Person Reporting

Bolton, Susan R.

Date of Report

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. --ISHares Edge MSCI MID VOL                                                                                            | B                                      | Dividend                               | L                                              | T                                  | Buy                                       | 05/07/19         | L                        |                         |                                                            |
| 36. --Vanguard Growth Index Fund                                                                                           | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 37. --Total Stock Mrt Index Adm                                                                                            | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 38. --Berkshire BK CD                                                                                                      | A                                      | Interest                               | M                                              | T                                  | Buy                                       | 04/02/19         | M                        |                         |                                                            |
| 39. --BMW BK CD                                                                                                            | A                                      | Interest                               | M                                              | T                                  | Buy                                       | 10/08/19         | M                        |                         |                                                            |
| 40. --Sally Mae BK CD                                                                                                      | A                                      | Interest                               | M                                              | T                                  | Buy                                       | 04/02/19         | M                        |                         |                                                            |
| 41. --Ally Bank CD                                                                                                         | A                                      | Interest                               | M                                              | T                                  | Buy                                       | 08/26/19         | M                        |                         |                                                            |
| 42. --Morgan Stanley PVT BK CD                                                                                             | A                                      | Interest                               | M                                              |                                    | Buy                                       | 04/02/19         | M                        |                         |                                                            |
| 43. --Goldman Sachs BK CD                                                                                                  | A                                      | Interest                               | K                                              |                                    | Buy                                       | 06/13/19         | K                        |                         |                                                            |
| 44. --Goldman Sachs BK CD                                                                                                  | A                                      | Interest                               | L                                              |                                    | Buy                                       | 04/10/19         | L                        |                         |                                                            |
| 45. --Key Bank CD                                                                                                          | A                                      | Interest                               |                                                |                                    | Redeemed                                  | 06/14/19         | K                        |                         |                                                            |
| 46. --BMW BK North America CD                                                                                              | B                                      | Interest                               |                                                |                                    | Redeemed                                  | 08/26/19         | M                        |                         |                                                            |
| 47. --Sallie Mae BK CD                                                                                                     | B                                      | Interest                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 48. --Discover Bank CD                                                                                                     | B                                      | Interest                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 49. --American Express Bank CD                                                                                             | B                                      | Interest                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 50. --Verizon Communications                                                                                               | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 51. --Bank of America NA cash-equivalent                                                                                   | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. --Pepsico common stock                                                                                                 | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 53. --Yum Brands common stock                                                                                              | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 54. --Yum China Holdings, Inc                                                                                              | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 55. --Invesco OPNHMR Global fka<br>Oppenheimer Income Trust mutual fund                                                    | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 56. --Motorola Solutions                                                                                                   | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 57. --Vodafone common stock                                                                                                | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 58. --Blackrock Global Mutual Fund                                                                                         | A                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 59. --Wal-Mart common stock                                                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 60. --Invesco QQQ                                                                                                          | A                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 61. --IShares Russell 1000                                                                                                 | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 62. --IShares Russell Midcap                                                                                               | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 63. --IShares S&P Smallcap 500                                                                                             | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 64. --IShares Edge MSCI Qual                                                                                               | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 65. --IShares Inc Core MSCI                                                                                                | B                                      | Dividend                               |                                                |                                    | Sold                                      | 08/22/19         | K                        |                         |                                                            |
| 66. --Vanguard Small Cap Value                                                                                             | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 67. --Vanguard Value ETF                                                                                                   | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 68. --Vanguard Dividend Appreciation ETF                                                                                   | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

Bolton, Susan R.

Date of Report

05/28/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 69. --Vanguard Mid-Cap Val Idx                                                                                             | B                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 70. --Vanguard FTSE Developed                                                                                              | C                                      | Dividend                                      |                                                |                                           | Sold                                            | 05/17/19                | L                               |                                |                                                                   |
| 71. U.S. Savings Bonds                                                                                                     |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 72. U.S. Savings Bonds                                                                                                     |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 73. US Savings Bonds                                                                                                       |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 74. Bank of America Dep Account Preferred cash-equivalent                                                                  | C                                      | Interest                                      | O                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 75. IRA #2 (H)                                                                                                             |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 76. --Vanguard Total Bond Mkt                                                                                              | B                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 05/01/19                | K                               |                                |                                                                   |
| 77. --Vanguard Total Intl. Bond                                                                                            | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 05/01/19                | K                               |                                |                                                                   |
| 78. --Vanguard Total Int Stock                                                                                             | C                                      | Dividend                                      | L                                              | T                                         | Buy                                             | 05/01/19                | L                               |                                |                                                                   |
| 79. --Vanguard TTL STK MKT                                                                                                 | B                                      | Dividend                                      | M                                              | T                                         | Buy                                             | 05/01/19                | M                               |                                |                                                                   |
| 80. --Invesco Premium US Government MNY?                                                                                   | A                                      | Interest                                      | K                                              | T                                         | Buy                                             | 05/01/19                | K                               |                                |                                                                   |
| 81. --Blackrock GLB                                                                                                        | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 04/29/19                | J                               | A                              |                                                                   |
| 82. --Davis Global                                                                                                         | D                                      | Dividend                                      |                                                |                                           | Sold                                            | 04/29/19                | M                               | E                              |                                                                   |
| 83. --JPMorgan Global                                                                                                      | B                                      | Dividend                                      |                                                |                                           | Sold                                            | 04/29/19                | L                               | D                              |                                                                   |
| 84. --Morgan Stanley Bank cash-equivalent                                                                                  | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 85. IShares Global Tech                                                                                                    | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. Invesco Buy Back                                                                                                       | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 87. Vanguard Value                                                                                                         | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 88. Vanguard Growth                                                                                                        | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 89. Vanguard FTSE Allworld                                                                                                 | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 90. Allianz Annuity (fixed rate)                                                                                           | E                                      | Distribution                           | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 91. IShares Core S&P Small                                                                                                 | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 92. IShares 1-3 year                                                                                                       | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 93. SPDR S&P mid-cap 400                                                                                                   | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 94. SPDR S&P 500                                                                                                           | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 95. Vanguard FTSE Emerging                                                                                                 | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 96. Vanguard Dividend Apprication ETF                                                                                      | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 97. First TR NASQ100                                                                                                       | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 98. Invesco S&P 500 Equal                                                                                                  | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 99. IShares Core S&P small                                                                                                 | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 100. IShares Russell mid-cap                                                                                               | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 101. IShares MSCI EAFE                                                                                                     | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 102. IShares Global Tech                                                                                                   | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. IShares 1-3 year                                                                                                      | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 104. IShares MSCI Emerging                                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 105. IShares Russell 2000                                                                                                  | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 106. Invesco Buy Back                                                                                                      | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 107. SPDR S&P mid-cap 400                                                                                                  | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 108. SPDR S&P 500                                                                                                          | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 109. Vanguard Value                                                                                                        | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 110. Vanguard Growth                                                                                                       | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 111. Vanguard FTSE Emerging                                                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 112. Vanguard Dividend                                                                                                     | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 113. Vanguard FTSE All World                                                                                               | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 114. Vanguard FTSE Developed                                                                                               | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 115. Invesco QQQ Trust                                                                                                     | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 116. Invesco S&P 500 Quality                                                                                               | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 117. MidFirst Bank CD                                                                                                      |                                        | None                                   | L                                              | T                                  | Buy                                       | 07/05/19         | L                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

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U =Book Value

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K =\$15,001 - \$50,000

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R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

**FINANCIAL DISCLOSURE REPORT**

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|                                 |                       |
|---------------------------------|-----------------------|
| <b>Name of Person Reporting</b> | <b>Date of Report</b> |
| <b>Bolton, Susan R.</b>         | 05/28/2020            |

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Part VII: I cannot specifically list the amount of income earned during the reporting period for my annuities because none of the annuity companies provided detailed income information to me.

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Bolton, Susan R.

Date of Report

05/28/2020

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Susan R. Bolton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544