

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stewart, Daniel J.	2. Court or Organization United States District Court, Northern District of New York	3. Date of Report 05/05/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full Term	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States District Court, Northern District of New York James T Foley US Courthouse 445 Broadway Albany, NY 12207		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Trustee	Trust #1 - Manning & Napier
2. Adjunct Faculty Member	Albany Law School
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 18

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/05/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

Name of Person Reporting	Date of Report
Stewart, Daniel J.	05/05/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 18

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SEFCU	A	Int./Div.	J	T					
2. 1/4 Interest in Property - Jay, NY Assessed at \$65,000		None	L	S					
3. Hickory Hill Ski Center, Inc Stock		None	J	U					
4. Manning and Napier, IRA, Pro Blend Extended Term Series S	E	Dividend	N	T					
5. Trust #1 - Manning & Napier (H)									
6. -EXETER TRUST COMPANY CASH AND CASH EQUIVALENTS	A	Dividend	J	T					
7. -ACTIVISION BLIZZARD INC			J	T	Buy	05/28/19	J		
8.					Buy (add'l)	06/25/19	J		
9. -ALCON AG			J	T	Spinoff (from line 127)	04/09/19	J		
10.					Buy (add'l)	08/28/19	J		
11. -ALIBABA GROUP HOLDINGS LTD SP ADR			J	T	Buy	12/11/19	J		
12. -ALPHABET INC CL A		None	J	T					
13. -ALPHABET INC CL C		None	J	T					
14. -AMAZON.COM INC		None	J	T					
15. -AMBEV SA ADR	A	Dividend	J	T					
16.					Sold (part)	07/16/19	J		
17. -AMERICAN TOWER CORP	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 18

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	06/27/19	J	A	
19. -ANHEUSER BUSCH INBEV SA/NV	A	Dividend							
20.					Sold (part)	07/16/19	J	A	
21.					Sold	12/16/19	J		
22. -BALL CORP	A	Dividend							
23.					Sold	05/17/19	J	C	
24. -BERKSHIRE HATHAWAY INC CL B		None	J	T					
25.					Buy (add'l)	03/05/19	J		
26. -BIOMARIN PHARMACEUTICAL INC		None	J	T					
27.					Buy (add'l)	10/29/19	J		
28. -BLACKROCK INC	A	Dividend	J	T					
29. -BRISTOL-MYERS SQUIBB CO	A	Dividend							
30.					Sold	01/10/19	J		
31. -BOOKING HLDGS INC		None			Sold	08/16/19	J	C	
32. -CBOE GLOBAL MARKETS INC	A	Dividend	J	T					
33. -CME GROUP INC	A	Dividend	J	T					
34. -COCA-COLA CO	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 18

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -COGNEX CORP	A	Dividend	J	T	Buy	06/27/19	J		
36. -DAVITA INC		None							
37.					Sold	03/11/19	J		
38. -DIAGEO PLC	A	Dividend	J	T					
39.					Sold (part)	06/28/19	J	A	
40.					Sold (part)	07/16/19	J	B	
41. -DIAMOND OFFSHORE DRILLING		None							
42.					Sold	06/28/19	J		
43. -EASY JET PLC		None	J	T	Buy	12/13/19	J		
44. -ELECTRONIC ARTS		None	J	T					
45.					Buy (add'l)	02/12/19	J		
46. -ENSCO PLC CL A		None							
47.					Sold	02/04/19	J		
48. -EQUINIX INC	A	Dividend	J	T					
49.					Sold (part)	06/27/19	J	A	
50. -ETRADE FINANCIAL CORP	A	Dividend			Sold	10/10/19	J		
51. -FACEBOOK		None	K	T	Buy	06/25/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	07/25/19	J		
53.					Buy (add'l)	11/05/19	J		
54. -FEDEX CORP	A	Dividend							
55.					Sold	06/25/19	J		
56. -GRAPHIC PACKAGING HOLDING CO		None	J	T	Buy	11/14/19	J		
57. -HALLIBURTON CO	A	Dividend			Buy	01/22/19	J		
58.					Sold	12/13/19	J		
59. -INCYTE CORP		None	J	T					
60.					Sold (part)	01/25/19	J	A	
61.					Sold (part)	04/15/19	J	A	
62.					Sold (part)	05/16/19	J	A	
63.					Sold (part)	06/27/19	J	A	
64.					Sold (part)	08/28/19	J	A	
65. -INDUSTRIA DE DISENO TEXTIL SA	A	Dividend	J	T					
66.					Buy (add'l)	06/26/19	J		
67. -INTERCONTINENTAL EXCHANGE INC	A	Dividend	J	T					
68. INTERXION HOLDING NV		None			Sold (part)	06/27/19	J	A	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Sold (part)	11/01/19	J	B	
70.					Sold	11/13/19	J	A	
71. -KYOWA HAKKO KIRIN CO LTD	A	Dividend			Buy	04/18/19	J		
72.					Buy (add'l)	08/22/19	J		
73.					Sold	09/30/19	J	A	
74. -LIVERAMP HLDGS INC		None			Sold (part)	03/04/19	J		
75.					Sold	07/01/19	J	A	
76. -LULULEMON ATHLETICA INC		None	J	T					
77. -MANNING & NAPIER NEW DISPLND VLU	A	Dividend	K	T	Buy	05/20/19	J		
78.					Buy (add'l)	05/21/19	J		
79.					Buy (add'l)	06/17/19	J		
80.					Buy (add'l)	09/16/19	J		
81.					Buy (add'l)	12/16/19	J		
82.					Buy (add'l)	12/18/19	J		
83. -MANNING & NAPIER FUND INC NEW HIGH YIELD	B	Dividend	J	T					
84.					Buy (add'l)	03/15/19	J		
85.					Buy (add'l)	06/17/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 9 of 18

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	09/16/19	J		
87.					Buy (add'l)	12/16/19	J		
88.					Sold (part)	08/19/19	K		
89. -MANNING & NAPIER NEW INTERNATIONAL SERIES FUND	A	Dividend							
90.					Buy (add'l)	11/15/19	J		
91.					Sold	11/21/19	K		
92. -MANNING & NAPIER FUND INC NEW NEW YORK TAX EXEMPT SERIES FUND	C	Dividend	L	T					
93.					Buy (add'l)	03/15/19	J		
94.					Buy (add'l)	06/17/19	J		
95.					Buy (add'l)	09/16/19	J		
96.					Buy (add'l)	12/16/19	J		
97.					Sold (part)	07/23/19	L	B	
98. -MANNING & NAPIER FUND INC NEW REAL ESTATE SERIES	A	Dividend	K	T					
99.					Buy (add'l)	12/16/19	J		
100. -MANNING & NAPIER FD - NEW OVERSEAS SER CL S	A	Dividend	K	T					
101.					Buy (add'l)	11/06/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
102.					Buy (add'l)	12/16/19	J		
103. -MANNING & NAPIER FD NEW UNCONSTRAIND	B	Dividend	L	T	Buy	04/03/19	L		
104.					Buy (add'l)	06/17/19	J		
105.					Buy (add'l)	09/16/19	J		
106.					Buy (add'l)	12/16/19	J		
107. -MASTERCARD INC CL A	A	Dividend	J	T					
108.					Sold (part)	08/08/19	J	A	
109. -MEDTRONIC PLC	A	Dividend	J	T					
110.					Sold (part)	10/17/19	J	B	
111. -MERCK & CO INC	A	Dividend	J	T					
112. -MERCK KGAA	A	Dividend	J	T					
113.					Sold (part)	06/27/19	J	A	
114.					Sold (part)	08/28/19	J	A	
115. -MICRON TECHNOLOGY INC		None	J	T	Buy	06/27/19	J		
116.					Buy (add'l)	09/05/19	J		
117. -MICROSOFT CORP	A	Dividend	J	T					
118.					Buy (add'l)	03/05/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 11 of 18

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119.					Sold (part)	08/13/19	J	C	
120. -MONDELEZ INTERNATIONAL INC	A	Dividend	J	T					
121. -MOODYS CORP	A	Dividend	J	T	Buy	01/14/19	J		
122.					Sold (part)	04/12/19	J	A	
123. -NESTLE SA	A	Dividend	J	T					
124.					Sold (part)	06/28/19	J	A	
125. -NEXON CO LTD NPV			J	T	Buy	08/26/19	J		
126.					Buy (add'l)	11/12/19	J		
127. -NOVARTIS AG NAMEN SPON ADR	A	Dividend	J	T					
128. -NVIDIA CORP	A	Dividend	J	T	Buy	10/01/19	J		
129.					Buy (add'l)	12/12/19	J		
130. -OCEANEERING INTERNATIONAL INC		None	J	T	Buy	12/30/19	J		
131. -PAYPAL HOLDINGS INC		None	J	T	Buy	11/19/19	J		
132. -PEPSICO INC	A	Dividend	J	T					
133. -QORVO INC		None							
134.					Sold (part)	01/11/19	J		
135.					Sold	02/15/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 18

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
136. -QUEBECOR INC CL B	A	Dividend	J	T					
137.					Sold (part)	06/27/19	J	A	
138. -REGENERON PHARMACEUTICALS		None							
139.					Sold (part)	04/10/19	J		
140.					Sold	05/16/19	J		
141. -RYANAIR HOLDINGS PLC SP ADR		None	J	T	Buy	12/04/19	J		
142. -S&P GLOBAL INC	A	Dividend	J	T	Buy	01/11/19	J		
143.					Sold (part)	04/12/19	J	A	
144.					Sold (part)	06/27/19	J	A	
145. -SBA COMMUNICATIONS CORP	A	Dividend	J	T					
146.					Sold (part)	06/27/19	J	A	
147. -SCHLUMBERGER LTD	A	Dividend							
148.					Sold	12/13/19	J		
149. -SCHWAB CHARLES NEW	A	Dividend			Sold	10/10/19	J		
150. -SEA LTD ADR		None	J	T	Buy	09/10/19	J		
151. -SEALED AIR CORP		None							
152.					Sold	02/14/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 13 of 18

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
153. -SEATTLE GENETICS INC		None	J	T					
154.					Buy (add'l)	04/08/19	J		
155.					Sold (part)	01/18/19	J	A	
156.					Sold (part)	03/13/19	J	A	
157.					Sold (part)	05/16/19	J	A	
158.					Sold (part)	08/30/19	J	A	
159. -SERVICENOW INC		None	J	T					
160.					Buy (add'l)	06/25/19	J		
161.					Sold (part)	06/27/19	J	A	
162. -SHAW COMMUNICATIONS INC B	A	Dividend	J	T					
163. -SONY CORP SPONSORED ADR	A	Dividend	J	T	Buy	07/29/19	J		
164.					Buy (add'l)	08/01/19	J		
165. -TENCENT HOLDINGS LTD	A	Dividend	J	T					
166.					Buy (add'l)	03/27/19	J		
167.					Sold (part)	08/29/19	J	A	
168. -TRANSOCEAN LTD		None							
169.					Sold	12/13/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
170. -TSY INFL IX N/B 0.625% 02/15/2043	A	Interest	J	T					
171. -TSY INFL IX N/B 1.125% 01/15/2021	A	Interest	J	T					
172. -US TREASURY INFL IX N/B 0.125% 01/15/2022	A	Interest	J	T					
173. -UNILEVER PLC ADR AMER SHS SPON	A	Dividend	J	T					
174. -US TREASURY BOND 3.00% 05/15/2047	A	Interest	K	T					
175. -US TREASURY BOND 2.50% 02/15/2045	A	Interest	J	T					
176. -US TREASURY BOND 4.75% 02/15/2037	A	Interest	J	T					
177. -US TREASURY BOND 6.25% 05/15/2030	A	Interest							
178.					Sold	01/04/19	J		
179. -US TREASURY BOND 3.50% 02/15/39	A	Interest	K	T	Buy	04/04/19	K		
180. -US TREASURY NOTE 1.625% 04/30/2023	A	Interest							
181.					Sold	04/04/19	J		
182. -US TREASURY NOTE 2.375% 05/15/2027	A	Interest							
183.					Sold	12/12/19	K	A	
184. -US TREASURY NOTE 2.00% 04/30/2024	A	Interest							
185.					Buy (add'l)	01/30/19	J		
186.					Buy (add'l)	02/28/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
187.					Sold (part)	04/04/19	L	B	
188.					Sold	04/08/19	K	A	
189. -US TREASURY NOTE 1.50% 05/31/2020	A	Interest			Buy	04/09/19	J		
190.					Sold	11/07/19	J	A	
191. -US TREASURY NOTE 2.625% 02/15/2029	A	Interest			Buy	04/09/19	J		
192. -US TREASURY NOTE 2.00% 08/15/2025	A	Interest	L	T	Buy	07/23/19	L		
193.					Buy (add'l)	08/29/19	J		
194.					Buy (add'l)	11/26/19	K		
195.					Sold (part)	11/07/19	K	A	
196. -US TREASURY TIPS 0.5% 04/15/2024	A	Interest	J	T	Buy	08/13/19	J		
197. -US TEASURY TIPS 2.00% 01/15/2026	A	Interest	J	T	Buy	12/11/19	J		
198. -VERTEX PHARMACEUTICALS INC		None	J	T					
199.					Buy (add'l)	04/09/19	J		
200.					Sold (part)	06/27/19	J	A	
201.					Sold (part)	08/28/19	J	A	
202. -VISA INC CL A	A	Dividend	J	T					
203. -ZAYO GROUP HOLDINGS INC		None							

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
204.					Sold	03/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 18

Name of Person Reporting Stewart, Daniel J.	Date of Report 05/05/2020
---	---

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 18 of 18

Name of Person Reporting

Stewart, Daniel J.

Date of Report

05/05/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel J. Stewart**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544