

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SELYA, BRUCE M.	2. Court or Organization UNITED STATES COURT OF APPEALS	3. Date of Report 05/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US CIRCUIT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 316 FEDERAL COURTHOUSE ONE EXCHANGE TERRACE PROVIDENCE, RI 02903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. ADJUNCT PROFESSOR	BOSTON COLLEGE LAW SCHOOL
2. ADJUNCT PROFESSOR	BOSTON UNIVERSITY LAW SCHOOL
3. CHAIRMAN EMERITUS	BRYANT UNIVERSITY
4. GENERAL PARTNER < INVESTMENT PROPERTY ONLY >	FRIENDSHIP REALTY GROUP (REAL ESTATE GENERAL PARTNERSHIP)
5. CHAIRMAN EMERITUS	LIFESPAN CORPORATION (NON-PROFIT HOLDING COMPANY FOR RHODE ISLAND, MIRIAM, EMMA P BRADLEY & NEWPORT HOSPITALS)
6. TRUSTEE	LIFESPAN OF MASSACHUSETTS (NON-PROFIT HOLDING COMPANY)
7. ADJUNCT PROFESSOR	ROGER WILLIAMS UNIVERSITY SCHOOL OF LAW
8. TRUSTEE EMERITUS	RHODE ISLAND HOSPITAL (A NON-PROFIT CORPORATION)
9. CHAIRMAN	RHODE ISLAND STATE - FEDERAL JUDICIAL COUNCIL
10. MEMBER	YDNIC, LLC (REAL ESTATE INVESTMENT SINGLE MEMBER LLC)
11. MEMBER	YDNIC II, LLC (REAL ESTATE INVESTMENT SINGLE MEMBER LLC)

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. BRISTOL COUNTY SAVINGS BANK	MORTGAGE (FRIENDSHIP RLTY GRP-40%)	N
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT # 1 (H)									
2. FRIENDSHIP REALTY 40%, LINCOLN, RI APARTMENT BUILDING	E	Rent	O	W					
3. MFS: MA INVESTOR TRUST MUTUAL FUND	C	Dividend	K	T					
4. MET LIFE COMMON STOCK	A	Dividend	J	T					
5. ORBIS INC COMMON STOCK	A	Dividend	J	W					
6. SELYA PROPERTIES, LLC 50%, PROVIDENCE, RI RESIDENTIAL CONDO	B	Rent			Sold	05/17/19	M		
7. SILVER RESOURCES, INC COMMON STOCK	A	Dividend	J	W					
8. WASHINGTON TRUST BANCORP COMMON STOCK	A	Dividend	K	T					
9. YDNIC, LLC REAL ESTATE, PORTSMOUTH, NH	G	Rent	P1	W					
10. BROKERAGE ACCOUNT #2 (H)									
11. BANK OF AMERICA CASH ACCOUNTS	A	Interest	M	T					
12. BANK RHODE ISLAND CASH ACCOUNTS	A	Interest	M	T					
13. CAMBRIDGE SAVINGS BANK CASH ACCOUNT	A	Interest	M	T					
14. RBS CITIZENS CASH ACCOUNT	A	Interest	J	T					
15. FIDELITY: FIDELITY GOVT CASH RES CASH EQUIV	A	Dividend	K	T					
16. DELAWARE LIFE FIXED RATE ANNUITY	D	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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17. PHOENIX MUTUAL LIFE INS CO WHOLE LIFE INSURANCE POLICY		None	K	T					
18. BROKERAGE ACCOUNT #3 (H)									
19. BANK OF AMERICA CASH ACCOUNT	C	Interest	O	T					
20. ISA TRUST (FKA BB&T)	A	Interest	M	T					
21. HUNTINGTON NATIONAL CASH ACCOUNT	A	Interest	M	T					
22. CAP ONE BANK CASH ACCOUNT	B	Interest			Closed	12/31/19	M		
23. BANK OF CHINA CASH ACOUNT	A	Interest	M	T					
24. BMW BK NORTH AMERICA CD	B	Interest	M	T	Buy	12/05/19	M		
25. GOLDMAN SACHS BANK CD	C	Interest	M	T	Buy	12/05/19	M		
26. MORGAN STANLEY BANK CD	C	Interest	M	T	Buy (add'l)	09/04/19	M		
27.					Matured (part)	05/03/19	M	A	
28.					Matured (part)	08/16/19	M	A	
29. SIGNATURE BANK CD	D	Interest			Matured	11/29/19	M	A	
30. US BANK NATIONAL ASSOCIATION	C	Interest	M	T	Buy	05/07/19	M		
31. US TREASURY BILL	C	Interest	N	T	Buy	09/16/19	N		
32. PUERTO RICO COMM PUB IMPROV BOND MUNI BOND	A	Interest	K	T					
33. PUERTO RICO ELECTRIC POWER BOND MUNI BOND	A	Interest			Sold	05/24/19	K	A	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
34. RHODE ISLAND DEPOSITORS BOND MUNI BOND	A	Interest	J	T	Matured (part)	08/01/19	J	A	
35. RI HEALTH & EDUCATIONAL BUILDING CORP MUNI BOND	D	Interest	M	T					
36. RI HOUSING & MORTGAGE FINANCE CORP BOND MUNI BOND	B	Interest	L	T	Matured (part)	10/01/19	J	A	
37.					Matured (part)	04/01/19	J	A	
38. RI INFRASTR BK BOND MUNI BOND	B	Interest	K	T					
39. RI PROV PLANTATIONS BOND MUNI BOND	D	Interest	M	T					
40. RHODE ISLAND CLEAN WATER BOND MUNI BOND	D	Interest	M	T	Matured (part)	10/01/19	L	A	
41. WARWICK RI BOND MUNI BOND	B	Interest			Matured	07/15/19	K	A	
42. AGILENT TECHNOLOGIES INC. COMMON STOCK	A	Dividend	J	T					
43. AQUILA NARRAGANSETT	D	Dividend	N	T	Buy	03/07/19	N		
44. BARRICK GOLD CORPORATION COMMON STOCK	A	Dividend	K	T					
45. BRIGHTHOUSE FINANCIAL INC	A	Dividend			Buy	03/08/19	J		
46.					Sold	12/16/19	J	A	
47. BROOKLINE BANCORP INC COMMON STOCK	A	Dividend	K	T	Donated (part)				
48. INTEL CORP COMMON STOCK	B	Dividend	K	T					
49. INVESCO OPPENHEIMER FUNDS: GOLD AND SPECIAL MINERALS FUND MUTUAL FUND	A	Dividend	M	T					

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50. KEYSIGHT TECHNOLOGIES INC COMMON STOCK	A	Dividend	J	T					
51. NEWMONT MINING CORP.COMMON STOCK	A	Dividend	K	T					
52. NTN BUZZTIME INC. COMMON STOCK	A	Dividend	J	T					
53. ROYAL GOLD INC COMMON STOCK	A	Dividend	K	T					
54. WELLS FARGO & CO COMMON STOCK	B	Dividend	K	T					
55. WHEATON PRECIOUS METALS COMMON STOCK	A	Dividend	K	T					
56. FRONTIER COMMUNICATIONS COMMON STOCK	A	Dividend			Sold	12/31/19	J	A	
57. MFS: MA INVESTORS TRUST MUTUAL FUND	A	Dividend	J	T					
58. PERKINELMER INC. COMMON STOCK	A	Dividend			Donated				
59. SOUTH AMERICAN MINERALS COMMON STOCK	A	Dividend	J	T					
60. UNION PAC CORP COMMON STOCK	D	Dividend	N	T	Donated (part)				
61. WASHINGTON TRUST COMMON STOCK	A	Dividend	J	T					
62. BROKERAGE ACCOUNT # 4 (H)									
63. 3M CORP. COMMON STOCK	C	Dividend	L	T					
64. ALCON, INC COMMON SOCK	A	Dividend	K	T	Spinoff (from line 114)	04/11/19	K		
65. ALLIANCE DATA SYS CORP COMMON STOCK	A	Dividend			Sold	11/19/19	K	A	
66. ALLSTATE CORP. COMMON STOCK	C	Dividend	M	T					

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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67. AMERIPRISE FINANCIAL, INC. COMMON STOCK	C	Dividend	M	T					
68. AMGEN INC. COMMON STOCK	C	Dividend	M	T					
69. ANALOG DEVICES, INC. COMMON STOCK	C	Dividend	M	T					
70. ARIZONA ST TRANSN BRD MUNI BOND	D	Interest	M	T					
71. AT&T INC COMMON STOCK	C	Dividend	L	T					
72. AUTOMATIC DATA PROCESSING INC. COMMON STOCK	C	Dividend	M	T					
73. BONNEY LAKE WA WATER & SEWER MUMICIPAL BOND	C	Interest	L	T					
74. BRISTOL RI MUNI BOND	B	Interest	L	T					
75. CDK GLOBAL INC COMMON STOCK	A	Dividend			Sold	11/19/19	K	D	
76. CAPITAL ONE FINANCIAL CORP COMMON STOCK	B	Dividend	M	T					
77. CELANESE CORP COMMON STOCK	C	Dividend	M	T					
78. CHEVRON CORPORATION COMMON STOCK	B	Dividend	L	T					
79. CHUBB CORP. COMMON STOCK	B	Dividend	L	T					
80. COGNIZANT TECHONLOGY SOLUTIONS CORP COMMON STOCK	B	Dividend	M	T					
81. COLORADO RIVER TX WATER DISTRICT MUNICIPAL BOND	C	Interest	M	T					
82. CRA BNY MELLON, N.A. CASH ACCOUNT	D	Interest	O	T	Buy (add'l)	01/01/19	O		
83.					Sold (part)	12/31/19	O	A	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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84. DREYFUS FUNDS: BNY MELLON INTERN'L SMALL CAP FUND MUTUAL FUND	D	Dividend	M	T					
85. DREYFUS FUNDS: BNY MELLON NATIONAL ST MUNICIPAL FUND MUTUAL FUND	C	Dividend	O	T					
86. EATON CORPORATION PLC COMMON STOCK	A	Dividend	M	T					
87. EXXON MOBIL CORP. COMMON STOCK	C	Dividend	L	T					
88. FAYETTEVILLE NC PUBLIC WORKS MUNI BOND	C	Interest			Matured	03/01/19	L	A	
89. FLORIDA ST DEPT OF MGMT SVCS MUNICIPAL BOND	C	Interest	M	T					
90. FORT WORTH TX WTR SWR MUNICIPAL BOND	C	Interest	M	T					
91. GARRETT MOTION, INC. COMMONSTOCK	A	Dividend			Sold	11/19/19	J	A	
92. HARRIS COUNTY TX FLOOD CONTROL MUNICIPAL BOND	C	Interest	M	T					
93. HOME DEPOT, INC. COMMON STOCK	D	Dividend	M	T	Sold (part)	11/19/19	M	G	
94. HONEYWELL INTL INC COMMON STOCK	B	Dividend	M	T					
95. INDIANAPOLIS IN PUB IMPT MUNI BOND	B	Interest			Matured	01/15/19	K	A	
96. ISHARES CORE MSCI EAFE ETF	C	Dividend	M	T	Buy	11/21/19	M		
97. ISHARES CORE MSCI EMERGING ETF	C	Dividend	M	T	Buy	11/21/19	M		
98. ISHARES MSCI EAFE INDEX FUND	D	Dividend	N	T					
99. ISHARES TR S&P 500 INDX FUND	C	Dividend	O	T					

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100. ISHARES TR S&P MIDCAP 400 INDEX FUND	D	Dividend	O	T					
101. ISHARES TR S&P SMALLCAP 600 INDEX FUND	D	Dividend	N	T					
102. JOHNSON & JOHNSON COMMON STOCK	C	Dividend	M	T					
103. JOHNSON CONTROLS INTL PLC CONNOM STOCK	B	Dividend	L	T					
104. JP MORGAN CHASE & CO COMMON STOCK	C	Dividend	M	T					
105. LINDE PUBLIC LIMITED COMPANY COMMON STOCK	B	Dividend	M	T					
106. MASSACHUSETTS TRANSPORTATION MUNI BOND	C	Interest	M	T	Buy	02/01/19	M		
107. MCKESSON CORPORATION COMMON STOCK	B	Dividend	M	T					
108. MERCK & CO., INC. COMMON STOCK	C	Dividend	M	T					
109. MICHIGAN ST TRUNK LINE MUNI BOND	C	Interest	M	T					
110. MICROCHIP TECHNOLOGY, INC. COMMON STOCK	C	Dividend	M	T					
111. MONDELEZ INTERNATIONAL WI COMMON STOCK	B	Dividend	L	T					
112. NEWPORT RI MUNI BOND	B	Interest	L	T					
113. NEXTERA ENERGY INC COMMON STOCK	C	Dividend	M	T					
114. NOVARTIS AG COMMON STOCK	C	Dividend	M	T					
115. OHIO ST MUNI BOND	C	Interest			Matured	05/01/19	L	A	
116. OHIO ST HIGHER EDUCATION MUNI BOND	C	Interest	L	T	Buy	03/19/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SELYA, BRUCE M.

Date of Report

05/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
117. ORACLE CORP. COMMON STOCK	B	Dividend	M	T					
118. OREGON ST DEPT OF TR MUNI BOND	C	Interest	M	T					
119. ORLANDO FL CONTRACT TOURIST MUNI BOND'	B	Interest	K	T	Buy	04/11/19	K		
120. PEPSICO INC. COMMON STOCK	D	Dividend	N	T					
121. PFIZER, INC. COMMON STOCK	C	Dividend	M	T					
122. PHILIP MORRIS INTERNATIONAL, INC. COMMON STOCK	D	Dividend	M	T					
123. QUALCOMM, INC. COMMON STOCK	C	Dividend	M	T					
124. RESIDEO TECHNOLOGIES, INC COMMON STOCK	A	Dividend	J	T					
125. RI CLEAN WATER MUNICIPAL BOND	C	Interest	L	T					
126. RI HEALTH AND EDUCATION MUNICIPAL BOND	D	Interest	M	T					
127. RI ST & PROV PLANTATIONS MUNICIPAL BOND	C	Interest	M	T					
128. S & P GLOBAL INC. COMMON STOCK	B	Dividend	N	T					
129. SCITUATE RI MUNICIPAL BOND	A	Interest	L	T					
130. SEAGATE TECHNOLOGY COMMON STOCK	D	Dividend	M	T					
131. SCITUATE RI MUNICIPAL BOND	A	Interest	L	T					
132. SIMON PROPERTY GROUP, INC. REIT COMMON STOCK	C	Dividend	L	T					
133. SNOHOMISH CTY WA SCHOOL DISTRICT MUNICIPAL BOND	A	Interest	M	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

SELYA, BRUCE M.

Date of Report

05/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
134. SPDR DJ GLOBAL REAL ESTATE ETF	C	Dividend	L	T	Buy	11/21/19	L		
135. THE WALT DISNEY CO. COMMON STOCK	B	Dividend	M	T					
136. ULTIMATE SOFTWARE GROUP COMMON STOCK	A	Dividend			Sold	05/03/19	M	E	
137. UNITED TECHNOLOGIES CORP COMMON STOCK	B	Dividend	M	T					
138. UTAH STATE UNIVERSITY BOND	C	Interest	M	T					
139. VALERO ENERGY CORP COMMON STOCK	C	Dividend	M	T					
140. WASHINGTON CHRT TWP MI BOND MUNICIPAL BOND	C	Interest	M	T					
141. WILLIAMS COS, INC. COMMON STOCK	B	Dividend	K	T					
142. BROKERAGE ACCOUNT # 5 (H)									
143. CITIBANK NA CASH ACCOUNT	A	Interest	J	T					
144. NUVEEN FUNDS: NUVEEN INTERM DURATION MUN BOND MUTUAL FUND	B	Dividend	M	T					
145. BROKERAGE ACCOUNT # 6 (H)									
146. ABILITY INC. COMMON SOCK	A	Dividend	J	T					
147. BANK OF AMERICA CASH ACCOUNT	A	Interest	K	T					
148. BANK OF AMERICA CORP. COMMON STOCK	A	Dividend	J	T					
149. BROKERAGE ACCOUNT #7 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
150. CITIZENS BANK CASH	A	Dividend	J	T	Buy	05/01/19	J		
151. AB HIGH INCOME ADVISOR MUTUAL FUND	A	Dividend	J	T	Buy	05/03/19	J		
152.					Sold (part)	06/03/19	J	A	
153.					Sold (part)	06/04/19	J	A	
154. AB HIGH INCOM CLASS A MUTUAL FUND	A	Dividend			Buy	09/13/19	J		
155.					Sold	09/18/19	J	A	
156. BLACKROCK HIGH YIELD BOND INSTITUTIONAL MUTUAL FUND	A	Dividend	J	T	Buy	05/03/19	J		
157.					Sold (part)	06/03/19	J	A	
158.					Sold (part)	06/04/19	J	A	
159. DBX ETF TR XTRACK USD HIGH ETF	A	Dividend	J	T	Buy	05/03/19	J		
160.					Sold (part)	06/03/19	J	A	
161. ISHARES TR BARCLAYS 7 10 YR IEF ETF	A	Dividend			Buy	06/03/19	K		
162.					Sold	09/13/19	K	A	
163. ISHARES TR IBOXX HI YD ETF	A	Dividend	J	T	Buy	05/06/19	J		
164.					Buy (add'l)	05/07/19	J		
165.					Sold (part)	06/03/19	J	A	
166. JPMORGAN HIGH YIELD BOND FUND CLASS I MUTUAL FUND	A	Dividend	J	T	Buy	05/03/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

SELYA, BRUCE M.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
167.					Sold (part)	06/03/19	J	A	
168. LORD ABBETT HIGH YIELD FD CL F MUTUAL FUND	A	Dividend	J	T	Buy	05/07/19	J		
169.					Sold (part)	06/03/19	J	A	
170.					Sold (part)	06/04/19	J	A	
171. MAINSTAY MACKAY HIGH YIELD COROP BD CL MUTUAL FUND	A	Dividend			Buy	05/03/19	J		
172.					Sold	06/03/19	J	A	
173. NAVIGATOR TACTICAL FIXED INCOME CL I MUTUAL FUND	B	Dividend	L	T	Buy	01/03/19	L		
174. NEUBERGER BERMAN HIGH INC BOND INSTL MUTUAL FUND	A	Dividend	J	T	Buy	05/03/19	J		
175.					Sold (part)	06/03/19	J	A	
176.					Sold (part)	06/04/19	J	A	
177. PIMCO ETF TRUST 0-5 YR HIGH YLD CORP BD ETF	A	Dividend	J	T	Buy	05/03/19	J		
178.					Sold (part)	06/03/19	J	A	
179. PIMCO HIGH YIELD MUNI BD INSTL CL	B	Dividend	K	T	Buy	05/03/19	K		
180.					Sold (part)	06/03/19	J	A	
181.					Sold (part)	06/04/19	J	A	
182. PIMCO HIGH YIELD INSTL MUTUAL FUND	A	Dividend	J	T	Buy	05/03/19	J		
183. PIMCO INCOME FUND INSTITUIONAL FUND MUTUAL FUND	D	Dividend	M	T	Buy	05/03/19	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
184. SPDR PORTFOLIO LONG TERM TREASURY ETF SPTL	A	Dividend			Buy	06/03/19	J		
185.					Sold	09/13/19	J	A	
186. SPDR SERIES TRUST BLOOMBERG BRCLYS INTRIM SPTI ETF	A	Dividend			Buy	06/03/19	J		
187.					Sold	09/13/19	J	A	
188. SPDR SERIES TRUST BLOOMBERG BRCLYS ETF	A	Dividend	J	T	Buy	05/06/19	J		
189.					Sold (part)	06/03/19	J	A	
190. SPDR SERIES TRUST BLOOMBERG SRT TR ETF	A	Dividend	J	T	Buy	05/06/19	J		
191.					Sold (part)	06/03/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

SELYA, BRUCE M.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

SELYA, BRUCE M.

Date of Report

05/12/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **BRUCE M. SELYA**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544