

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Elrod, Jennifer W.	2. Court or Organization Fifth Circuit Court of Appeals	3. Date of Report 07/27/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Court of Appeals, Active Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 515 Rusk Avenue, 12th Floor Houston, TX 77002-2603		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Regents Member	Baylor University
2. Board Member	Garland R. Walker American Inn of Court
3. Advisory Board Member	Baylor University Center for Christian Music Studies
4. Emeritus Board Member (non-voting)	Texas Center for Legal Ethics
5. Judicial Liason (non0voting)	Houston Young Lawyers Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2019	Employee Retirement System of Texas (since 2002), no control - not vested
2. 2019	Texas County and District Retirement System (since 2002), no control - not vested
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Talen Energy - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The Shakespeare Theatre	1/14/2019	Washington, DC	Shakespeare Moot Court	Travel, Lodging, and Meals
2. Federalist Society	1/15/2019	Washington, DC	Speaking to young legal professionals	Travel, Lodging, and Meals
3. University of Houston Law Center	02/18-02/19/2019	Houston, TX	Jurist in Residence Teaching	Meals
4. Baylor University	02/20-22/2019	Waco, TX	Attending Board Meetings	Lodging and Meals
5. ABA Litigation Section	02/27-03/01/2019	Tuscon, AZ	Speaking at Insurance CLE	Travel, Lodging, and Meals
6. Baylor University	03/26/2019	Waco, TX	Speaking at law school	Lodging and Meals

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7.	Baylor University	04/14-15/2019	Austin, TX	Baylor Board Dinner	Travel, Lodging, and Meals
8.	Baylor University	05/15-17/2019	Waco, TX	Attending Board Meetings	Lodging and Meals
9.	State Bar of Texas	06/13-14/2019	Austin, TX	Annual Bar Meeting	Meal
10.	Baylor University	07/17-19/2019	Dallas, TX	Attending Board Retreat and Meeting	Travel, Lodging, and Meals
11.	Louisiana State University Law School	10/18/2019	Baton Rouge, LA	Speaking at Bankruptcy CLE	Travel
12.	Baylor University	10/30-11/01/2019	Waco, TX	Attending Board Meetings	Lodging and Meals
13.	James Wilson Institute	11/01-11/03/2019	Washington, DC	Attending Educational Program	Travel, Lodging, and Meals
14.	University of Virginia Federalist Society	11/11-12/2019	Charlottesville, VA	Speaking to law students	Travel, Lodging, and Meals
15.	Federalist Society	11/14-16/2019	Washington, DC	Moderating CLE	Travel, Lodging, and Meals

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Chase Bank	Credit Card	K
2. American Express	Credit Card	K
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AF TRGT DATE 2030 (FIDELITY 401k)	C	Dividend	L	T	Buy (add'l)	03/29/19	J		
2. CHARLES SCHWAB US REIT ETF	A	Dividend	J	T					
3. Fidelity GNMA Fund	A	Int./Div.	J	T					
4. Fidelity Inflat-Prot Bond [Index Premium]	B	Int./Div.	K	T					
5. Fidelity Total Bond	A	Int./Div.	K	T	Sold (part)	11/26/19	J	A	
6. Fidelity Total Mkt [Index] Premium	A	Dividend	M	T	Sold (part)	11/26/19	J	D	
7. Fidelity U.S. Bond Index Premium	A	Int./Div.	K	T					
8. iShares Core Total US Bond ETF	B	Int./Div.	L	T					
9. iShares Gold ETF		None	J	T					
10. iShares Trust MSCI Total Intl Stk	D	Dividend	N	T					
11. PIMCO Short Term Admin SHS	A	Dividend			Sold	11/06/19	J	A	
12. Laudus International Marketmasters Fund	B	Dividend	L	T					
13. SCH US AGG Bond ETF	B	Int./Div.	L	T					
14. SCHWAB EMERGING MARKETS EQUITY ETF	A	Dividend	J	T					
15. SCHWAB FUNDA EMG MKTS LARGE COM ETF	A	Dividend	J	T					
16. SCHWAB FUNDAMENTAL INL LARGE COM ETF	A	Dividend	K	T	Buy (add'l)	12/18/19	J		
17. SCHWAB FUNDAMENTAL INTL SMAL COM ETF	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. SCHWAB FUNDAMENTAL US LARGE CO ETF	A	Dividend	K	T					
19. SCHWAB FUNDAMENTAL US SMALL COM ETF	A	Dividend	K	T					
20. SCHWAB INTERMEDIATE TERMUS TRS ETF	A	Dividend	K	T					
21. SCHWAB INTERNATIONAL EQUITY ETF	A	Dividend	K	T					
22. SCHWAB FUNDAMENTAL SMALLCAP EQY ETF	A	Dividend	J	T					
23. SCHWAB TOTAL STK MKT-SEL	D	Dividend	N	T	Sold (part)	07/15/19	K	D	
24. SCHWAB US LARGE CAP ETF	A	Dividend	K	T					
25. SCHWAB US SMALL CAP ETF	A	Dividend	J	T					
26. SCHWAB US TIPS ETF	A	Int./Div.	J	T					
27. SPDR INTRMDT TRM CRPRATEBND ETF	A	Dividend	J	T					
28. Van Eck Market Vec Localcur Bnd	A	Dividend	J	T					
29. Vanguard Glbl Ex US Real Estad te	A	Dividend	J	T					
30. Vanguard Lifestrategy Growth	D	Distribution	M	T					
31. VANGUARD MORTGAGE BACKEDSEC ETF	A	Distribution	J	T					
32. XTRACKERS USD HIGH YIELDCOR BND ETF	A	Dividend	J	T					
33. Vanguard College Savings Iowa 529 Age - based Savings Track A	A	Int./Div.	K	T					
34. Texas County and District Retirement System	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Employee Retirement System of Texas	A	Interest	L	T					
36. Talen Midco LLC (non-vested)		None	J	U					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

n/a

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jennifer W. Elrod**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544