

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HODGES, GEORGE R.	2. Court or Organization U.S. BANKRUPTCY COURT	3. Date of Report 06/30/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE, RETIRED/RECALLED	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address UNITED STATES COURTHOUSE 401 WEST TRADE STREET CHARLOTTE, NC 28202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Inter Vivos Life Insurance Trust 11/22/89 (no reportable assets)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

Name of Person Reporting	Date of Report
HODGES, GEORGE R.	06/30/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Nationwide Ins. Co.	Triangle Properties Assoc. LLC secured by shopping center in Hickory, NC	P1
2. Nationwide Ins. Co.	Note by JM Wallace Land Co. secured by shopping center in Iredell County, NC	P1
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. INDIVIDUAL ASSETS (H)									
2. BB&T (cash)	A	Interest	N	T					
3. JOHN HANCOCK WHOLE LIFE INSURANCE POLICY		None	M	T					
4. NY LIFE FIXED ANNUITY	D	Interest	M	T					
5. JM WALLACE PROPERTY INVESTMENTS, LLC		None	P1	U					
6. TRIANGLE PROPERTIES ASSOC., LLC	G	Distribution	N	U					
7. J.M. WALLACE LAND CO., LLC	G	Distribution	N	U					
8. THE MASON WALLACE CO., LLC	G	Distribution	N	U					
9. EAST MECKLENBURG CO.	G	Distribution	O	U					
10. INDIAN LAND, SC INVESTMENT REAL ESTATE		None	N	W					
11. IRA #1 (H)									
12. IRA - BB&T (cash)	A	Dividend	K	T					
13. BROKERAGE #1 (H)									
14. BOA/ML BANK DEPOSIT (cash)	A	Interest	N	T					
15. ALTRIA GROUP INC (MO)	C	Dividend	K	T					
16. BERKSHIRE HATHAWAY B (BRKB)		None	M	T					
17. BRISTOL-MYERS SQUIBB CO (BMY)	B	Dividend	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. CISCO SYSTEMS INC COM (CSCO)	D	Dividend	M	T					
19. COCA COLA COM (KO)	A	Dividend	K	T					
20. CSX CORP (CSX)	B	Dividend	M	T					
21. DUKE ENERGY CORP NEW (DUK)	A	Dividend	K	T					
22. EMERSON ELEC CO (EMR)	B	Dividend	L	T					
23. ENBRIDGE INC (ENB)		None			Sold	01/18/19	L		
24. INTEL CORP (INTC)	B	Dividend	L	T					
25. INTL BUSINESS MACHINES (IBM)		None			Sold	01/18/19	K		
26. JOHNSON AND JOHNSON COM (JNJ)	C	Dividend	L	T					
27. KINDER MORGAN INC. DEL (KMI)		None			Sold	01/18/19	K		
28. LINCOLN NTL CORP IND NPV (LNC)	B	Dividend	L	T					
29. MERCK AND CO INC (MRK)	D	Dividend	M	T					
30. MICROSOFT CORP (MSFT)	D	Dividend	O	T					
31. NUCOR CORPORATION (NUE)	B	Dividend	L	T					
32. ORACLE CORP \$0.01 DEL (ORCL)	B	Distribution	M	T					
33. PHILIP MORRIS INTL INC (PM)	C	Dividend	L	T					
34. SONOCO PRODUCTS CO (SON)	D	Dividend	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

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Page 6 of 30

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35. UNITED TECHS CORP (UTX)		None			Sold	01/18/19	K		
36. AMERICAN BALANCED FUND INC CL A (ABALX)	D	Dividend	M	T					
37. AMERICAN FUNDAMENTAL INVESTORS INC CL A (ANCFX)	D	Dividend	M	T					
38. FIRST EAGLE OVERSEAS FD CL A (SGOVX)		None			Sold	01/18/19	L		
39. FIRST EAGLE GLOBAL FD CL A (SGENX)		None			Sold	01/18/19	M		
40. JP MORGAN INCOME BUILDER FD CL C (JNBCX)		None			Sold	01/18/19	L		
41. TRUST ACCT #5 (H)									
42. MERRILL LYNCH BANK DEPOSIT PROGRAM (cash)	A	Interest	J	T					
43. NC MPA NO 1 CATAWBA ELEC REV REF BDS A (658203X74)	A	Interest			Sold	02/15/19	J	A	
44. CAPE FEAR PUB UTIL AUTH NC WTR & SWR SYS REV A (139391CN4)		None			Sold	02/26/19	K	A	
45. MINNESOTA ST GO STATE VAR PURP BDS SER A (6041294D5)		None	K	T	Buy	09/04/19	K		
46. OREGON ST DPT ADMIN LOTTERY RV REV FEF BDS (68607VG90)	A	Interest	K	T	Buy	06/10/19	K		
47. MISSOURI ST HWYS & TRANS COMMN ST RD REV REF ST (60636WRG2)	A	Interest	K	T	Buy	06/17/19	K		
48. NORTH CAROLINA ST LTD OBLIG REF BDS SER C (65829QCC6)	A	Interest	K	T	Buy	06/26/19	K		
49. IOWA ST SPL OBLIG IJOB PROG SPL OBLIG REF BDS A (46257TDZ9)	A	Interest	K	T	Buy	06/06/19	K		
50. NEW YORK N Y GO BDS SER B (64966JPA8)	A	Interest	K	T	Buy	07/17/19	K		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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Page 7 of 30

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51. TEXAS TRANSN COMMN ST HWY FD REV REF BDS (88283LIV9)	A	Interest	K	T	Buy	06/10/19	K		
52. NEW MEXICO FIN AUTH ST TRANSN REV REF BDS (64711RJU1)	A	Interest	K	T	Buy	06/19/19	K		
53. WASHINGTON ST HEALTH CARE FACS AUTH REV REV (93978HNT8)	A	Interest	K	T	Buy	06/19/19	K		
54. KANSAS ST DEPT TRANSN HWY REV SER A RF (485424QC2)	A	Interest			Sold	08/30/19	K	A	
55. MINNESOTA PUB FACS AUTH ST REVOLVING FD REV A (604115CG8)	A	Interest	K	T	Buy	06/25/19	K		
56. NEW YORK ST URBAN DEV CORP REV INC TAX A-1 (650035YX0)	A	Interest	K	T					
57. TENNESSEE ST GO BDS A (880541VL8)	A	Interest	K	T					
58. TALLAHASSEE FLA ENERGY SYS REV REF REV BDS (874476JJ4)	A	Interest	K	T					
59. RALEIGH NC COMB ENTERPRISE SYS REV A RF (751100JK5)	A	Interest	K	T					
60. UNIVERSITY TEX UNIV REVS REV FIN SYS BDS SER J (91514AGQ2)	A	Interest	K	T					
61. SUSTAINABLE EGY UTIL INC DEL EGY EFFICIENCY REV (86932UAP0)	A	Interest	K	T					
62. TEXAS ST GO MOBILITY FD REF BDS 2014 (882723NA3)	A	Interest	K	T					
63. INDIANA FIN AUTH HOSP REV REV REF BDS SER A (45471APX4)	A	Interest	J	T	Buy	07/30/19	J		
64. NORTH CAROLINA CAP FACS FIN AGY EDL FACS REV RF (65818PKG3)	A	Interest	K	T					
65. VIRGINIA CBA VA ED FCS REV 21T REF BDS SER E (92778VFF0)	A	Interest	K	T					
66. WISCONSIN ST GO REF BDS SER 3 (97705MKP9)	A	Interest	K	T					
67. NORTH CAROLINA ST LTD OBLIG SER B RF (65829QCX0)	A	Interest			Sold	01/25/19	K	A	

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Page 8 of 30

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68. UTAH ST GO BDS 2018 FEB18 (917542VG4)	A	Interest			Sold	07/02/19	K	B	
69. NORTHSIDE TEX INDPT SCH DIST ULTD TAX PSF GTD (66702RTK8)	A	Interest	K	T					
70. METROPOLITAN TRANSN AUTH N Y REV REV REF BDS B (59261AWZ6)	A	Interest			Sold	06/06/19	J	A	
71. WISCONSIN ST TRANSN REV REF BDS SER 2 (977123U48)	A	Interest			Sold	06/26/19	K	A	
72. OHIO ST HIGHER EDU GO BDS LT SER C NOV15 (677522HB3)	A	Interest			Sold	06/17/19	K	A	
73. MICHIGAN ST HOSP FIN AUTH REV REF BDS SER C (59465HUQ7)	A	Interest			Sold	06/17/19	K	B	
74. WASHINGTON ST GO REF BDS R SER D NOV14 (93974DKH0)	A	Interest			Sold	06/17/19	K	B	
75. CONNECTICUT ST SPL TAX OBLIG REV TRANSN SER A (207758XK4)	A	Interest			Sold	06/25/19	J	A	
76. NORTH CAROLINA CAP FACS FIN AGY EDL FACS REV (65818PKL2)	A	Interest			Buy	05/22/19	K		
77.					Sold	06/06/19	K	A	
78. LOUISVILLE-JEFF KY MET GVT RV REV BDS NORTON A (54659LBP8)	A	Interest			Sold	06/17/19	J	A	
79. NEW JERSEY ST TPK AUTH TPK REV SER E (6461395T8)	A	Interest			Sold	06/10/19	K	A	
80. PHOENIX ARIZ CIVIC IMPT CORP ARPT REV JR LIEN D (71883MMR2)	A	Interest			Sold	06/06/19	J	A	
81. ILLINOIS ST TOLL HWY AUTH TOLL HIGHWAY REV A (452252LG2)	A	Interest			Sold	06/06/19	K	A	
82. IDAHO HSG & FIN ASSN GRANT & REV ANTIC A (45129WPM6)		None			Buy	05/01/19	J		
83.					Sold	06/06/19	J	A	
84. METROPOLITAN TRANSN AUTH N Y DEDICATED (59259N8D8)	A	Interest	J	T	Buy	02/22/19	J		

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Page 9 of 30

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85. IRA #3 (H)									
86. AMERICAN CAPITAL WLD FUND (CWGIX)	B	Interest	L	T					
87. AMERICAN CAP INC. BKDR. (CAIBX)	B	Interest	K	T	Sold (part)	12/05/19	J	B	
88. AMERICAN GROWTH FUND (AGTHX)	D	Interest	L	T					
89. IRA #4 (H)									
90. BANK OF AMERICA (cash) (X)	A	Interest	K	T					
91. US TREASURY NOTE 1.375% (912828L65)	A	Interest	J	T	Buy	09/09/19	J		
92. US TREASURY NOTE 1.625% (912828WW6)	A	Interest			Redeemed	07/31/19	J	A	
93. US TREASURY NOTE 1.625% JUN 30 (9128287A2)	A	Interest	J	T	Buy	08/01/19	J		
94. US TREASURY NOTE 2.125% (912828F21)	A	Interest			Sold (part)	03/05/19	J		
95.					Sold	05/01/19	J	A	
96. US TREASURY NOTE 2.000% (912828SF8)	A	Interest	J	T	Buy	01/10/19	J		
97. US TREASURY NOTE 2.750% (9128283W8)	A	Interest	K	T	Buy (add'l)	10/03/19	J		
98. US TREASURY BOND 3.375% (912810RG5)	A	Interest	K	T					
99. FHLMC GO 8635 03%2045 (3128MJV56)	A	Interest	J	T	Buy	04/12/19	J		
100. US TREASURY BOND 3.000% (912810RM2)	A	Interest	K	T	Buy (add'l)	10/10/19	J		
101. FHLMC GO 8741 03%2047 (3128MJZF0)	B	Interest	K	T	Buy (add'l)	05/01/19	J		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
102. FHLMC G0 8776 04 50%2047 (3128MJ2J8)	A	Interest	J	T					
103. US TREASURY BOND 2.750% (912810RY6)	A	Interest	J	T	Buy	05/02/19	J		
104. FNMA PMA3184 04 50%2047 (3141BCRE6)	A	Interest	J	T	Buy	04/11/19	J		
105. FNMA PMA3238 03 50%2048 (31418CS47)	B	Interest	K	T	Sold (part)	05/02/19	K		
106. FNMA PMA3239 04%2048 (31418CS54)	A	Interest			Sold	01/09/19	K		
107. FNMA PMA3416 04 50%2048 (31418CYN8)	A	Interest	J	T	Buy	07/15/19	J		
108. FNMA PMA3443 04%2048 (31418CZH0)	A	Interest	K	T	Buy	05/09/19	K		
109.					Buy (add'l)	07/16/19	J		
110. FNMA PMA3444 04 50%2048 (31418CZJ6)	A	Interest	J	T					
111. FNMA PMA3592 04%2049 (31418C7G3)	A	Interest	J	T	Buy	09/13/19	J		
112. FNMA PMA3594 05%2049 (3141BC7G3)	A	Interest	J	T	Buy	01/14/19	K		
113. US TREASURY BOND 3.000% FEB 15 (912810SF6)	A	Interest	K	T	Buy	05/02/19	K		
114. FNMA PMA3630 05%2049 (31418DA85)	A	Interest	J	T	Buy	04/11/19	J		
115. FNMA PFM1147 05%2049 (3140X4HZ2)	A	Interest	J	T	Buy	09/13/19	J		
116. FNMA PMA3685 03%2049 (31418DCX8)	A	Interest	J	T	Buy	07/30/19	J		
117. FNMA PMA3692 03 50%2049 (31418DC67)	A	Interest	J	T	Buy	07/15/19	J		
118.					Buy (add'l)	09/13/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 11 of 30

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

06/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. FNMA PMA3637 03 50%2049 (31418DBF8)	A	Interest			Buy	04/11/19	J		
120.					Sold	05/08/19	J		
121. FNMA PMA3747 04 50%2049 (31418DEV0)	A	Interest	J	T	Buy	09/13/19	J		
122. MCDONALD'S SER MTN 02.750% DEC 09 2020 (58013MEX8)	A	Interest			Sold	03/05/19	J		
123. USD UNITED MEXICAN GMTN 3.500% JAN 21 2021 (91086QBD9)	A	Interest			Buy (add'l)	04/15/19	J		
124.					Redeemed	09/03/19	J	A	
125. VERIZON COMMUNICATIONS GLB 05.150% SEP 15 2023 (92343VBR4)	A	Interest	J	T					
126. JPMORGAN CHASE & CO GLB (46647PAX4)	A	Interest	J	T	Buy	02/04/19	J		
127. COMCAST CORP COMPANY GURNT GLB (20030NCU3)	A	Interest			Buy	03/13/19	J		
128.					Sold	10/02/19	J	A	
129. BP CAPITAL MARKETS PLC COMPANY CUARANT GLB (05565QDF2)	A	Interest			Sold	03/12/19	J		
130. GENERAL ELEC CAP CORP 05.875% JAN 14 2038 (36962G3P7)	A	Interest	J	T	Sold (part)	10/09/19	J	A	
131. ENTERPEISE PRODUCTS OPER COMP GUARNT (29379VAV5)	A	Interest	J	T					
132. MICROSOFT CORP GLB 03.700% AUG 08 2046 (594918BT0)	A	Interest			Sold	04/30/19	J	A	
133. CVS HEALTH CORP GLB (126650CZ1)	A	Interest	J	T	Buy	03/08/19	J		
134. ABBVIE INC (ABBV)	A	Dividend			Sold (part)	01/29/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 12 of 30

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Date of Report

06/30/2020

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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135.					Sold (part)	02/04/19	J		
136.					Sold	07/31/19	J		
137. ABBOTT LABS (ABT)	A	Dividend	J	T	Sold (part)	02/07/19	J	A	
138. AIR PRODUCTS & CHEM (APD)	A	Dividend	J	T	Sold (part)	07/11/19	J	A	
139. ALLERGAN PLC (AGN)	A	Dividend	K	T	Sold (part)	12/11/19	J	A	
140. ALTRIA GROUP INC (MO)	B	Dividend			Sold (part)	01/24/19	J		
141.					Sold (part)	09/09/19	J		
142.					Sold	09/19/19	J		
143. AMC NETWORKS (AMCX)		None	J	T					
144. AMGEN INC (AMGN)		None	J	T	Sold (part)	02/07/19	J		
145.					Sold (part)	03/11/19	J		
146.					Sold (part)	03/12/19	J		
147.					Sold (part)	07/10/19	J		
148. ANADARKO PETE CORP (APC) (Y)									
149. AUTODESK INC (ADSK)		None	J	T	Sold (part)	12/11/19	J	A	
150. AUTOMATIC DATA PROCESSING (ADP)		None	J	T	Buy (add'l)	03/12/19	J		
151.					Buy (add'l)	11/13/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 13 of 30

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

06/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
152. BIOGEN INC (BIIB)		None	K	T	Buy (add'l)	08/23/19	J		
153.					Sold (part)	12/11/19	J		
154. BROADCOM LTD (AVGO)	A	Dividend	K	T	Buy (add'l)	05/22/19	J		
155.					Buy (add'l)	06/14/19	J		
156.					Sold (part)	12/11/19	J	A	
157. CHEVRON CORP (CVX)	A	Dividend	J	T	Buy (add'l)	01/24/19	J		
158.					Buy (add'l)	02/04/19	J		
159. CISCO SYSTEMS INC COM (CSCO)	A	Dividend	K	T	Sold (part)	08/08/19	J	B	
160. CITRIX SYSTEMS INC (CTXS)	A	Dividend	J	T					
161. COCA COLA COM (KO)	A	Dividend	J	T	Buy	02/04/19	J		
162. COMCAST CORP NEW CL A (CMCSA)	A	Dividend	K	T	Buy (add'l)	01/29/19	J		
163.					Buy (add'l)	04/16/19	J		
164. CREE INC (CREE)		None	J	T	Buy (add'l)	08/23/19	J		
165.					Buy (add'l)	10/31/19	J		
166. CROWN CASTLE REIT INC (CCI)	A	Dividend	J	T					
167. DARDEN RESTAURANTS INC (DRI)	A	Dividend	J	T	Buy	09/06/19	J		
168.					Buy (add'l)	09/19/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
169. DISCOVERY COMMUNICATION (DISCA) (DISCA)		None	J	T					
170. DOLBY LABORATORIES (DLB)	A	Dividend	J	T					
171. ELI LILLY (LLY)	A	Dividend	J	T	Buy (add'l)	11/13/19	J		
172. EVERSOURCE ENERGY COM (ES) (X)	A	Dividend	J	T					
173. EXXON MOBIL CORP (XOM)	A	Dividend	J	T					
174. FIREEYE INC (FEYE)		None	J	T	Buy	07/31/19	J		
175. FLUOR CORP (FLR)	A	Dividend	J	T					
176. FREEPORT MCMORAN (FCX)	A	Dividend	J	T	Buy (add'l)	08/23/19	J		
177.					Sold (part)	12/11/19	J		
178. GCI LIBERTY INC (GLIBA)		None	J	T					
179. HASBRO INC. (HAS) (Y)									
180. HOME DEPOT (HD)	A	Dividend	K	T					
181. HONEYWELL INTL (HON)	A	Dividend	K	T					
182. HP INC (HPQ)	A	Dividend			Sold	10/15/19	J		
183. ILLINOIS TOOL WORKS (ITW)	A	Dividend	J	T					
184. IMMUNOGEN INC (IMGN) (X)		None	J	T					
185. IONIS PHARMACEUTICALS (IONS)		None	J	T	Buy (add'l)	05/16/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 15 of 30

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

06/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
186. JAPAN TABACCO (JAPAF)	A	Dividend			Sold	01/04/19	J		
187. JOHNSON AND JOHNSON COM (JNJ)	A	Dividend	J	T					
188. JOHNSON CONTROLS (JCI)	A	Dividend	J	T	Sold (part)	12/11/19	J	A	
189. KONE OYJ (KNYJF)		None			Sold	01/03/19	K		
190. LIBERTY BROADBAND CORP (LBRDA) (X)		None	J	T					
191. LIBERTY MEDIA CORP DEL SIRIUS XM (LSXMK)		None	J	T					
192. LIONS GATE ENTERTAINMENT (LGFB) (X)		None	J	T					
193. LOCKHEED MARTIN (LMT)	A	Dividend	K	T					
194. LOGMEIN INC (LOGM) (X)	A	Dividend	J	T					
195. LYONDELL BASELL IND (LYB)	A	Dividend	J	T					
196. L3HARRIS TECHNOLOGIES (LHX)	A	Dividend	J	T	Sold (part)	11/20/19	J	A	
197.					Sold (part)	12/11/19	J	A	
198. MARSH & MCLENNAN COS INC (MMC)	B	Dividend	J	T					
199. MAXIM INTEFRATED (MXIM)	A	Dividend	J	T					
200. MCCORMICK & CO (MKC)		None			Sold (part)	01/29/19	J		
201.					Sold	02/04/19	J		
202. MCDONALDS CORP. (MCD)	A	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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FINANCIAL DISCLOSURE REPORT

Page 16 of 30

Name of Person Reporting

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

203. MEDTRONIC PLC (MDT)	A	Dividend	K	T					
204. MICROSOFT (MSFT)	A	Dividend	K	T	Buy (add'l)	02/07/19	J		
205.					Sold (part)	05/16/19	J	A	
206.					Buy (add'l)	06/06/19	J		
207.					Sold (part)	08/08/19	J	A	
208.					Sold (part)	11/13/19	J	A	
209. MODELEZ INTL (MDLZ)	A	Dividend	J	T	Buy (add'l)	05/16/19	J		
210.					Buy (add'l)	05/29/19	J		
211.					Buy (add'l)	08/08/19	J		
212.					Buy (add'l)	08/08/19	J		
213.					Buy (add'l)	10/03/19	J		
214. NATIONAL OILWELL VARCO (NOV)	A	Dividend	J	T	Buy (add'l)	08/23/19	J		
215. NEXTRA ENERGY INC (NEE)	A	Dividend	K	T	Sold (part)	10/03/19	J	A	
216. NOW INC (DNOW)		None	J	T					
217. NUANCE COMM (NUAN)		None	J	T					
218. NUCOR CORPORATION (NUE)	A	Dividend	J	T	Buy (add'l)	08/23/19	J		
219. OCCIDENTAL PETE CORP CAL (OXY)	A	Dividend	J	T	Buy	08/23/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 17 of 30

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HODGES, GEORGE R.

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
220. ONEOK INC OKLAHOMA (OKE)	A	Dividend	J	T	Buy	01/29/19	J		
221. PAYCHEX INC. (PAYX)	A	Dividend	J	T					
222. PENTAIR (PNR)	A	Dividend	J	T					
223. PEPSICO INC (PEP)	A	Dividend	K	T					
224. PFIZER INC (PFE)	A	Dividend	J	T	Buy (add'l)	07/10/19	J		
225.					Sold (part)	11/13/19	J		
226. PHILLIPS 66 (PSX)	A	Dividend	J	T					
227. PROLOGIS INC (PLD)	A	Dividend	J	T	Buy	06/20/19	J		
228. QURATE RETAIL GROUP QVC (QRTEA)		None	J	T	Buy (add'l)	08/23/19	J		
229. REALTY INCM CRP MD (O)	A	Dividend	J	T					
230. SEAGATE TECH PLC (STX)	A	Dividend	K	T	Sold (part)	12/11/19	J	A	
231. SEMPRA ENERGY (SRE)	A	Dividend	J	T					
232. SIMON PROPERTY GROUP (SPG)	A	Dividend	J	T	Buy (add'l)	01/24/19	J		
233. STARBUCKS CORP (SBUX)	A	Dividend	J	T					
234. SYSCO CORPORATION (SYY)	A	Dividend	J	T	Buy	05/29/19	J		
235.					Buy (add'l)	06/07/19	J		
236.					Buy (add'l)	07/31/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 30

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

06/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
237. TE CONNECTIVITY LTD (TEL)	A	Dividend	J	T					
238. TEXAS INSTRUMENTS (TXN)	A	Dividend	K	T	Sold (part)	07/31/19	J	A	
239. TRUIST FINL CORP (TFC)		None	K	T	Buy	12/11/19	K		
240. TWITTER INC (TWTR)		None	K	T	Buy (add'l)	02/07/19	J		
241.					Buy (add'l)	03/28/19	J		
242.					Buy (add'l)	10/31/19	J		
243. UNITED HEALTH GROUP (UNH)	A	Dividend	K	T	Buy (add'l)	08/23/19	J		
244.					Sold (part)	12/11/19	J	A	
245. VALERO ENERGY CORP (VLO)	A	Dividend	J	T					
246. VERIZON COMMUN. (VZ) (Y)									
247. VERTEX PHARM INC (VRTX)		None	J	T	Buy (add'l)	04/18/19	J		
248.					Sold (part)	12/11/19	J	A	
249. WEATHERFORD INTL (WFT) (Y)									
250. WEC ENERGY GROUP (WEC)	A	Dividend	J	T	Sold (part)	04/08/19	J	A	
251. WILLIAMS COMPANIES (WMB)	A	Dividend	J	T					
252. WESTERN DIGITAL (WDC)	A	Dividend	J	T	Buy (add'l)	11/20/19	J		
253.					Sold (part)	12/11/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 30

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

06/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
254. 3M (MMM)	A	Dividend			Sold (part)	05/02/19	J		
255.					Buy (add'l)	05/29/19	J		
256.					Sold (part)	06/06/19	J		
257.					Sold (part)	06/13/19	J		
258.					Sold	06/14/19	J		
259. FULLER & THALER BEHVRL SM CAP EQ FD I (FTHSX)	A	Dividend	M	T	Sold (part)	11/20/19	J	A	
260.					Sold (part)	12/23/19	J	B	
261.					Sold (part)	12/31/19	J		
262. ISHARES EDGE MSCI MIN VOL EAFE (EFAV)	D	Dividend	M	T	Buy (add'l)	08/23/19	J		
263.					Sold (part)	11/20/19	J	A	
264. ISHARES EDGE MSCI MIN VOL EMERG MKTS (EEMV)	B	Dividend	L	T	Buy (add'l)	08/23/19	J		
265. LORD ABBETT SHORT DURATION INC FD F (LDLFX)	E	Dividend	N	T	Buy (add'l)	02/01/19	K		
266.					Buy (add'l)	08/23/19	J		
267.					Sold (part)	12/11/19	J	A	
268.					Buy (add'l)	12/31/19	J		
269. NEUBERGER BERMAN INTL EQ FD I (NBIIX)	C	Dividend	M	T	Sold (part)	11/20/19	J	A	
270.					Sold (part)	12/23/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 20 of 30

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

06/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
271.					Sold (part)	12/31/19	J		
272. T ROWE PRICE EMERG MKTS STOCK FD I (PRMSX)	A	Dividend	L	T	Sold (part)	11/20/19	J	A	
273.					Sold (part)	12/23/19	J	A	
274.					Sold (part)	12/31/19	J		
275. WESTERN ASSET SMASH SERIES C FUND (LMLCX)	C	Dividend	L	T	Buy (add'l)	03/28/19	J		
276. WESTERN ASSET SMASH SERIES M FUND (LMSMX)	D	Dividend	M	T	Buy (add'l)	03/28/19	J		
277.					Buy (add'l)	07/26/19	J		
278. TRUST ACCT #6 (H)									
279. ML BANK DEPOSIT (cash) (X)	A	Interest	K	T					
280. ABBOTT LABS (ABT)	A	Dividend	K	T	Buy	01/18/19	K		
281.					Sold (part)	02/07/19	J	A	
282. ABBVIE INC (ABBV)	A	Dividend			Buy	01/18/19	K		
283.					Sold (part)	01/29/19	J		
284.					Sold (part)	02/04/19	J		
285.					Sold (part)	04/16/19	J		
286.					Sold	07/31/19	J		
287. AIR PRODUCTS & CHEM (APD)	A	Dividend	K	T	Buy	01/18/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 30

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

06/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
288.					Sold (part)	07/11/19	J	A	
289. ALTRIA GROUP INC (MO)	A	Dividend			Buy	01/18/19	J		
290.					Sold (part)	01/24/19	J		
291.					Sold (part)	09/05/19	J		
292.					Sold	09/19/19	J		
293. AMGEN INC (AMGN)	A	Dividend	J	T	Buy	01/18/19	K		
294.					Sold (part)	02/07/19	J		
295.					Sold (part)	03/11/19	J		
296.					Sold (part)	03/12/19	J		
297.					Sold (part)	05/16/19	J		
298.					Sold (part)	07/10/19	J		
299.					Sold (part)	07/11/19	J		
300. AUTOMATIC DATA PROCESSING (ADP)	A	Dividend	K	T	Buy	01/18/19	J		
301.					Buy (add'l)	01/22/19	J		
302.					Buy (add'l)	03/12/19	J		
303.					Buy (add'l)	10/10/19	J		
304.					Buy (add'l)	10/11/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
305.					Buy (add'l)	11/13/19	J		
306.					Buy (add'l)	12/23/19	J		
307. TRUIST FINL CORP (TFC)	A	Dividend	K	T	Buy	01/18/19	J		
308. BLACKROCK INC (BLK)	A	Dividend	K	T	Buy	01/18/19	J		
309.					Buy (add'l)	06/06/19	J		
310.					Buy (add'l)	06/07/19	J		
311.					Buy (add'l)	07/10/19	J		
312. BROADCOM LTD (AVGO)	A	Dividend	J	T	Buy	01/18/19	J		
313.					Buy (add'l)	09/19/19	J		
314.					Buy (add'l)	12/23/19	J		
315. CHEVRON CORP (CVX)	A	Dividend	K	T	Buy	01/18/19	J		
316.					Buy (add'l)	01/24/19	J		
317.					Buy (add'l)	02/04/19	J		
318. CISCO SYSTEMS INC COM (CSCO)	A	Dividend	K	T	Buy	01/18/19	K		
319.					Sold (part)	07/08/19	J	A	
320. COCA COLA COM (KO)	A	Dividend	J	T	Buy	02/04/19	J		
321.					Buy (add'l)	02/05/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 23 of 30

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

06/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
322. COMCAST CORP NEW CL A (CMCSA)	A	Dividend	J	T	Buy	01/29/19	J		
323.					Buy (add'l)	02/07/19	J		
324.					Buy (add'l)	04/16/19	J		
325.					Buy (add'l)	06/13/19	J		
326. CROWN CASTLE REIT INC (CCI)	A	Dividend	J	T	Buy	01/18/19	J		
327. DARDEN RESTAURANTS INC (DRI) (Y)									
328. ELI LILLY (LLY)	A	Dividend	J	T	Buy	01/18/19	J		
329.					Buy (add'l)	11/13/19	J		
330. EVERSOURCE ENERGY COM (ES)	A	Dividend	J	T	Buy	04/08/19	J		
331. EXXON MOBIL CORP (XOM)	A	Dividend			Buy	01/18/19	J		
332.					Sold	12/20/19	J		
333. HASBRO INC. (HAS)	A	Dividend			Buy	01/18/19	J		
334.					Sold (part)	02/22/19	J		
335.					Sold	03/14/19	J		
336. HOME DEPOT (HD)	A	Dividend	K	T	Buy	01/18/19	K		
337. HONEYWELL INTL (HON)	A	Dividend	K	T	Buy	01/18/19	J		
338. HP INC (HPQ)	A	Dividend			Buy	01/18/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 30

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

06/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
339.					Sold (part)	10/10/19	J		
340.					Sold (part)	10/17/19	J		
341.					Sold	10/18/19	J		
342. ILLINOIS TOOL WORKS (ITW)	A	Dividend	J	T	Buy	01/18/19	J		
343. JOHNSON AND JOHNSON COM (JNJ)	A	Dividend	K	T	Buy	01/18/19	K		
344. JPMORGAN CHASE & CO (JPM)	A	Dividend	K	T	Buy	01/18/19	K		
345. LOCKHEED MARTIN (LMT)	A	Dividend	K	T	Buy	01/18/19	K		
346.					Buy (add'l)	05/02/19	J		
347. LYONDELL BASELL IND (LYB)	A	Dividend	J	T	Buy	01/18/19	J		
348. MARSH & MCLENNAN COS INC (MMC)	A	Dividend	K	T	Buy	01/18/19	J		
349. MAXIM INTEGRATED (MXIM)	A	Dividend	J	T	Buy	01/18/19	J		
350. MC CORMICK NON VTG (MKC)		None			Buy	01/18/19	J		
351.					Sold (part)	01/29/19	J		
352.					Sold	02/04/19	J		
353. MCDONALDS CORP. (MCD)	A	Dividend	K	T	Buy	01/18/19	J		
354. MEDTRONIC PLC (MDT)	A	Dividend	K	T	Buy	01/18/19	K		
355. MICROSOFT (MSFT) (X)	A	Dividend	K	T	Buy (add'l)	01/18/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 30

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

06/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
356.					Sold (part)	06/06/19	J		
357.					Sold (part)	05/16/19	J	A	
358.					Sold (part)	06/20/19	J	A	
359.					Sold (part)	08/08/19	J	A	
360.					Sold (part)	11/13/19	J	A	
361. MODELEZ INTL (MDLZ)	A	Dividend	K	T	Buy	01/18/19	J		
362.					Buy (add'l)	02/07/19	J		
363.					Buy (add'l)	05/16/19	J		
364.					Buy (add'l)	08/08/19	J		
365.					Buy (add'l)	08/09/19	J		
366.					Buy (add'l)	10/03/19	J		
367. NEXTRA ENERGY INC (NEE)	A	Dividend	K	T	Buy	01/18/19	K		
368.					Sold (part)	10/03/19	J	A	
369. ONEOK INC OKLAHOMA (OKE)	A	Dividend	J	T	Buy	01/29/19	J		
370. PAYCHEX INC. (PAYX)	A	Dividend	K	T	Buy	01/18/19	K		
371.					Sold (part)	12/23/19	J	A	
372. PEPSICO INC (PEP)	A	Dividend	K	T	Buy	01/18/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 26 of 30

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

06/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
373.					Buy (add'l)	10/17/19	J		
374. PFIZER INC (PFE)	A	Dividend			Buy	01/18/19	K		
375.					Buy (add'l)	07/10/19	J		
376.					Sold (part)	11/13/19	J		
377.					Sold	12/20/19	K		
378. PHILLIPS 66 (PSX)	A	Dividend	J	T	Buy	01/18/19	J		
379. PNC FINCL SERVICES GROUP (PNC)	A	Dividend	J	T	Buy	07/31/19	J		
380.					Buy (add'l)	09/05/19	J		
381.					Buy (add'l)	08/01/19	J		
382.					Buy (add'l)	09/19/19	J		
383. PROLOGIS INC (PLD)	A	Dividend	J	T	Buy	06/13/19	J		
384.					Buy (add'l)	06/14/19	J		
385.					Buy (add'l)	06/20/19	J		
386. REALTY INCM CRP MD (O)	A	Dividend	J	T	Buy	01/18/19	J		
387.					Buy (add'l)	02/04/19	J		
388.					Buy (add'l)	02/05/19	J		
389. SEMPRA ENERGY (SRE)	A	Dividend	K	T	Buy	01/18/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 27 of 30

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

06/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
390. SIMON PROPERTY GROUP (SPG)	A	Dividend			Buy	01/18/19	J		
391.					Buy (add'l)	01/24/19	J		
392.					Buy (add'l)	01/25/19	J		
393.					Sold	12/20/19	J		
394. STARBUCKS CORP (SBUX)	A	Dividend	K	T	Buy	01/18/19	K		
395. SYSCO CORPORATION (SYY)	A	Dividend	J	T	Buy	05/29/19	J		
396.					Buy (add'l)	06/07/19	J		
397.					Buy (add'l)	06/10/19	J		
398.					Buy (add'l)	07/31/19	J		
399. TEXAS INSTRUMENTS (TXN)	A	Dividend	K	T	Buy	01/18/19	K		
400.					Sold (part)	07/31/19	J	A	
401. US BANCORP (USB)	A	Dividend	K	T	Buy	01/18/19	J		
402.					Buy (add'l)	05/16/19	J		
403.					Buy (add'l)	05/29/19	J		
404.					Sold (part)	07/31/19	J		
405. VALERO ENERGY CORP (VLO)	A	Dividend	J	T	Buy	01/18/19	J		
406. VANGUARD DIVIDEND (VIG)		None	L	T	Buy	12/20/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
407. WEC ENERGY GROUP (WEC)	A	Dividend	K	T	Buy	01/18/19	K		
408.					Sold (part)	04/08/19	J	A	
409. WELLS FARGO & CO NEW DEL (WFC)	A	Dividend	J	T	Buy	03/11/19	J		
410.					Buy (add'l)	04/16/19	J		
411. WILLIAMS COMPANIES (WMB)	A	Dividend			Buy	01/18/19	J		
412.					Sold	12/20/19	J		
413. 3M COMPANY (MMM)	A	Dividend			Buy	01/18/19	K		
414.					Sold (part)	05/02/19	J		
415.					Sold (part)	05/29/19	J		
416.					Sold (part)	06/06/19	J		
417.					Sold (part)	06/13/19	J		
418.					Sold	06/14/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 29 of 30

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

06/30/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Income is received from several closely held corporations including East Mecklenburg Corp. ("S" corporation), The Mason Wallace Co., LLC, J.M. Wallace Land Co., LLC, and Triangle Properties Associates, LLC, and real property owned in common. This is in the nature of investment income and is reported in Part VII. The corporations buy, sell and own real estate, but the stockholders do not control any of those decisions or assets individually.

The holdings reported at lines 2-10 and 12 of this report are neither owned nor controlled by the filer. Information regarding these items is reported to the best of the filer's knowledge, information, and belief.

L3HARRIS TECHNOLOGIES (LHX) was L3 TECHNOLOGIES (LLL).

TRUIST FINL CORP (TFC) was BB&T CORPORATION (BBT).

Part VII, lines 10-11 and 42-295 of the 2018 report became unreportable in this reporting cycle; there are no corresponding reportable transactions.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 30 of 30

Name of Person Reporting

HODGES, GEORGE R.

Date of Report

06/30/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ GEORGE R. HODGES**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544