

FINANCIAL DISCLOSURE REPORT

FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HOPKINS, VIRGINIA E.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 09/25/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR UNITED STATES DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final	6. Reporting Period 01/01/2019 to 08/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 619 HUGO BLACK COURTHOUSE 1729 5TH AVENUE N BIRMINGHAM, AL 35203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. TRUSTEE	IRREVOCABLE LIFE INSURANCE TRUST # 1 (UNFUNDED)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting HOPKINS, VIRGINIA E.	Date of Report 09/25/2019
--	------------------------------

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

HOPKINS, VIRGINIA E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CASH - WELLS FARGO BANK ACCOUNT		None	J	T					
2. CASH - LEGACY CREDIT UNION ACCOUNT	A	Int./Div.	M	T					
3. CASH - MORGAN STANLEY SMITH BARNEY ACCOUNT	A	Int./Div.	K	T					
4. ABIOMED INC		None			Buy	02/05/19	K		
5.					Buy (add'l)	03/18/19	J		
6.					Sold	04/10/19	K	A	
7. ADOBE SYSTEMS		None	K	T	Sold (part)	05/03/19	J	C	
8.					Buy (add'l)	06/07/19	J		
9. ALIGN TECHNOLOGY		None			Sold (part)	02/05/19	J	D	
10.					Sold	03/18/19	J	D	
11. AMAZON COM INC		None	K	T	Buy (add'l)	06/07/19	J		
12. ANTHEM INC COM	A	Dividend			Sold (part)	05/10/19	J	C	
13.					Sold (part)	05/24/19	J	C	
14.					Sold	07/05/19	J	B	
15. APPLE INC	A	Dividend	K	T	Sold (part)	02/25/19	J	C	
16.					Buy (add'l)	06/07/19	J		
17. BAXTER INTL INC	A	Dividend	K	T	Buy	05/10/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

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18.					Sold (part)	06/07/19	J	A	
19. BECTON DICKINSON & CO	A	Dividend			Sold	05/10/19	K	B	
20. COHEN & STEERS PREF SEC & INC	A	Dividend	K	T	Buy	06/07/19	K		
21. DESTRA FLHRT & CRMN PFD & INC	A	Dividend	K	T	Buy	06/07/19	K		
22. EATON VANCE ENHANCED EQTY	B	Dividend	K	T					
23. EATON VANCE TAX MNGD BY WT	B	Dividend	K	T	Buy (add'l)	06/07/19	J		
24. EDWARD LIFESCIENCES CORP		None	K	T	Buy	04/03/19	J		
25.					Buy (add'l)	04/10/19	J		
26.					Buy (add'l)	06/07/19	J		
27. FISERV INC WISCONSIN		None	K	T	Sold (part)	03/19/19	J	C	
28. GLOBAL PAYMENT INC	A	Dividend	K	T	Buy	05/03/19	J		
29.					Buy (add'l)	06/07/19	J		
30. HARRIS CORPORATION DELAWARE	A	Dividend			Sold (part)	06/07/19	J	B	See Part VIII, Addtl Info
31.					Merged (with line 46)	07/01/19	K		See Part VIII, Addtl Info
32. HEICO CORP NEW	A	Dividend	K	T	Sold (part)	06/07/19	J	D	
33. IDEXX LABS		None	K	T	Sold (part)	06/07/19	J	B	
34. ILLUMINA INC		None	K	T	Buy	07/01/19	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35.					Buy (add'l)	07/05/19	J		
36. INTEL CORP		None			Buy	03/18/19	J		
37.					Sold	05/02/19	J	A	
38. ISHARES BARCLAYS 1-3 YR TRES	A	Dividend			Sold	06/07/19	K	A	
39. ISHARES 3-7 YR TREASURY BD ETF	A	Dividend	L	T					
40. ISHARE CORE U.S. AGGREGATE	A	Dividend	L	T					See Part VIII, Addtl Info
41. ISHARES SHORT TREAS BD	A	Dividend			Sold	04/03/19	K	A	
42. ISHARES US AEROSPACE & DEF ETF	A	Dividend	K	T	Buy (add'l)	02/25/19	J		
43.					Buy (add'l)	08/19/19	J		
44. JANUS HENDERSON SHORT DUR	A	Dividend			Sold (part)	02/15/19	K	A	
45.					Sold	04/01/19	K	A	
46. L3 HARRIS TECH INC		None	K	T	Open	07/01/19	K		See Part VIII, Addtl Info
47. LULULEMON ATHLETICA INC		None	K	T	Buy	02/12/19	K		
48.					Buy (add'l)	06/07/19	J		
49. METROPOLITAN WEST TOT RET BD FD	A	Dividend	K	T	Sold (part)	08/22/19	J	A	
50. MICROSOFT CORP	A	Dividend	K	T	Buy	01/31/19	J		
51.					Buy (add'l)	02/25/19	J		

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52. NETFLIX INC		None	K	T	Buy	02/13/19	J		
53.					Buy (add'l)	02/28/19	J		
54.					Buy (add'l)	03/19/19	J		
55.					Buy (add'l)	06/07/19	J		
56. NUVEEN PFD SEC & INC	A	Dividend	K	T	Buy	06/07/19	K		
57. NVIDIA CORPORATION		None			Sold	01/30/19	J	D	
58. PALO ALTO NETWORKS INC		None			Buy	02/05/19	K		
59.					Buy (add'l)	02/12/19	J		
60.					Buy (add'l)	06/07/19	J		
61.					Sold	07/01/19	K	A	
62. PAYPAL HLDGS INC		None	K	T	Buy	06/07/19	K		
63. PIONEER MLTI AST ULT SHORT INC	A	Dividend			Sold	06/07/19	K	A	
64. SALESFORCE.COM INC		None	K	T	Sold (part)	06/07/19	J	B	
65. SHERWIN WILLIAMS COMPANY OHIO	A	Dividend	K	T	Buy (add'l)	06/07/19	J		
66. SQUARE INC CL A		None			Buy	02/06/19	J		
67.					Buy (add'l)	02/15/19	J		
68.					Sold	05/02/19	J	A	

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69. STARBUCKS CORP	A	Dividend	K	T	Buy	02/15/19	J		
70.					Buy (add'l)	03/19/19	J		
71.					Buy (add'l)	06/07/19	J		
72. SPDR BARCLAYS CAPITAL TIPS	B	Dividend	L	T					
73. THERMO FISHER SCIENTIFIC	A	Dividend	K	T	Buy	04/03/19	J		
74.					Buy (add'l)	04/10/19	J		
75.					Buy (add'l)	06/07/19	J		
76. UNITEDHEALTH GP INC	A	Dividend			Sold (part)	05/10/19	J	C	
77.					Sold (part)	06/07/19	J	C	
78.					Sold (part)	06/24/19	J	C	
79.					Sold	07/01/19	J	C	
80. VEEVA SYS INC CL A		None	K	T	Buy	05/10/19	K		
81. VERTEX PHARMACEUTICALS		None	K	T	Buy	05/24/19	J		
82.					Buy (add'l)	06/07/19	J		
83. VISA INC CL A	A	Dividend	K	T	Buy	01/31/19	J		
84.					Buy (add'l)	05/03/19	J		
85.					Buy (add'l)	06/07/19	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. VOYA FINANCIAL INC	A	Dividend	K	T	Buy	05/10/19	K		
87.					Buy (add'l)	06/07/19	J		
88. WISDOM TREE FLOATING RATE	A	Dividend			Sold	06/07/19	K	A	
89. WORKDAY INC CL AA		None	K	T	Buy	07/01/19	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

THE IRREVOCABLE INSURANCE TRUST #1 AS REPORTED IN PART I, LINE I IS UNFUNDED AND THEREFORE IS NOT INCLUDED IN SECTION VII, "INVESTMENTS AND TRUSTS".

Part VII, Investments and Trusts, Lines 30 and 46 - On July 1, 2019, Harris Corporation changed its name, CUSIP, and trading symbol to L3 Harris Technologies. There was not any other substantial change in this investment during 2018.

Part VII, Investments and Trusts, Line 40 - "ISHARE CORE US AGGREGATE" increased from code K to code L despite no activity reported. This increase was purely due to increases in the market value of the securities held during 2019. There are no further acquisitions or dispositions to report for this security during the current period.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ VIRGINIA E. HOPKINS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544