

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Bryant, Vanessa L.	2. Court or Organization District Court for the District of Connecticut	3. Date of Report 03/30/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 450 Main Street Annex 135 Hartford, CT 06103		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board Member	Board of Governors Hill- Stead Museum
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Bryant, Vanessa L.

Date of Report

03/30/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	[REDACTED] - Pension Non Qualified Benefits	\$52,887.56
2. 2019	[REDACTED] - HO Pension Annuity	\$12,094.32
3. 2019	[REDACTED] Pension Benefits	\$11,195.00
4. 2019	[REDACTED] - Pension Benfits	\$2,864.00
5. 2019	[REDACTED] - Pension Benefits SERP Plan	\$18,437.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	[REDACTED] Salary and Bonus
2. 2019	[REDACTED] -Pension Plan
3. 2019	[REDACTED] - Life Insurance
4. 2019	JP Morgan Chase, [REDACTED] - Pension Benefit
5. 2019	[REDACTED] [REDACTED] - Pension Benefit
6. 2019	JP Morgan Chase, [REDACTED] - Pension Benefit
7. 2019	[REDACTED] - Nonemployee Compensation
8. 2019	[REDACTED] - 401K Rollover
9. 2019	Fidelity Investments Institutional Operations, [REDACTED] - Employee Incentive Savings

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				

Name of Person Reporting	Date of Report
Bryant, Vanessa L.	03/30/2020

2.
3.
4.
5.

Name of Person Reporting	Date of Report
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Bryant, Vanessa L.

Date of Report

03/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. [REDACTED]	D	Rent	M	W					
2. Alliance Bernstein Global Thematic Growth Class A	A	Dividend	J	T					
3. Amazon	D	Dividend	K	T					
4. American Express Savings	A	Interest	L	T					
5. Apple, Inc.	A	Dividend	K	T					
6. AT&T	A	Dividend	J	T					
7. Bank of America Access Checking	E	Interest	L	T					
8. Bank of America Access Checking	A	Interest	K	T					
9. Bank of America Advantage Savings	A	Interest	J	T					
10. Bank of America Fixed TER CD	H1	Interest	P1	T					
11. BNY Mellon IRA	A	Int./Div.	J	T					
12. BP P.L.C.	A	Dividend	J	T					
13. BNY Mellon Research Growth	B	Dividend	K	T					
14. Exxon Mobil	A	Dividend	J	T					
15. Fidelity Bond Index Fund (IRA)	A	Dividend			Sold	7/1/19	J		
16. Fidelity Freedom 2020 (IRA)	A	Dividend	M	T					
17. Frontier Communications Corp.	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Bryant, Vanessa L.

Date of Report

03/30/2020

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Alphabet Inc.	C	Dividend	K	T					
19. Johnson & Johnson	A	Dividend	J	T					
20. ██████████ Retirement	E	Distribution	O	W	Distributed (part)	07/1/19	K		
21. ██████████ Retirement	F	Distribution	O	W	Distributed (part)	07/01/19	K		
22. Symantec Corp	A	Dividend	J	T					
23. ██████████ Deferred Comp.		Distribution	P2	T	Distributed (part)	07/01/19	P2		
24. ██████████ ██████████ Bank Checking Account	B	Interest	K	T					
25. SPDR Dow Jones Industrial Average ETF	A	Dividend	J	T					
26. Vanguard Institutional Indexed	A	Dividend	K	T					
27. Vanguard Russell 2000	A	Dividend	K	T					
28. Vanguard S & P Midcap	A	Dividend	K	T					
29. US Bank IRA	A	Interest			Closed	05/17/19	J		
30. Capital One Natl Ass VA CD	A	Dividend			Redeemed	05/17/19	M		
31. Vanguard 2020 (401K)	A	Dividend	M	T					
32. Vanguard Institutional Index Plus (Excess Plan)	A	Dividend	K	T					
33. Vanguard Developing Market (Excess Plan)	A	Dividend	K	T					
34. Vanguard Emerging Market (Excess Plan)	A	Dividend	K	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Bryant, Vanessa L.

Date of Report

03/30/2020

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35. Fidelity MMKT Premium Class	G	Distribution	O	T	Open	12/20/19	N		
36. Fidelity Gov Money Mkt	A	Dividend	L	T	Open	7/1/19	L		
37. CD New York Community Bank	A	Interest	K	T	Open	09/09/19	K		
38. CD East West Bank	B	Interest	M	T	Open	09/06/19	M		
39. CD Bank of China New York	B	Interest	M	T	Open	12/04/19	M		
40. CD Goldman Sachs Bank	B	Interest	M	T	Open	09/06/19	M		
41. CD Bank of India	B	Interest	M	T	Open	12/04/19	M		
42. CD City Natl Bank of FL	B	Interest	N	T	Open	08/26/19	N		
43. CD Mizuho Bank	B	Interest	M	T	Open	12/04/19	M		
44. CD Mizrahi Tefahot BK LT	B	Interest	N	T	Open	08/26/19	N		
45. CD Truist Bank	B	Interest	M	T	Open	10/31/19	M		
46. CD Luana Savings Bank	B	Interest	M	T	Open	11/01/19	M		
47. CD Patriot Bank	B	Interest	M	T	Open	09/06/19	M		
48. CD 21st Century Bank	B	Interest	M	T	Open	09/09/19	M		
49. CD Santander Bank NA	B	Interest	M	T	Open	09/09/19	M		
50. CD Bank Leumi USA	B	Interest	M	T	Open	09/06/19	M		
51. CD Southside Bank	B	Interest	M	T	Open	12/06/19	M		

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. CD First Bank	B	Interest	M	T	Open	09/06/19	M		
53. CD Zions Bancorp NA	B	Interest	M	T	Open	09/18/19	M		
54. DN J P Morgan Chase Bank	A	Interest	J	T	Open	09/18/19	J		
55. CD Enerbank USA	B	Interest	M	T	Open	09/18/19	M		
56. CD Morgan Stanley Bank	B	Interest	M	T	Open	09/18/19	M		
57. CD Morgan Stanley Bank	B	Interest	M	T	Open	09/18/19	M		
58. CD Safra National Bank	B	Interest	M	T	Open	09/18/19	M		
59. DN JPMorgan Chase Bank	A	Interest	L	T	Open	10/31/19	L		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Bryant, Vanessa L.

Date of Report

03/30/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VI. [REDACTED] Mortgage paid off.

Part VII, Row 13- Name Change from Dreyfus Research Growth FDCL A

Part VII, Row 20-This account contains no stocks or bonds. It is a defined benefit qualified and non qualified pension plan.

Part VII, Row 21- This account contains no stocks or bonds. It is defined benefit qualified and non qualified pension plan.

Part VII Rows 26-28 and Rows 31-34 details the stocks represented, but not owned in the deferred compensation from [REDACTED] found in Part VII, Row 22. The Judge's [REDACTED] does not own any of these stocks but has an interest in the accounts.

Part VII, Row 35- Transfer of mutual fund from deceased [REDACTED].

[REDACTED] deceased on 08/01/2019. Estate has not been probated.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Bryant, Vanessa L.

Date of Report

03/30/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Vanessa L. Bryant**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544