

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Black, Paul M.	2. Court or Organization U.S. Bankruptcy Court, Western District of Virginia	3. Date of Report 5/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 210 Church Avenue, S.W., Room 209 Roanoke, VA 24011		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	W-2, Second Presbyterian Church
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. NCBJ	March 25 - 27, 2019	Washington, D.C.	Legislative committee meeting	lodging, transportation and meals
2. American Bankruptcy Institute	June 21 - 22, 2019	Washington, D.C.	CLE (faculty)	lodging, transportation and meals
3. American Bankruptcy Institute	July 18 - 21, 2019	Amelia Island, FL	CLE (faculty)	lodging, transportation and meals
4. Virginia CLE	September 12 - 14, 2019	Charlottesville, VA	CLE (faculty)	lodging, transportation and meals
5. NCBJ	October 29 - November 1, 2019	Washington, D.C.	Annual Conference	lodging, transportation and meals

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BB&T	A	Interest	J	T					
2. American Funds Capital Income Builder Class A	A	Dividend			Sold	09/26/19	J	A	market
3. American Funds Investment Company of America Class A	C	Dividend	L	T	Sold (part)	01/02/19	J		market
4.					Sold (part)	05/01/19	J	A	market
5.					Sold (part)	07/31/19	J	B	market
6.					Sold (part)	09/26/19	J	A	market
7. American Funds Capital World Growth & Income Class A	A	Dividend	K	T					
8. Growth Fund of America Class A	C	Dividend	L	T	Sold (part)	08/28/19	J	A	market
9. American Balanced Class A	B	Dividend	K	T	Sold (part)	03/29/19	J	B	market
10.					Sold (part)	06/28/19	J	B	market
11.					Sold (part)	07/31/19	J	A	market
12.					Sold (part)	12/31/19	J	C	market
13. Washington Mutual Investors Class A	C	Dividend	L	T	Sold (part)	05/29/19	J	C	market
14. Income Fund of America Class A	C	Dividend	L	T	Sold (part)	04/11/19	J		market
15.					Sold (part)	06/28/19	J	A	market
16.					Sold (part)	08/28/19	J	A	market
17. American Funds Europacific Growth Class A	A	Dividend	J	T	Sold (part)	01/30/19	J	A	market

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	09/26/19	J	B	market
19. American Funds Smallcap World Class A	A	Dividend	K	T					
20. Amcap Class A	A	Dividend	K	T					
21. New York Life Insurance Policy (see note #1)	B	Dividend	K	T					
22. CEMA LLC (Roanoke, VA) (see note #2)	B	Rent	K	W					
23. Prime Cash Obligs CII	A	Interest	J	T					
24. US Bank Natl Assoc. CD (X) (see note #3)		None			Sold	10/18/19	M		market
25. Wells Fargo Bank NA CD (X)		None			Sold	10/18/19	M		market
26. Federated Prime Cash Obligations Funds Wealth Class Shares (X)	B	Dividend			Buy (add'l)	09/25/19	L		market
27.					Buy (add'l)	10/02/19	M		
28.					Buy (add'l)	10/31/19	K		market
29.					Sold (part)	12/02/19	M		market
30.					Sold	12/31/19	M		market
31. IShares ETF	A	Dividend	K	T	Buy	10/18/19	J		market
32.					Buy (add'l)	12/02/19	J		market
33.					Buy (add'l)	12/31/19	J		market
34. IShares Core S&P Small Cap	A	Dividend	K	T	Buy	10/18/19	J		market

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35.					Buy (add'l)	12/02/19	J		market
36.					Buy (add'l)	12/31/19	J		market
37. Cohen & Steers PFD Secs & Income Fund, Class 1	A	Dividend	K	T	Buy	10/18/19	J		market
38.					Buy (add'l)	12/02/19	J		market
39.					Buy (add'l)	12/31/19	J		market
40. Columbia VA Int. Munc. Bond Fund Class 1	A	Dividend	L	T	Buy	10/18/19	K		market
41.					Buy (add'l)	12/02/19	K		market
42.					Buy (add'l)	12/31/19	K		market
43. Doubleline FDS Class 1	A	Dividend	K	T	Buy	10/18/19	K		market
44.					Buy (add'l)	12/02/19	J		market
45.					Buy (add'l)	12/31/19	K		market
46. Goldman Sachs Dynamic Munic. Income Fund	A	Dividend	L	T	Buy	10/18/19	K		market
47.					Buy (add'l)	12/02/19	K		market
48.					Buy (add'l)	12/31/19	K		market
49. American Funds New World Fund CL F2	A	Dividend	K	T	Buy	10/18/19	J		market
50.					Buy (add'l)	12/02/19	J		market
51.					Buy (add'l)	12/31/19	J		market

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52. Nuveen Municipal Trust Ltd Term Munc Bd Fd Cl 1	A	Dividend	L	T	Buy	10/18/19	K		market
53.					Buy (add'l)	12/02/19	K		market
54.					Buy (add'l)	12/31/19	K		market
55. TCW FDS Inc. Emerging Markets Inc. Fund Cl 1	A	Dividend	K	T	Buy	10/18/19	J		market
56.					Buy (add'l)	12/02/19	J		market
57. Vanguard Funds Dividend Growth Fund Investor Class IV	A	Dividend	K	T	Buy	10/21/19	J		market
58.					Buy (add'l)	12/03/19	J		market
59. Select Sector Spdr ETF	A	Dividend			Buy	10/31/19	J		market
60.					Buy (add'l)	12/02/19	J		market
61.					Sold	12/31/19	J		market
62. Vanguard Growth ETF	A	Dividend	K	T	Buy	10/18/19	J		market
63.					Buy (add'l)	12/02/19	J		market
64.					Buy (add'l)	12/31/19	J		market
65. Vanguard Mid-Cap ETF Growth Fund	A	Dividend	K	T	Buy	10/31/19	J		market
66.					Buy (add'l)	12/02/19	J		market
67.					Buy (add'l)	12/31/19	J		market
68. Vanguard Mid-Cap Val ETF Index Fund	A	Dividend	K	T	Buy	10/18/19	J		market

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69.					Buy (add'l)	12/02/19	J		market
70.					Buy (add'l)	12/31/19	J		market
71. Mainstay Mackay Convertible Fund	A	Dividend	J	T	Buy	11/01/19	J		market
72.					Buy (add'l)	12/02/19	J		market
73.					Buy (add'l)	12/31/19	J		market
74. Principal Inv. Fund Real Estate Secs FD		None	J	T	Buy	12/31/19	J		market
75. Wells Fargo Expanded Bank Deposit (X)	A	Interest	J	T					
76. Wells Fargo cash sweep balance (X)		None	K	T					
77. Josiana Hungary Spring, LLC (Richmond, VA) (X)	E	Rent	O	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Reporting person is 50% owner of this life insurance policy. Therefore, 1/2 of the total assets and income is reported. Part VII, line 21.
2. Reporting person is 10% owner of LLC. Therefore, 10% of the total assets and income is reported. Part VII, line 22.
3. Assets listed with (X) in Part VII of this report were acquired through an inheritance.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Paul M. Black**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544