

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'HARA, JAMES P.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 04/22/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE, FULL-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2019 to 12/31/2019
7. Chambers or Office Address 219 U.S. COURTHOUSE 500 STATE AVENUE KANSAS CITY, KS 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

O'HARA, JAMES P.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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O'HARA, JAMES P.	04/22/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

O'HARA, JAMES P.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Security Bank of KC (savings & checking)	A	Interest	J	T					
2. Mariner Rollover IRA No. 1 (formerly Fidelity Rollover IRA No. 1)									
3. - Fidelity Cash Reserves	A	Interest	J	T					
4. - Harding Loevner Int'l Equity Port Inst'l	A	Dividend	K	T	Buy	02/05/19	J		
5. -Convergence Core Plus Fund Institutional C1		None			Sold (part)	02/05/19	J	A	
6.					Sold	08/29/19	K	E	
7. - Nuance Concentrated Value Fd Inst'l	A	Dividend	K	T	Sold (part)	02/04/19	J	A	
8.					Buy	12/20/19	J		
9. - Tortise MLP Pipeline Fd Inst'l		None	J	T	Sold (part)	02/05/19	J	B	
10. - Stone Ridge Reinsurance Risk Premium I	A	Dividend	J	T	Sold (part)	02/27/19	J	A	
11.					Sold (part)	05/30/19	J	A	
12.					Sold (part)	08/26/19	J	A	
13.					Sold (part)	11/27/19	J	B	
14. - Walhausen Small Cap Value Fund		None			Sold	02/05/19	J	C	
15. - Hotchkis & Wiley High Yield	A	Dividend			Sold	02/05/19	K	B	
16. - Lazard Global	A	Dividend	J	T	Sold (part)	02/05/19	K	A	
17.					Buy	06/21/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy	09/20/19	J		
19.					Buy	12/20/19	J		
20. -Cullen Emerging Market High Dividend Cl I	A	Dividend	J	T	Sold (part)	02/05/19	J	A	
21.					Buy	09/27/19	J		
22.					Buy	12/20/19	J		
23. -AQR Managed Futures Fund C1 I		None			Sold	02/05/19	J	A	
24. -Dodge & Cox Income	A	Dividend	K	T	Buy	02/05/19	K		
25.					Buy	09/03/19	J		
26. -Ishares Core S&P 500 ETF		None	L	T	Buy	02/05/19	J		
27.					Buy	08/30/19	J		
28.					Buy	09/04/19	J		
29. -PrimeCap Oydessy Growth Fund	A	Dividend	K	T	Sold (part)	02/05/19	J	A	
30.					Buy	09/03/19	J		
31. -Stoneridge All Asset Variable Risk Premium I		None			Sold (part)	01/16/19	J	A	
32.					Sold (part)	04/12/19	J	A	
33.					Sold	07/12/19	J	A	
34. -Vanguard FTSE Developed Market ETF		None	K	T	Buy	02/06/19	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35.					Buy	09/04/19	J		
36. -Versus Capital Multi Manager Real Estate Income I	A	Dividend			Sold	01/25/19	J	A	
37. -Metropolitan West Total Return Class I	A	Dividend	L	T	Buy	02/05/19	K		
38.					Buy	05/31/19	J		
39.					Buy	06/28/19	J		
40.					Buy	08/30/19	J		
41.					Buy	11/27/19	J		
42. -AQR Equity Market Neutral Fund		None			Sold	02/04/19	J	A	
43. -Undiscovered Mgr Behavioral Value Fund Class A	A	Dividend	J	T	Buy	02/05/19	J		
44. -Vanguard Real Estate ETF		None	J	T	Buy	02/06/19	J		
45. Mariner Rollover IRA No. 2 (formerly Fidelity Rollover IRA No. 2)									
46. - Fidelity Cash Reserves	A	Interest			Sold	07/04/19	J	A	
47. - Metropolitan West Tot Return Bond Cl M	A	Dividend			Buy	02/07/19	J		
48.					Sold	07/23/19	J	A	
49. - Fidelity 500 Index Intsitu Prem (f/k/a Fid 500 Index Prem Class)	A	Dividend			Buy	02/06/19	J		
50.					Buy	04/05/19	J		
51.					Sold	07/23/19	J	A	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.	A	Dividend			Buy	02/06/19	J		
53.					Sold	07/23/19	J	A	
54. - Tortoise MLP & Pipeline Fd Investor Cl		None			Sold (part)	02/07/19	J	A	
55.					Sold	07/23/19	J	A	
56. - Nuance Concentrated Value Fund Class A	A	Dividend			Sold (part)	02/07/19	J	A	
57.					Sold	07/23/19	J	A	
58. - Hotchkis & Wiley High Yield	A	Dividend			Sold	07/23/19	J	A	
59. - Lazard Global	A	Dividend			Sold (part)	02/07/19	J	A	
60.					Sold	07/23/19	J	A	
61. - AQR Managed Futures		None			Sold	02/07/19	J	A	
62. - Cullen Emerging Market	A	Dividend			Sold (part)	02/07/19	J	A	
63.					Sold	07/23/19	J	A	
64. - Harding Loevner Int'l Equity		None			Buy	02/07/19	J		
65.					Sold	07/23/19	J	A	
66. - John Hancock Global		None			Sold	02/07/19	J	A	
67. - Walhausen Small Cap		None			Sold	02/07/19	J	A	
68. - IShares S&P 500 Growth		None			Sold	07/24/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. -AQR Equity Market Neutral Fund Cl N		None			Sold	02/07/19	J	A	
70. -Fidelity U.S. Bond Index Fund (f/k/a Fid U.S. Bank Index Prem Class)	A	Dividend			Buy	02/06/19	J		
71.					Sold	07/23/19	J	A	
72. -Fidelity MSCI Real Estate Index Fund		None			Sold (part)	02/07/19	J	A	
73.					Buy	07/01/19	J		
74.					Sold	07/24/19	J	A	
75. -Ishares TR Int'l Dev. Re		None			Sold	07/24/19	J	A	
76. -Undiscovered Manager Behavioral Value Fund Class A		None			Buy	02/07/19	J		
77.					Sold	07/23/19	J	A	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JAMES P. O'HARA

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544