

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MEISEL, STACEY L.	2. Court or Organization UNITED STATES BANKRUPTCY COURT DISTRICT OF NEW JERSEY	3. Date of Report 06/03/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address M. L. KING JR. FED. BLDG. 50 WALNUT ST NEWARK NJ 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. JUDICIAL ADVISOR	ASSOCIATION OF THE FEDERAL BAR
2. MEMBER	NATIONAL CONFERENCE OF BANKRUPTCY JUDGES CORNELIUS BLACKSHEAR SCHOLARSHIP COMMITTEE
3. MEMBER	NATIONAL CONFERENCE OF BANKRUPTCY JUDGES FEDERAL RULES ADVISORY COMMITTEE
4. JUDICIAL CO-CHAIR	ABI MID-ATLANTIC CONFERENCE
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 03/26/08	Becker Meisel LLC 401(k) Plan
2. 09/23/15	Becker LLC - Partnership Buy-Out Agreement - Final payment received in 2019
3.	

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MEISEL, STACEY L.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	West Services Inc. - book royalties	\$2,314.00
2. 2019	Becker LLC - buyout payments	\$14,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Becker Meisel LLC - draw and membership distribution "Self Employed Attorney"
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. ABI Mid-Atlantic Bankruptcy Workshop	8/1/19 - 8/3/19	Hershey, PA	Professional Assoc.	Travel & per diem
2. 2019 NCBJ Conference	10/30/19 - 11/3/19	Washington, D.C.	Professional Assoc.	Travel & per diem
3.				
4.				
5.				

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MEISEL, STACEY L.	06/03/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. TD Bank Cash Accounts	A	Int./Div.	L	T					
2. Franklin Income Fund (b) class c	A	Dividend			Sold	04/25/19	J	A	
3. Hooper Holmes (a)	A	Dividend	J	T					
4. Targa Resources Pfd 9% (a)	A	Dividend	K	T					
5. Calamos Strategic Total Return (a)	A	Dividend	J	T					
6. Intel Corp (a)	A	Dividend	J	T					
7. Franklin Income Fund (a)	A	Dividend	K	T					
8. Citigroup Note 10% 8/19/35 (a)	A	Int./Div.	K	T					
9. United States Oil Fund (a)	A	Dividend	K	T					
10. Regal Bank Money Market (a) Cash Account	A	Interest	J	T					
11. 1. Becker LLC 401(k) Plan (a) H									
12. 2. Blackrock Lifepath Inde 2035	A	Int./Div.	M	T					
13. 1. Becker LLC 401 (k) Plan (c) H									
14. 2. Blackrock Lifepath Index 2035	A	Int./Div.	N	T					
15. 3. Blackrock Lifepath Index 2060	A	Int./Div.	J	T	Spinoff (from line 14)	10/08/19	J		
16. 4. John Hancock Bond Fund	A	Interest	J	T	Spinoff (from line 14)	10/08/19	J		
17. 5. Blue Chip Growth Fund	A	Int./Div.	J	T	Spinoff (from line 14)	10/08/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. 6. 500 Index Fund	A	Int./Div.	J	T	Spinoff (from line 14)	10/08/19	J		
19. 7. Invesco International Growth	A	Int./Div.	J	T	Spinoff (from line 14)	10/08/19	J		
20. 8. DFA U.S. Small Cap Fund	A	Int./Div.	J	T	Spinoff (from line 14)	10/08/19	J		
21. Franklin Income Fund 529 Plan (d)	A	Dividend	L	T					
22. Alibaba (b)	A	Dividend	L	T					
23. American Int'l Group (b)	A	Dividend	J	T					
24. Exxon Mobil (b)	A	Dividend	J	T					
25. Franklin Income Fund (b) class A	C	Dividend			Sold	04/25/19	M	D	
26. Targa Resources Pfd (b)	A	Dividend	J	T					
27. Citibank CD (b)	A	Int./Div.	K	T					
28. EPR PPTYs 5.75 PFD (b)	C	Dividend	L	T					
29. Morgan Stanley 10% due 08/19/33 (b)	A	Int./Div.	J	T					
30. Vornado Rlty PFD (b)	B	Dividend	K	T					
31. Regal Bank Money Market (c) Cash Account	A	Interest	J	T					
32. Alibaba (c)	A	Dividend	L	T					
33. Citibank CD 209% 11/15/33 (c)	A	Int./Div.	J	T					
34. Citigroup Note 10% 11/26/34 (c)	A	Int./Div.	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. JP Morgan Chase CD 10% 7/21/34 (c)	A	Int./Div.	K	T					
36. Franklin Income Fund (c)	A	Dividend	J	T					
37. Exxon Mobil (d)	B	Dividend	K	T					
38. Targa Resources PFD 9% (a)	B	Dividend	J	T					
39. TransAmerica Universal Life Insurance (a)	A	Dividend	K	T					
40. 1. Mass Mutual Variable Universal Life Insurance (c) policy 710 (H)									
41. 2. -MML Equity Index (c)	A	Dividend	K	T					
42. 3. -Oppenheimer Main Street	A	Dividend	K	T					
43. 4. -MML Money Market	A	Dividend	J	T					
44. 5. -Oppenheimer Core Bond/Inv Oppenheimer Total Return Bond (merged)	A	Dividend	J	T					
45. 6. -Janus Aspen Global Research/Janus Henderson Gbl Research (merged)	A	Dividend	J	T					
46. 1. Mass Mutual Variable Universal Life Insurance (c) policy 712 (H)									
47. 2. -MML Equity Index (c)	A	Dividend	K	T					
48. 3. -Oppenheimer Main Street	A	Dividend	K	T					
49. 4. -MML Money Market	A	Dividend	J	T					
50. 5. -Oppenheimer Core Bond/Inv Oppenheimer Total Return Bond (merged)	A	Dividend	J	T					
51. 6. -Janus Aspen Global Research/Janus Henderson Gbl Research (merged)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Seelaus Money Market (c) cash account	A	Interest	J	T					
53. Seelaus Money Market (a) cash account	A	Interest	J	T					
54. Seelaus Money Market (b) cash account	A	Interest	K	T					
55. Seelaus Money Market (d) cash account	A	Interest	J	T					
56. Centurylink Inc Fixe 7.6% 9/15/39 (b)	C	Interest	K	T	Buy	04/30/19	K		
57. Centurylink Inc. Fixe 7.6% 9/15/39 (b)		None			Sold	11/22/19	K	B	
58. Citigroup Inc Med Term 2/27/35 "X" (b)	A	Interest	K	T					
59. United Sts Stl New 6.65% 6/1/37 (b)	B	Interest	L	T	Buy	11/22/19	L		
60.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The John Hancock 401(k) information has been valued as of 12/31/19. I estimated the income and gains on the sale of the 401(k) assets because I could not obtain that information from John Hancock.

As per my broker, the cash value of the TransAmerica life insurance policies are held by TransAmerica and not a third party intermediary like a bank. I have Universal Life Insurance policies. To the extent the premiums exceed the basic cost of insurance, they are considered to be the cash value of the account but are left in the company's general account. The company uses the general account to pay claims, meet policyholder demands and set aside reserves against future claims as required by various State Insurance Commissioners. The company credits policyholder funds in the general account with interest. The company guarantees a minimum interest rate but may set the rate higher than the minimum. The interest crediting rates are set annually by management and approved by the Board.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ STACEY L. MEISEL

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544