

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gorence, Patricia J.	2. Court or Organization Eastern District of Wisconsin	3. Date of Report 01/18/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-Full, Part-time as of July 1, 2013	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Courthouse, Room 264 517 E. Wisconsin Avenue Milwaukee, Wisconsin 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Project Return
2. Board Member	Slovenian Arts Council-University of Wisconsin-Milwaukee
3. Outreach Committee, Chair	SS. Peter and Paul Parish - Milwaukee
4. Board Member	Wisconsin Equal Justice Taskforce
5. Member	Wisconsin Law Foundation

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Traditional IRA - #1 Morgan Stanley									
2. --Morgan Stanley Bank Money Market Fund	A	Interest	J	T	Open	01/01/17	J		
3. --First Trmpl & Enrg Inc Fnd	A	Dividend	J	T					
4. --First Trst Dyn Devel. Int Etf (FFDJ)	A	Dividend	J	T					
5. --Blackrock Multi-Asset Inc. Inst	A	Dividend	J	T					
6. --Blackrock Strategic Inc OPPI	A	Dividend	J	T					
7. --First Eagle Global 1	A	Dividend	J	T	Sold	12/07/17	J	A	
8. --Guggenheim Floating RT Spat1	A	Dividend	J	T					
9. --Guggenheim Limited Duration 1	A	Dividend	J	T					
10. Guggenheim Macro Opport 1	A	Dividend	J	T					
11. Invesco Equal WGHTD S&P 500 Y	A	Dividend	J	T					
12. --JPMorgan US LG CP Core PLS 1	A	Dividend	J	T	Sold	12/07/17	J	A	
13. --Loomis Sayles Strategic, Inc	A	Dividend	J	T					
14. --Metropolitan West Tot Ret Bd 1	A	Dividend	J	T					
15. --Oppenheimer Intl Growth Y	A	Dividend	J	T	Sold	12/07/17	J	A	
16. --Oppenheimer Intl Smll-Mid Co Y	A	Dividend	J	T					
17. Principal Midcap Instl	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Putnam Short Duration Income	A	Dividend	J	T					
19. Virtus Vontobel Emrg Mkt Opp 1	A	Dividend	J	T					
20. Wells Fargo Spec Sm Cp Val 1	A	Dividend	J	T					
21. American EuroPacific Growth Fund					Sold	1/25/17	J	A	
22. Townhouse, City Mijas, Province Malaga, Spain			N	W					
23.									
24. Morgan Stanley - Traditional IRA #2 Assets Acct.									
25. --Morgan Stanley Bank	A	Interest	J	T					
26. --Stocks BK Montreal	A	Dividend	J	T					
27. --Stocks Fidelity Natl Information	A	Dividend	K	T					
28. --Mutual Funds American Global Balanced A	A	Dividend	J	T					
29.									
30. Morgan Stanley - Traditional IRA #3 Assets Acct.									
31. --Morgan Stanley Bank	A	Interest	J	T					
32. --First Trmlp Enrg Inc Fnd	A	Dividend	J	T	Sold	10/12/17	J	A	
33. --First Trst Dyn Devel Int Etf	A	Dividend	J	T	Sold	10/12/17	J	A	
34. --Mutural Funds Baron Real Estate Inst			J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --Black Rock Multi- Asset Inc. Inst strategic Inc Oppi	A	Dividend	J	T					
36. --Black Rock Strategic Inc Oppi	A	Dividend	J	T					
37. --First Eagle Global I	A	Dividend	J	T					
38. --Guggenheim Floating RTS Stratt	A	Dividend	J	T					
39. --Guggenheim Limited Ddiration I	A	Dividend	J	T					
40. --Guggenheim Macro Opport I	A	Dividend	J	T					
41. --Invesco Equal Wghtd S&P 500 Y	A	Dividend	J	T					
42. --JPMorgan US Lg Op Core Pls I	A	Dividend	J	T					
43. --Loomis Sayles Strategic Inc Y	A	Dividend	J	T					
44. --Metropolitan West Tot Ret Bd I	A	Dividend	J	T					
45. --Oppenheimer Int'l Growth Y	A	Dividend	J	T					
46. --Oppenheimer Int'l Small-Mid Co Y	A	Dividend	J	T					
47. --Principal Md Cap Instl	A	Dividend	J	T					
48. --Putnam Short Duration Income	A	Dividend	J	T					
49. --Virtus Vonto Bel Emrg Mkt Oppi	A	Dividend	J	T					
50. --Wells Fargo Spec Sm Op Val I	A	Dividend	J	T					
51.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. Tradition IRA #4 Morgan Stanley									
53. --Morgan Stanley Bank Money Mkt Fund	A	Interest			Open				
54. --First Trmlp & Enrg Inc Fnd	A	Dividend	J	T					
55. --Black Rock Multi-Asset Inc. Inst	A	Dividend	J	T					
56. --Black Rock Strategic Inc. OPPI	A	Dividend	J	T					
57. --First Eagle Global	A	Dividend	J	T					
58. --Guggenheim Floating Rt Stpat 1	A	Dividend	J	T					
59. --Guggenheim Limited Duration 1	A	Dividend	J	T					
60. Amercn EuroPacific Growth Fund	A	Dividend		T	Sold	1/25/17	K	A	
61.									
62.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Patricia J. Gorence**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544