

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Siler, Eugene E.	2. Court or Organization Sixth Circuit Court of Appeals	3. Date of Report 08/07/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Courthouse 310 South Main Street, Ste. 333 London, KY 40741		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Executor	Estate #1
2. Executor	Estate #2
3. Executor	Estate #3
4. Trustee	Trust #1
5. Administrative Board Member	Baptist Health Corbin, a part of Baptist Health, Inc.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Siler, Eugene E., 08/07/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

Table with 3 columns: DATE, SOURCE AND TYPE, INCOME (yours, not spouse's). Rows 1-4 are empty.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

Table with 2 columns: DATE, SOURCE AND TYPE. Rows 1-4 are empty.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

Table with 5 columns: SOURCE, DATES, LOCATION, PURPOSE, ITEMS PAID OR PROVIDED. Rows 1-5 are empty.

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Siler, Eugene E.	08/07/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Community Trust Bank	Mortgage on rental property, Whitley County, KY, Part VII, line 18	J
2.	Community Trust Bank	Mortgage on rental property, Whitley County, KY, Part VII, line 16	J
3.	Forcht Bank	Mortgage on hunting preserve, Whitley County, KY, Part VII, line 15	K
4.			
5.	Forcht Bank	Mortgage on rental property, Whitley County, KY, Part VII, line 24	K
6.	Forcht Bank	Mortgage on rental property, Whitley County, KY, Part VII, line 26	K
7.	Forcht Bank	Mortgage on rental property, Whitley County, KY, Part VII, line 27	K

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Community Trust Bank (Common Stock)	D	Dividend	M	T					
2. Community Trust Bank Account		None	J	T					
3. KY Mine Supply (Common Stock)	B	Dividend	J	W					
4. Verizon (Common Stock)	C	Dividend	M	T					
5. Exxon Mobil (Common Stock)	E	Dividend	O	T					
6. Chevron (Common Stock)	C	Dividend	L	T					
7. Regions Financial (Common Stock)	C	Dividend	L	T					
8. Real estate (20A) (Whitley Co., KY) (Listed as 77A)		None	K	S					
9. Pfizer (Common Stock)	B	Dividend	K	T					
10. Community Trust Bank, Estates 1 & 2 Accounts	A	Interest	J	T					
11. Community Trust Bank Trust #1 Account	A	Interest	J	T					
12. Community Trust Bank, Estate #3 Account	A	Interest	K	T					
13. Westvaco, Estate #3 Account, CD	A	Interest	J	T					
14. Johnson & Johnson (Common Stock)	B	Dividend	L	T					
15. Flying Rooster Farm, Inc. (Common Stock)	A	Royalty	K	U					
16. Rental Prop. No. 1, Whitley Co., KY (Purchase 2002 \$128,000)	C	Rent	M	R					
17. Procter & Gamble (Common Stock)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Rental Prop. No. 3, Whitley Co., KY (Purchase 2006 \$75,000)	C	Rent	L	R					
19. Harry Jeffries Holding, LLC	B	Dividend	J	S					
20. Apple, Inc.	B	Dividend	M	T					
21. Smucker Co.	A	Dividend	J	T					
22. Frontier Communications Corp.	A	Dividend	J	T					
23. Baird (Mutual IRA)	B	Dividend	N	T	Sold (part)	11/29/19	K	E	
24. Rental Prop. No. 4, Whitley Co., KY (Purchase 2015 \$50,000)	D	Rent	K	R					
25. HII	A	Dividend	K	T					
26. Rental Prop. No. 5, Whitley Co., KY (Purchase 2017 \$35,000)	D	Rent	K	R					
27. Rental Prop. No. 6, Whitley Co., KY (Purchase 2017 \$45,000)	D	Rent	K	R					
28. KY Econ Development Bonds	A	Interest	K	T					
29. Corbin, KY School Bonds	A	Interest	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

From VII - Investments

Line 3 - Value of Kentucky Mine Supply stock derived from appraisal in 2019 by corporate officer.

Line 8 - Assessed value is at 100%, for \$30,800.

Line 13 - Westvaco acquired Farmers Bank & Capital and this CD formerly with Farmers Bank & Capital is now with Westvaco.

Line 19 - Assessed value is at 100% and is done annually by the Commonwealth of Kentucky. 2018 assessment was at \$4,642 for this part of the stock (20%). Attempts to contact the Kentucky Dept. of Revenue were unsuccessful in 2020 because of the Covid 19 epidemic. It is estimated that our current value of the stock would be no higher than the 2018 assessment.

Line 23 - This mutual IRA, formerly with Am. Funds, The Growth Fund of America, is now managed by Baird.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Eugene E. Siler**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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